



INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW
INSTITUT INTERNATIONAL POUR L'UNIFICATION DU DROIT PRIVE

**DIPLOMATIC CONFERENCE TO ADOPT A
CONVENTION ON SUBSTANTIVE RULES
REGARDING INTERMEDIATED SECURITIES
Final Session**

Geneva, 5 to 7 October 2009

UNIDROIT 2009
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ANNOTATED PROVISIONAL AGENDA

1. Opening of the Conference.
2. Adoption of the Agenda.
3. Adoption of the Rules of Procedure.
4. Election of the President and the Vice-Presidents of the Conference.
5. Establishment of the Credentials Committee, the Commission of the Whole and other Committees as necessary.
6. Election of the Chairman of the Commission of the Whole.
7. Examination of the Report of the Credentials Committee.
8. Consideration of the draft Convention (in the light of Resolution N°1 of the Final Act of the first session of the diplomatic Conference to Adopt a Convention on Substantive Rules regarding Intermediated Securities (Geneva, 1 to 12 September 2008)).
9. Examination of the Report of the Commission of the Whole.
10. Adoption of the Final Act of the Conference and of any instruments, recommendations and resolutions resulting from its work.
11. Signature of the Final Act and of any instruments adopted by the Conference.

Annotations to the Provisional Agenda

1. This being the final session of the diplomatic Conference to Adopt a Convention on Substantive Rules regarding Intermediated Securities, the Conference may wish to confirm the Rules of Procedure adopted at the first session (Geneva, 1 to 12 September 2008) (except Rule 14) and to reappoint the officers elected at that first session.
2. The UNIDROIT Secretariat wish to indicate that the Conference will devote two days of the final session (i.e. from Monday, 5 October, until Tuesday, 6 October) for the consideration of items 1 to 8 on the agenda.
3. No formal meetings are scheduled for the morning of Wednesday, 7 October, which will be used by the Secretariat to finalise the documents resulting from its work (report of the Commission of the Whole, review of the final text of the draft Convention, Final Act of the Conference). The Conference will devote the afternoon meeting on Wednesday, 7 October, for the review and adoption of those documents and of any instruments, recommendations and resolutions resulting from its work as well as for the signature of the Final Act and of any instruments adopted by the Conference. The Conference is due to close at 6 p.m. on Wednesday, 7 October.
4. It should be noted that the above indications on the scheduling of meetings are intended to assist States and invited organisations in planning the attendance of their pertinent representatives.
5. Resolution N°1 of the Final Act of the first session of the diplomatic Conference invites delegations and observers to submit any request to amend the text of the draft Convention no later than six weeks prior to the opening of the final session, indicating significant problems capable of preventing the Convention from working properly; it also requests a specific Committee, in close co-operation with the UNIDROIT Secretariat, to examine those requests and to provide the Conference with recommendations. The Conference is expected to examine only those requests for amendment recommended for its consideration by the specific Committee.
6. UNIDROIT documents are posted on the UNIDROIT website (<http://www.unidroit.org/>) upon their issuance.

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