



**DIPLOMATIC CONFERENCE TO ADOPT A
CONVENTION ON SUBSTANTIVE RULES
REGARDING INTERMEDIATED SECURITIES
FINAL SESSION**
Geneva, 5 to 7/9 October 2009

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RESOLUTION No. XX

(submitted by the Governments of Japan and the United States of America)

**expressing the gratitude of the Conference
to the Swiss Government for organising the two sessions of the Conference**

THE CONFERENCE,

HAVING ADOPTED the Convention on Substantive Rules regarding Intermediated Securities
(the *Convention*);

MINDFUL of the leadership role played by the Swiss Government in achieving the work in
view of the adoption of the Convention and the dedication of its representatives to the resolution of
all the outstanding issues in relation to the Convention during the two sessions of the Conference;

GRATEFUL to the Swiss Government for having invited the Conference and for its generous
hospitality;

EXPRESSES its deep gratitude and sincere appreciation to the Government of Switzerland
and its representatives,

***EXPRESSES* its gratitude to the International Institute for the Unification of Private
Law (UNIDROIT) for its outstanding contribution to the harmonisation of substantive rules
regarding intermediated securities,**

***DECIDES* to designate the Convention adopted by the Conference as the “UNIDROIT
Convention on Substantive Rules for Intermediated Securities”, and**

***RECOMMENDS* that the Convention be known as the “Geneva Securities
Convention”.**