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INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW

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2016: 90 years and counting

To describe the previous year as “special” one may seem commonplace of in an annual report of an international organisation. The reader will agree with me, however, that the year UNIDROIT celebrated its 90th anniversary truly deserves that qualification.

It is not only its long history that secures UNIDROIT a special place among all intergovernmental organisations, but also its remarkable record of contributions to the harmonisation of private law, testified by a series of landmark instruments.

Our member States, other intergovernmental organisations, observer States and the interested industry and academic circles honoured us with their numerous attendance at the special session of the UNIDROIT General Assembly held on 20 April 2016 to celebrate the organisation’s 90th anniversary. The session was followed by a symposium devoted to the role and place of private law in supporting the implementation of the international community’s broader cooperation and development objectives. Several other meetings and events were held during 2016 to take stock of the various UNIDROIT achievements and discuss avenues for the future.

Yet 2016 was not only a year of celebrating the past. The Study Group entrusted with the preparation of a preliminary draft protocol to the Cape Town Convention on matters specific to agriculture, construction and mining equipment concluded its work at its fourth meeting, paving the way for the next stage of negotiations by a Committee of Governmental Experts, scheduled to meet on 20-24 March 2017 in Rome, according to the authorisation given by Governing Council at its 95th session (Rome, 18-20 May 2016). Also, the informal working group that is drafting a legislative guide to enhance trading in securities in emerging markets made significant progress, laying the ground for the consideration of the final draft at the fourth session of the Committee on Emerging Market Issues established at the Geneva Securities Conference to be convened in Beijing on 29-30 March 2017. The joint ELI/UNIDROIT project on the formulation of model regional rules to implement the ALI/UNIDROIT Principles on Transnational Civil Procedure also moves forward at full speed and was discussed both at the Steering Committee and Co-Reporter Meeting (Rome, 21-22 April 2016), and at the plenary meeting of Steering Committee, Co-Reporters and Group Members as well as Observers and Advisers (Vienna, 21-22 November 2016). The project was also presented at the Annual Assembly of the European Law Institute held in Ferrara on 7-9 September 2016).

Last year also saw the intensification of the cooperation with UNESCO and the other organisations that participate in the task force on the implementation of Security Council resolution 2199 which condemns the destruction of cultural heritage in Iraq and Syria, and adopts legally-binding measures to combat the illicit trafficking of antiquities and cultural objects from these countries. Our cooperation with the Rome-based food and agriculture organisations of the United Nations system, successfully launched with the *UNIDROIT/FAO/IFAD Legal Guide to Contract Farming* has now moved into a new phase focusing on the various joint initiatives to bring the advice provided in the guide to an operational level.

The Governing Council approved the amendments to existing comments and black letter rules to the UNIDROIT Principles of International Commercial Contracts to address specific issues of long-term contracts in 2016. Last but not least, the Governing Council also approved several proposals for the UNIDROIT work programme for the triennium 2017-2019, which the General Assembly adopted at its 75th session (Rome, 1 December 2016).

This summary leaves no room for doubt: at the venerable age of 90, UNIDROIT has not lost any of its vitality.

My thanks go to all those in government, academia and the private sector who keep their faith in this extraordinary organisation, and to my staff, whose hard work and loyalty proves that UNIDROIT is worth believing in.

JOSÉ ANGELO ESTRELLA FARIA
Secretary-General

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I. ADMINISTRATION AND ORGANISATION

A. UNIDROIT 90TH ANNIVERSARY CELEBRATION SERIES - EVENTS

1. *Opening event with the Under-Secretary-General for Legal Affairs and United Nations Legal Counsel Mr Miguel de Serpa Soares – 15 April 2016*

On 15 April 2016, over 80 participants representing UNIDROIT Member States, Rome-based UN agencies, private practices and academic institutions alike gathered in the historical Map Room of the seat of UNIDROIT for the opening event of the Celebration Series of the 90th Anniversary of the Foundation of the Organisation. Hosted in cooperation with the Italian Society for International Organisation (SIOI), the event featured a Keynote Lecture delivered by Mr Miguel de Serpa Soares, Under-Secretary-General for Legal Affairs and United Nations Legal Counsel. The *Lectio Magistralis* was introduced by Professor Alberto Mazzoni (President of UNIDROIT) and Mr Franco Frattini (President of SIOI), and was followed by a round table composed of distinguished experts: Judge Fausto Pocar, of the International Criminal Tribunals for the former Yugoslavia and for Rwanda, Professor Sergio Carbone, of the University of Genoa, and Professor Piero Bernardini, President of the Italian Arbitration Association (AIA).

2. *The League of Nations and UNIDROIT - 19 April 2016*

On 19 April 2016, the eve of the special session of its General Assembly, UNIDROIT hosted a lecture co-sponsored with Roma Tre University entitled "Reflections on the League of Nations on the 90th Anniversary of UNIDROIT", which addressed the Legacy of the League of Nations and explored its relationships with UNIDROIT.

The event was an occasion to celebrate the publication of the volume "Commentaire du Pacte de la Société des Nations" (Bruxelles, Bruylant, 2015, pp. 1428) edited by Robert Kolb (Full professor of international law, University of Geneva). The proceedings were opened by Alberto Mazzoni (President of UNIDROIT) and chaired by Professor Giulio Bartolini (Roma Tre University), and included speeches by Professor Luciano Tosi, (University of Perugia), Professor Ivan Ingravallo (University of Bari), and closed by José Angelo Estrella Faria (Secretary-General of UNIDROIT).

3. *Special session of the General Assembly and Symposium on the "Role and place of private law in supporting the implementation of the international community's broader cooperation and development objectives" – 20 April 2016*

Delegates from more than 60 countries, including UNIDROIT Member States and non-Member States, as well as representatives from various Intergovernmental Organisations met in Rome, at Palazzo Altieri. The session was presided by the Chairman of the UNIDROIT General Assembly for the period 2015-2016, H.E. Ambassador Amr Mostafa Kamal Helmy (Egypt).

Addressing the Assembly on behalf of the host country, Ambassador Antonio Bernardini (Deputy Secretary-General of the Italian Ministry of Foreign Affairs and International Cooperation) stressed that the activities of UNIDROIT span well beyond trade and commercial law strictly speaking, as he praised the contribution of UNIDROIT in two crucial sectors through the 1995 Convention on Stolen or Illegally Exported Cultural Objects, a remarkable international instrument which in many ways has set the standard for the protection of cultural property, as well as the recent the Legal Guide on Contract Farming, prepared in cooperation with FAO and IFAD, an example of a practical tool to enhance the implementation of the development agenda in the field of agriculture.

His Eminence Cardinal Pietro Parolin (Secretary of State of the Holy See), conveying to the Assembly the greetings of His Holiness Pope Francis, paid tribute "to all the work accomplished by UNIDROIT and to renew the call to tirelessly work for the spreading of the message of universal

peace, harmony and concord, worldwide, using all means fairly at our disposal.” Professor Alberto Mazzoni (President of UNIDROIT) and Mr José Angelo Estrella Faria (Secretary-General) also addressed the audience. The Ceremony concluded with statements delivered by Ambassadors and other high-ranking representatives of 18 member States stressing their commitment to UNIDROIT and to its work in the future.

The Special session of the General Assembly was followed by an International Symposium entitled “Private Law, International Cooperation and Development”⁻¹.

4. Contract Law Conference - 29 May 2016

UNIDROIT hosted a Conference on “United Nations Convention on Contracts for the International Sale of Goods (CISG), UNIDROIT Principles of International Commercial Contract: Contrast and Convergence”. The Conference addressed the two instruments’ approaches on the four main topics of Interpretation and gap filling, Contract validity, Good faith, and Ethical standards, and produced very stimulating discussions from the audience composed of members of the UNIDROIT Governing Council and the CISG Advisory Council.

B. PRESIDENCY, GOVERNING COUNCIL, GENERAL ASSEMBLY AND FINANCE COMMITTEE *

1. Presidency and Governing Council

The 95th session of the *Governing Council*² was held in Rome from 18 to 20 May 2016 under the chairmanship of the President of the Institute, Mr Alberto Mazzoni. The Governing Council, after approving the Secretary-General’s report on the activity of the Institute in 2015, appointed M. Alexander Komarov as First Vice-President, and renewed Professor Lyou Byung as Second Vice-President until its 96th session.

Following the decision taken by the Governing Council at its 90th session (Rome, 9-11 May 2011) to make systematic use of the authority given to it by article 16 of the UNIDROIT Regulations to request representatives of member Governments that have no nationals sitting on the Council to attend its meetings in a consultative capacity. 10 such Member States were represented at the 95th session of the Council.

The Governing Council took note of the proposed Work Programme for the triennium 2017 – 2019 and Comments received from member States and UNIDROIT Correspondents, and agreed to recommend to the General Assembly the adoption of the Work Programme with an indication of each project’s level of priority.

When discussing the draft budget for 2016, the Council commended the positive impact of cost-saving measures and management efficiencies have had.

2. General Assembly and Finance Committee

The 75th session of the General Assembly was held in Rome on 1 December 2016 under the presidency of H.E. Mr Antonio de Aguiar Patriota, Ambassador of Brazil in Italy-, and was attended by the diplomatic representatives in Italy of 45 member States and one observer. The Secretary-General outlined the work of the Organisation in 2016.

¹ <http://www.unidroit.org/english/news/2016/20160420-90th-unidroit-celebration/programme-e.pdf>

* This report covers the activities of UNIDROIT from 1 January to 31 December 2016.

² Current composition of the Governing Council: Stefania Bariatti (Italy), Radu Bogdan Bobei (Romania), Hans-Georg Bollweg (Germany), Nuria Bouza Vidal (Spain), Baiba Broka (Latvia), B. Bahadır Erdem (Turkey), Henry D. Gabriel (United States of America), Arthur S. Hartkamp (Netherlands), Monique Jametti (Switzerland), Hideki Kanda (Japan), Miklós Király (Hungary), Alexander S. Komarov (Russian Federation), Antti T. Leinonen (Finland), Lyou Byung-Hwa (Republic of Korea), Jose Antonio Moreno Rodriguez (Paraguay), Jan Lambert Neels (South Africa), Monika Pauknerova (Czech Republic), Wojciech Popiolek (Poland), Jorge Sanchez Cordero Davila (Mexico), Rachel Sandby-Thomas (United Kingdom), Alvaro Sandoval Bernal (Colombia), Shi Jingxia (People's Republic of China), Daniel Tricot (France), Spyridon Vrellis (Greece) and Roger Wilkins (Australia).

The General Assembly adopted the Work Programme of the Organisation for the triennial period 2017-2019 as proposed by the Secretariat and approved by Governing Council generally, with some minor modification relating to the priority status of some projects,³ as follows:

A. Legislative activities

1. Secured transactions
 - (a) Implementation of Rail and Space Protocols ***
 - (b) Preparation of other Protocols to the Cape Town Convention
 - (i) Agricultural, construction and mining equipment ***
 - (ii) Ships and maritime transport equipment *
 - (iii) Renewable energy equipment *
2. Transactions on Transnational and Connected Capital Markets
Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets ***
3. Private law and development
Preparation of an international guidance document on agricultural land investment contracts ***
4. Transnational civil procedure
 - (a) Formulation of regional rules ***
 - (b) Principles of effective enforcement *
5. International Commercial Contracts
Formulation of principles of reinsurance contracts *
6. International sales law
Preparation of a guidance document on existing texts in the area of international sales law in cooperation with UNCITRAL and the Hague Conference on Private International Law ***
7. International protection of cultural property - Private art collections *

B. Implementation and promotion of UNIDROIT instruments

1. Depositary functions ***
2. Promotion of UNIDROIT instruments ***

C. Non-legislative activities

1. UNIDROIT Library and Depositary Libraries ***
2. Information resources and policy ***
3. Internships and scholarships ***

During the debate on financial issues, the Assembly approved the Accounts for the 2015 financial year as well as the adjustments to the 2016 budget. The General Assembly also adopted the draft budget for 2017.

³ * Low priority / ** Medium priority / *** High priority

The *Finance Committee*⁴ met twice in 2016. The 79th session was held on 17 March 2016 under the chairmanship of Mr Jonathan Cordier (France); the 80th session, which took place on 29 September 2016, appointed Mr Benito Jiménez (Mexico) as the new Chairman. The Committee made recommendations on various financial issues later submitted for consideration by the General Assembly.

3. Secretariat

On 31 December 2016 the Secretariat was made up of 19 members, of which seven professional staff (Category A), nine administrative, Library and secretarial staff (Category B) and three technical support staff (Category C).

C. DIPLOMATIC CONFERENCES, STUDY GROUPS AND EXPERT COMMITTEES

The following meetings were organised by the Institute in 2016:

1. Transnational Civil Procedure - Formulation of Regional Rules

Second Joint Meeting of the Steering Committee ELI – UNIDROIT and of Co-Reporters (Rome, 21-22 April 2016)

Third Joint Meeting of the Steering Committee with Working Groups Co-Reporters, Members, Advisers and Observers (Vienna, 21-22 November 2016).

2. Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Railway Rolling Stock

Meetings of the Ratification Task Force (mostly through teleconference on 8 January, 18 February, 18 March, 7 and 18 April, 17 May, 30 June, 1 September, 16 October, 20 October (Delhi), 4 November, 30 December (Rome)).

3. Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Space Assets

Informal meeting of the Preparatory Commission for the establishment of the International Registry for space assets (teleconference, 6 December 2016).

4. Preparation of a Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Agricultural, Construction and Mining Equipment

Fourth meeting of the Study Group (Rome, 7 to 9 March 2016).

5. Preparation of a draft Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets

Informal meetings of an experts committee for the preparation and review of the draft Legislative Guide (Rome, via videoconference on 7 March 2016 and in Rome on 16-17 May 2016 and 12-12 December 2016).

6. Preparation of an international guidance document on agricultural land investment contracts

Informal meeting with experts and interested stakeholders held at the FAO on 20 October 2016, during the Committee on World Food Security's 43th plenary session (Rome, 17-21 October 2016).

⁴ The Finance Committee is currently (term of three years commencing on 1 January 2015) composed of the following member States: Austria, Brazil, Canada, China, France, Germany, India, Islamic Republic of Iran, Italy, Japan, Mexico, Republic of Korea, Romania, Russian Federation, Spain, Switzerland, United Kingdom and United States of America.

D. RELATIONS WITH GOVERNMENTS

On 31 December 2016 UNIDROIT had 63 member States: Argentina, Australia, Austria, Belgium, Bolivia, Brazil, Bulgaria, Canada, Chile, China, Colombia, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Egypt, Estonia, Germany, Finland, France, Greece, Holy See, Hungary, India, Indonesia, Iran, Iraq, Ireland, Israel, Italy, Japan, Latvia, Lithuania, Luxembourg, Malta, Mexico, Netherlands, Nicaragua, Nigeria, Norway, Pakistan, Paraguay, Poland, Portugal, Rep. of Korea, Rep. of Serbia, Romania, Russian Federation, San Marino, Saudi Arabia, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, Tunisia, Turkey, United Kingdom, United States of America, Uruguay and Venezuela.

The Secretariat continues consultations with a few non-member States with a view to their possible accession to the UNIDROIT Statute.

On 11 May 2016, Mr José Angelo Estrella Faria, Secretary General of UNIDROIT, welcomed a delegation from Spain composed of Mr José Martín y Pérez de Nanclares, Head of the International Legal Department at the Ministry of Foreign Affairs and International Cooperation, Mr Arturo Relanzón, Counsellor of the Vice President of the Government, and Mr Juan Claudio de Ramón Jacob-Ernst, First Secretary of the Embassy of the Kingdom of Spain in Italy.

On 19 May 2016, the Secretary General attended the third meeting of the International Legal Organisations based in Italy, organized in Rome by the Italian Ministry of Foreign Affairs and International Cooperation. It was an opportunity for each organisation to present their activities and priorities for the years 2016-2017.

In August 2016 the Secretary-General of UNIDROIT, while in South Africa to attend the 77th International Law Association Conference in Johannesburg and to deliver a public lecture on "The impact of the CISG and the UNIDROIT Principles of International Commercial Contracts on domestic law" at the Law Faculty, met with Ms De Wet, Director of the State Chief Law Adviser Division at the South African Department of International Relations and Cooperation (DIRCO).

Between 15 and 16 August 2016 the Secretary-General visited Mozambique and met with governmental officials and private sector representatives to discuss the utility of implementing UNIDROIT instruments in Mozambique. Of particular note were his meetings with Mr Silva A. Dunduro, Minister of Culture and Tourism, and Mr Carlos Fortes Mesquita, Minister of Transport. The Secretary-General also delivered a lecture at the Universidade Eduardo Mondlane in Maputo on UNIDROIT's contribution to the formation of transnational commercial law. The lecture was organised by the Centre of Studies on Regional Integration and SADC Law – CEDIR in cooperation with the Universidade Eduardo Mondlane Law Faculty and UNIDROIT.

While attending a workshop organised by the US Department of Transport and Indian Railways in Delhi from 18 to 20 October 2016, the Deputy Secretary General, Professor Anna Veneziano, met with Mrs Uma Sekhar (Joint Secretary, Legal & Treaties Division, Ministry of External Affairs) to discuss India's involvement in UNIDROIT's activities and the utility of further implementation of UNIDROIT instruments in India.

On 20 October 2016, UNIDROIT welcomed a delegation headed by Ms Dace Melbārde, Minister of Culture of Latvia, for a meeting to address the protection of cultural property and in particular the 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects and the UNESCO 1970 Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property in view of a future accession to those treaties.

On 25 November 2016, the Secretary General Mr José Angelo Estrella Faria and Ms Marina Schneider, Senior Legal Officer and UNIDROIT Treaty Depositary, received Ms Elira Kokona, Secretary-General of the Ministry of Culture of Albania and Ms Ledia Mirakaj, representative of the Ministry of Culture at the Albanian Embassy in Rome, for a meeting to exchange views and experiences in light of legislative developments in the field of cultural property before acceding to the 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects.

E. CO-ORDINATION BETWEEN ORGANISATIONS INVOLVED IN THE FORMULATION OF PRIVATE LAW RULES

The customary co-ordination meeting between the Secretaries-General of the Hague Conference of Private International Law, UNCITRAL and UNIDROIT took place in Rome on 19 April 2016.

F. CO-OPERATION WITH OTHER INTERNATIONAL AND REGIONAL ORGANISATIONS AND ACADEMIC INSTITUTIONS

1. Meetings and conferences

In the course of the period under review, the Institute was represented at several meetings organised by other international organisations including the American Association of Private International Law (ASADIP), the Food and Agriculture Organization of the United Nations (FAO), the Hague Conference on Private International Law (HCCH), the Intergovernmental Organisation for International Carriage by Rail (OTIF), the International Fund for Agricultural Development (IFAD), INTERPOL, the United Nations Commission on International Trade Law (UNCITRAL), the United Nations Educational, Scientific and Cultural Organization (UNESCO), the World Bank, the World Customs Organization (WCO) and the World Farmers' Organisation (WFO).

On 30 June 2016, Mr José Angelo Estrella Faria, UNIDROIT Secretary General, welcomed Ms Simonetta Di Pippo, Director of the UN Office for Outer Space Affairs (UNOOSA) to discuss issues linked with the Space Protocol to the Cape Town Convention on International Interests in Mobile Equipment.

On 22 September 2016, as part of the programme of the 11th Annual European-China Legal Studies Association Conference, co-organised with Roma Tre University, UNIDROIT opened its doors to the conference delegates for an evening dedicated to an introduction to the activities of the Institute. In her welcoming speech, Professor Anna Veneziano, Deputy Secretary-General of UNIDROIT, gave an overview of the Institute's activities, particularly in relation to China. Professor Björn Ahl, President of the ECLS, also delivered an opening speech.

On 30 September 2016, Roma Tre University and UNIDROIT co-hosted the Third Edition of the Annual International Arbitration Lecture entitled "Mandatory rules and international arbitrators, in the light of Article 1.4 of the UNIDROIT Principles" attended by over 60 members of the international legal professional and academic community. Messrs Alberto Mazzone (President of UNIDROIT), José Angelo Estrella Faria (Secretary General of UNIDROIT) and Andrea Zoppini (Professor Roma Tre University) delivered introductory speeches, followed by the keynote lecture of Yves Derains (founding partner of Derains & Gavi, former Secretary-General of ICC Court).

On 16-18 November 2016, Mr José Angelo Estrella Faria participated in the Thematic Conference on Enforcement and Effectiveness of the Law organised by the International Academy of Comparative Law in Montevideo. The Secretary-General spoke on a panel dedicated to The Role of International Organizations with a presentation on Strategies for the Enforcement of International Conventions.

On 23 November 2016, Mr José Angelo Estrella Faria, Professor Anna Veneziano and Mr William Brydie-Watson, UNIDROIT Legal Officer, received a delegation from the BRICS Law Institute at the Ural State Law University (Russia), in Rome. The delegation was headed by President of the Ural State Law University Professor Victor D. Perevalov and further comprised of Director of the BRICS Law Institute Professor Danil V. Vinnitskiy and Academic Chairman of IBFD Professor Pasquale Pistone. The delegation discussed with the Secretariat potential future cooperation projects related to the use of soft law instruments to influence the development and reform of domestic law.

Members of the Secretariat also participated in various meetings and conferences where they presented the UNIDROIT instruments and the work of the Institute in general. Details of some of these meetings are set out elsewhere in this report.

2. Co-operation agreements

In May 2016, UNIDROIT signed a concordat with the Queen Mary University of London (the College) and UNIDROIT to establish the "Queen Mary-UNIDROIT Institute of Transnational Commercial Law" at the Centre for Commercial Law Studies (CCLS), at the initiative of Professor Sir Roy Goode. The Centre will provide post-graduate teaching and will finance scholarships for researchers to come to UNIDROIT and work on its projects.

In November 2016, UNIDROIT signed a co-operation agreement with the Loyola University of Chicago to implement a joint research/internship programme in the field of uniform law.

II. LEGISLATIVE ACTIVITIES

A. WORK IN PROGRESS

1. Private law and development

a. UNIDROIT/FAO/IFAD Legal Guide on Contract Farming

IFAD/FAO Project of Implementation of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming

Mindful of the importance of making the Legal Guide useful and operational for end-users, the International Fund for Agricultural Development (IFAD) agreed to deliver a new grant to the Food and Agriculture Organization of the United Nations (FAO) for 2016-2017. The goal of this Project is to significantly expand the impact and benefit of the Legal Guide by disseminating its core messages and recommendations and enabling its accessibility for all intended stakeholders. The Project is organized into four components: (1) preparation of outreach materials (2) capacity building; (3) online dissemination and knowledge transfer; and (4) oversight and review. While launched in 2016 the outputs of the project are expected for 2017. UNIDROIT participates in the project as a member of the Advisory Board, and with the establishment of the Community of Practice on Legal Aspects of Contract Farming (CoP/LACF) presented below.

The Community of Practice on Legal Aspects of Contract Farming (CoP/LACF)

The *Community of Practice on Legal Aspects of Contract Farming (CoP/LACF)* has been set up by UNIDROIT, as part of the IFAD/FAO Implementation Project and also linked with the *Global Forum on Law, Justice and Development (GFLJD)*. The CoP/LACF has the main objective of promoting a favourable legal environment for contract farming operations through knowledge sharing and dissemination, as well as projects pursued individually by the partners and members or the basis of joint initiatives. A dedicated internet webpage hosted as part of the Contract Farming webpage of the UNIDROIT Website (<http://www.unidroit.org/community-of-practice/home>) was launched in 2016.

In 2016, beside the IFAD/FAO Project of Implementation, the CoP publicised a project of implementation of the Legal Guide in Brazil including the preparation of a Portuguese version at the initiative of an academic group from various universities. The CoP's website serves as a repository of information, and particularly worth of mention is the Legal Resources Webpage which offers a wealth of references on legal bibliography, regulations and good practices regarding contract farming and agricultural contracts.

Seminars and conferences

The UNIDROIT/FAO/IFAD Legal Guide on Contract Farming was presented and discussed on the following occasions:

- On 11 March 2016, at a working session held at the World Food Programme (WFP) headquarters, between Secretariat members of UNIDROIT, FAO, IFAD, the International Institute for Sustainable Development - IISD, and WFP;
- On 27 April 2016 at UNIDROIT Headquarters, at an International Conference on "Creating a favourable legal environment for Contract Farming - The UNIDROIT/FAO/IFAD Legal Guide on Contract Farming" - held in collaboration with FAO and IFAD under the auspices of the Ministry of Foreign Affairs and International Cooperation of Italy. The Conference was primarily directed to an audience of diplomatic representations in Italy, international organisations, non-governmental organisations, and bilateral cooperation agencies involved in contract farming.

- On 5–7 June 2016, in Rome, at the 4th Mediterranean Conference of the International Bar Association on “Sustainable Agriculture and Food Security in the Mediterranean Region”, the Legal Guide was presented by Ms Frederique Mestre, UNIDROIT Senior Legal Officer, within the session on “food self-sufficiency, distribution and agricultural commerce”.

b. Preparation of an international guidance document on agricultural land investment contracts

At its 95th session (Rome, 18-20 May 2016), the Governing Council considered the Secretariat’s feasibility study on the possible preparation of an international guidance document on agricultural land investment contracts (UNIDROIT 2016 – C.D. (95) 7(b)) and took note of it, ultimately deciding to recommend to the General Assembly that it retain work on an international guidance document on agricultural land investment contracts in the UNIDROIT Work Programme for the 2017-2019 triennium with a high level of priority. UNIDROIT 2016 – C.D. (95) 15, para. 200.

Consistent with the Governing Council’s recommendation, the Secretariat organised, together with FAO and IFAD, an informal meeting which was held with experts and interested stakeholders at FAO on 20 October 2016, during the Committee on World Food Security’s 43rd plenary session (Rome, 17-21 October 2016).⁵ The meeting raised awareness about UNIDROIT’s work in this area and solicited input on the scope, content, form and target audience of the future instrument on agricultural land investment contracts. Participants discussed, *inter alia*: the type of agricultural land investments the future instrument should cover; how the future instrument could ensure that it does not endorse – or even appear to endorse – large-scale land acquisitions; gaps in existing guidance that the future instrument could address; and how the future instrument could be crafted to maximise its usefulness for various audiences. The input received during the informal meeting was taken into consideration in the formation of the Working Group and will be provided to that Group when it holds its first meeting (Rome, 3-5 May 2017).

Following the informal meeting, the General Assembly approved, at its 75th session (Rome, 1 December 2016), inclusion of the work on agricultural land investment contracts as a high priority item on UNIDROIT’s Work Programme for the 2017-2019 triennium.

2. International Interests in Mobile Equipment

a. Space Protocol to the Cape Town Convention

Preparatory Commission for the establishment of an International Registry

Pursuant to Resolution 1 of the diplomatic Conference for the adoption of the draft Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Space Assets (Berlin, 27 February - 9 March 2012), a Preparatory Commission was set up to act as Provisional Supervisory Authority for the establishment of the International Registry for Space Assets under the guidance of the UNIDROIT General Assembly. The Commission is chaired by Sergio Marchisio, former Chairman of the Commission of the Whole at the Berlin Conference and the following States agreed to serve as members of the Preparatory Commission: Brazil, the People’s Republic of China, the Czech Republic, France, Germany, India, Italy, the Russian Federation, Saudi Arabia, South Africa and the United States of America.

At its fourth session, held in Rome on 10 and 11 December 2015, the Commission, making use of the extensive consultation conducted in 2015 and of the drafts provided by Sir Roy Goode, was able to finalise the baseline Registry Regulations for the Space Registry. It also advanced its work towards the drafting of a request for proposals to be submitted to prospective candidates to the role of Registrar. After the fourth session, Preparatory Commission members assisted by Sir Roy Goode

⁵ Participants included representatives of FAO, IFAD, the World Bank, the French Ministry of Foreign Affairs and International Development, the German Federal Ministry for Economic Cooperation and Development (BMZ), the Japan International Cooperation Agency (JICA), the United States Agency for International Development, the Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ), the Columbia Center on Sustainable Investment (CCSI), the International Institute for Environment and Development (IIED), the International Institute for Sustainable Development (IISD) and the International Land Coalition (ILC).

and the Secretariat continued to work on the development of rules of procedure for the Commission of Experts of the future Supervisory Authority (CESAIR). The rules of procedure for the CESAIR were approved by Commission members on 22 February 2016. Finally, the Preparatory Commission held an informal teleconference meeting on 6 December 2016 to discuss open issues relating to the appointment of a Supervisory Authority, the designation of a Registrar and the future strategy towards the implementation of the Protocol.

b. Luxembourg Rail Protocol to the Cape Town Convention

Preparatory Commission for the establishment of an International Registry

A Preparatory Commission was established by Resolution 1 of the Luxembourg diplomatic Conference in order to prepare the International Registry under the Rail Protocol. The Preparatory Commission, at its 7th Session held in Rome in December 2014, set up a special Ratification Task Force. The Ratification Task Force (composed, for the time being, of the Co-Chairs of the Preparatory Commission, the Government of Luxembourg, representatives of the Rail Working Group, Regulis SA as designated Registrar and SITA as well as OTIF and UNIDROIT) set up an intense agenda for its work and continued to meet several times (mostly through teleconference) also during 2016, with the aim of coordinating the strategy towards early implementation of the Protocol.

A Symposium was held in Rome at the seat of UNIDROIT on 30 November 2016 to address the latest developments regarding the Luxembourg Rail Protocol and the benefits of becoming a party thereto. The symposium was open to appointed government officials of all UNIDROIT member States and States parties to the Cape Town Convention, and garnered the participation of over 50 delegates representing these States and Industry Stakeholders. The Secretary-General of UNIDROIT, José Angelo Estrella Faria and the Secretary-General of OTIF, Francois Davenne, opened the event, which featured panels focussing on the Emerging Opportunities of the Luxembourg Rail Protocol, Updates from the Ratification Task Force, and a Roundtable on the benefits of the Protocol for the financing in the rail sector. The audience heard perspectives on these topics from panellists Howard Rosen (Chairman of the Rail Working Group), Elizabeth Hirst (Registrar Designate of the International Registry), Peter Bloch and Mervi Kaikkonen (Co-Chairs of the Preparatory Commission and Ratification Task Force). The Panels were followed by a Roundtable on the benefits of the Protocol for the financing in the rail sector with Olivier Desfontaines, Head of Railway Finance at Crédit Agricole Corporate and Investment Bank and Roberta Odoardi, Director of Pricewaterhouse Coopers, chaired by Howard Rosen. The Symposium also benefitted from a statement provided by the Ambassador of Mozambique, H.E. Maria Manuela Lucas, reiterating the importance of Mozambique's recent signature and its intention to proceed to ratification.

For other events organised to promote the Rail Protocol in 2016 with participation of UNIDROIT see below, para. B, No. 2.

c. Preparation of a future Protocol to the Cape Town Convention on matters specific to agricultural, construction and mining equipment

At its 93rd session in Rome in 2014 the Governing Council agreed to establish a Study Group to work on a proposed fourth protocol to the Cape Town Convention on International Interests in Mobile Equipment (hereafter the "Cape Town Convention") on matters specific to agricultural, construction and mining equipment (hereafter "the MAC Protocol"). The Study Group is composed of various international experts in secured transactions law and is chaired by Dr Hans-Georg Bollweg, member of the UNIDROIT Governing Council.

Following the first three meetings of the Study Group held in December 2014, April and October 2015 respectively, the Study Group held its fourth meeting between 7 and 9 March 2016 to continue consideration of legal issues associated with the preparation of the MAC Protocol and to prepare a preliminary draft text. In addition to the members of the Study Group, the Study Group meetings were attended by observers from various international organisations and academic institutions, including the United Nations Commission on International Trade Law (UNCITRAL), the International Finance Corporation (IFC), the Food and Agriculture Organization of the United Nations (FAO), the International Fund for Agricultural Development (IFAD), the World Customs Organization (WCO) and the National Law Center for Inter-American Free Trade (NLCFT). Several

out-of-session teleconferences were also held to further work on specific issues relating to the Protocol.

At the conclusion of the fourth Study Group meeting on 9 March 2016, the Study Group decided that the preliminary draft Protocol was sufficiently developed to be submitted to the Governing Council with a recommendation that a Committee of Governmental Experts be convened to further consider the Protocol. At its 95th session (Rome, 18-20 May 2016), the Governing Council considered the draft text produced by the Study Group, and decided that it was sufficiently developed to warrant the convening of a Committee of Governmental Experts.

In advance of the first session of the Committee of Governmental Experts for the preparation of a draft Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Agricultural, Construction and Mining Equipment, on Friday 2 December 2016 UNIDROIT invited member States and States parties to the Cape Town Convention to attend a half-day symposium to discuss key aspects of the project at the Seat of UNIDROIT. The symposium was attended by delegations from 16 States.

The first session of the MAC Protocol Committee of Governmental Experts is scheduled to be held in Rome at the Headquarters of the Food and Agriculture Organization of the United Nations (FAO) in Rome in the Green Room, from 20 to 24 March 2017.

d. Preparation of other Protocols to the Cape Town Convention

On recommendation from the Governing Council, the General Assembly at its 75th session (Rome, 1 December 2016) decided to include on the Institute's 2017 – 2019 Work Programme the preparation of additional Protocols to the Cape Town Convention on matters specific to (i) ships and maritime transport equipment, and (ii) renewable energy equipment. Both projects were assigned a low priority by the General Assembly.

3. Transnational Civil Procedure – Formulation of Regional Rules

In 2014, UNIDROIT and the European Law Institute (ELI) agreed on a Memorandum of Understanding specifically related to a project on European civil procedure rules based on the American Law Institute (ALI) – UNIDROIT Principles of Transnational Civil Procedure. A Steering Committee was set up, co-chaired by ELI President Diana Wallis and the UNIDROIT Secretary-General José Angelo Estrella-Faria and composed of representatives of both organisations and of Reporters for the first three Working Groups that were established ("access to information and evidence" "provisional and protective measures" and "service of documents and due notice of proceedings"). Two additional Working Groups were established during the 2014 plenary meeting of the Steering Committee and the Working Group members on the topics of "lis pendens and res judicata" and "obligations of the parties and lawyers". Finally, between 2015 and 2016, three other groups (respectively on "costs", "judgments" and "parties in the proceedings") were set up, so as to provide coverage of most of the issues addressed in the ALI- UNIDROIT Principles and for which European rules were considered to be both useful and feasible.

A joint meeting of the Steering Committee and the Reporters of each working group was held in Rome on 21-22 April 2016, where the draft rules of the first five groups were thoroughly discussed: "Provisional and Protective Measures"; "Evidence"; "Service and Due Notice of Proceedings"; "Obligation of the parties and lawyers"; "lis pendens and res judicata". The meeting included a preliminary exchange of thoughts on the new Working Group on "judgements" as well as an outline of the coordination activities of the "horizontal" coordination group on "structure of the proceedings" (chaired by Loic Cadiet and Xandra Kramer).

On 5-6 May 2016 Deputy Secretary-General of UNIDROIT Anna Veneziano participated in the first IP Case Law Conference organised by the European Union Intellectual Property Office (EUIPO) in Alicante (Spain). She took part in a panel entitled "Effective administration of litigation proceedings", together with ELI President Diana Wallis, with a presentation on "Generally recognised principles of civil procedural law".

On 30-31 May 2016, the Deputy Secretary-General represented UNIDROIT in a Conference on the “New Hungarian Civil Procedure Act and the Development of European Rules of Civil Procedure” co-organised by the ELI, the Hungarian Ministry of Justice, the Hungarian Academy of Sciences, Institute for Legal Studies and the National Office for the Judiciary. The event took place in Budapest (Hungary) at the Hungarian Academy of Justice. The conference introduced the ELI-UNIDROIT project “From Transnational Principles to European Rules of Civil Procedure” to Hungarian lawyers. The structure of the Conference was organised along the five initial topics of the ELI- UNIDROIT project and featured presentations of speakers representing the ELI- UNIDROIT working groups as well as discussants involved in the Hungarian reform. On the part of the ELI-UNIDROIT Project panellists were Neil Andrews, Astrid Stadler and Alan Uzelac and REMCO van Ree. For more information on the conference see http://www.europeanlawinstitute.eu/fileadmin/user_upload/p_eli/Events/HU_UNIDROIT_AGENDA.pdf.

A presentation and discussion of the ELI- UNIDROIT project was also featured at the 2016 ELI Annual Conference that took place in Ferrara on 7-9 September 2016. The UNIDROIT Deputy Secretary-General Anna Veneziano co-chaired the presentations together with the President of ELI Diana Wallis. The work of the groups on “lis pendens and res judicata”, “obligation of parties and lawyers” as well as “judgements” and “structure of the proceedings” was discussed. The panellists were Frédérique Ferrand, Paul Gilligan, Raffaele Sabato, Alan Uzelac, Chiara Besso and Xandra Kramer.

Finally, a joint meeting of the Steering Committee, Co-Reporters and Working Group Members was held in Vienna on 21-22 November 2016. The meeting discussed the advanced drafts of the three initial Working Groups, ‘Access to information and evidence’, ‘Service and due notice of proceedings’, and ‘Provisional and protective measures’. The ELI President Diana Wallis and the UNIDROIT Deputy Secretary-General Anna Veneziano opened the two-day meeting chairing the discussion on the presentations made by the Co-Reporters with Working Group Members, Advisers and Observers. Representatives of the European Commission, European Parliament, Council of Bars and Law Societies of Europe, the Hague Conference on Private International Law as well as members of the UNIDROIT Governing Council, private practitioner, and academics attended the event and contributed with valuable remarks and comments on the presented draft rules.

4. UNIDROIT Principles of International Commercial Contracts

a. Adoption of the 2016 UNIDROIT Principles of International Commercial Contracts

At its 95th session (Rome, 18-20 May 2016), the UNIDROIT Governing Council adopted amendments and additions to the 2010 UNIDROIT Principles of International Commercial Contracts and authorized the Secretariat to prepare and publish a new edition to be known as the “2016 UNIDROIT Principles of International Commercial Contracts”. In accordance with the Governing Council’s authorization, the Secretariat worked to prepare the new edition, including editorial work to ensure consistent style and language, and that edition is to be published by the end of March 2017.

b. UNILEX

Monitoring of the use in practice of the UNIDROIT Principles continues on a systematic basis. By the end of December 2016, UNILEX, the database of international case law and bibliography on the United Nations Convention on Contracts for the International Sale of Goods (CISG) and the UNIDROIT Principles <<http://www.unilex.info>> contained 189 arbitral awards and 236 national court decisions (from 30 States) and 5 international court decisions referring in one way or another to the Principles.

5. Transactions on international and connected capital markets

Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets

The final session of the diplomatic Conference to adopt a Convention on Substantive Rules regarding Intermediated Securities (Geneva 5-9 October 2009), *inter alia*, adopted the UNIDROIT Convention on Substantive Rules for Intermediated Securities (Geneva Securities Convention) and established a Committee on Emerging Markets Issues, Follow-Up and Implementation (Committee on Emerging Markets) to assist with the Convention's promotion and implementation.

Using the guidance provided by the Committee on Emerging Markets at its meetings (Rome, 6-8 September 2010; Rio de Janeiro, 27-28 March 2012; and Istanbul, 11-13 November 2013), an informal group of experts assisted the Secretariat with the preparation and review of the draft Legislative Guide that the group recommended be re-entitled the "UNIDROIT Legislative Guide on Intermediated Securities: Implementing the Principles and Rules of the Geneva Securities Convention". The informal group was chaired by Mr Hideki Kanda (Member of the UNIDROIT Governing Council and Professor of Law, Gakushuin University) and included Mr Philippe Dupont (Partner, Arendt & Medernach), Ms Dorothee Einsele (Professor of Law, University of Kiel), Mr Francisco J. Garcimartín Alférez (Professor of Law, Universidad Autónoma of Madrid), Mr Philippe Goutay (Jones Day, Paris), Mr Thomas Keijser (Senior Researcher, Radboud University), Ms Maria Chiara Malaguti (Professor of Law, Catholic University of the Sacred Heart), Mr Charles W. Mooney, Jr. (Professor of Law, University of Pennsylvania), Mr Luc Thévenoz (Professor of Law, University of Geneva), and Ms Wu Jing (Senior Manager, Legal Department, China Securities Depository and Clearing Corporation).

Based on a review of a partial initial draft and an agreement on a revised outline at their first informal meeting (Rome, 23-24 October 2015), the experts submitted drafts of the portions for which they were responsible in January 2016, and those drafts were combined into a single document by the Secretariat and circulated to the informal group in February 2016. Following a videoconference held on 7 March 2016, during which initial comments on the combined draft were discussed, it was agreed that the experts would endeavour to provide comments on the combined draft by the end of March 2016 and then provide revisions to their respective contributions by the end of April 2016. Those revisions were used to create a revised draft (see UNIDROIT 2016 – C.D. (95) 6 rev., Annex 2), which was circulated to the group in advance of its second meeting (Rome, 16-17 May 2016). At that meeting, the group reviewed the revised draft in detail, recommended various changes to be implemented by the Secretariat, and considered how the Secretariat should best collect examples and options for the draft, such as legislative or regulatory text or related descriptions, from various intermediated holding systems to offer States guidance in establishing an intermediated securities holding system or evaluating an existing one.

After that meeting, the Secretariat prepared, based on the input received, an updated draft, which was circulated to the group for review and comments on the changes made. Following a period for review by the experts, the Secretariat made additional changes, ultimately preparing a revised draft. That draft, known as the 4 October draft, was circulated to Committee on Emerging Markets members and to other interested organisations and stakeholders for review, comments, and collection of possible examples and options.

At its third meeting (Rome, 12-13 December 2016), the group reviewed in detail the comments and possible examples and options received by that time and took them into account in recommending modifications to the draft Legislative Guide. It also recommended that the possible examples and options be moved from the draft Legislative Guide into a separate document that could then serve as the basis for a webpage on UNIDROIT's website, on which the examples and options could be keyed to the relevant paragraphs of the Legislative Guide and be kept up to date. Following that meeting, the Secretariat incorporated the group's input and prepared a revised version of the draft Legislative Guide, which would be circulated again to Committee on Emerging Markets members and to other interested organisations and stakeholders and would be reviewed in detail by the Committee on Emerging Markets at its fourth meeting (Beijing, 29-30 March 2017).

B. FOLLOW-UP OF INSTRUMENTS ADOPTED BY UNIDROIT

The Secretariat continued to do its utmost, in 2016, to promote the UNIDROIT Conventions and other instruments by presenting them at conferences or by publishing articles focussing on them.

Annex II provides an overview of the instruments drawn up by UNIDROIT as well as the state of implementation of Conventions prepared by UNIDROIT and approved by diplomatic Conferences convened by UNIDROIT member States. For the implementation of instruments based on work conducted within UNIDROIT, see Annex III.

1. UNIDROIT Principles of International Commercial Contracts

Promotion of the UNIDROIT Principles 2010

In the course of 2016 the UNIDROIT Principles 2010 were presented at a number of seminars, conferences and lectures to interested academic and business circles:

- On 14 March 2016, UNIDROIT hosted a seminar in the framework of the Cooperation Agreement with the Graduate School of Law at Nagoya University (Japan), with presentations by Professors Dai Yokomizo (on "The Cross-border Transfer of Stolen Cultural Objects and the UNIDROIT Convention: the Japanese perspective") and Giorgio F. Colombo (on "The role of UNIDROIT Principles in legal education in Japan: some practical remarks").
- On 27 May 2016, the Secretary-General and Deputy Secretary-General of UNIDROIT were both invited to deliver lectures in the 4th Edition of the Masters LUISS Business and Company Law: European and International Perspectives, respectively on an "Introduction to International Commercial Contracts" and on the "UNIDROIT Principles of International Commercial Contracts".
- On 9 August 2016 the Secretary-General of UNIDROIT participated in the 77th International Law Association Conference in Johannesburg, South Africa and delivered a paper titled "Assessing the impact of commercial law harmonisation: the experience of UNIDROIT". During the mission he was also invited to deliver a public lecture on "The impact of the CISG and the UNIDROIT Principles of International Commercial Contracts on domestic law" at the Law Faculty.
- On 9 September 2016, the President of UNIDROIT Alberto Mazzoni took part in a conference entitled "Towards a Global Framework for International Commercial Transactions", co-organised by the Hague Conference on Private International Law and the University of Lucerne. Professor Mazzoni participated in a panel discussion entitled "Envisioning the future of the Hague Principles and its impact on international commercial transactions" chaired by Mr Christophe Bernasconi (Secretary-General of HCCH) together with Mr Ivan Nimac (Head of the Vienna Office of Trade and Competitiveness Global Practice, World Bank), as well as two UNIDROIT Governing Council Members: Mr José Antonio Moreno Rodríguez (Professor, CEDEP, Asunción, Paraguay) and Mr Jan L. Neels (Professor of Private International Law, University of Johannesburg, South Africa).
- On 15 September 2016, the Deputy Secretary-General of UNIDROIT delivered an on-line lecture on UNIDROIT and the UNIDROIT Principles at the Certificate Program on International Commercial Law and International Alternative Dispute Resolution –Pace Law School (NY, US).
- On 30 September 2016, Roma Tre University and UNIDROIT co-hosted the 3rd Edition of the Annual International Arbitration Lecture. The President of UNIDROIT Professor Alberto Mazzoni, the Secretary-General of UNIDROIT José Angelo Estrella Faria and Roma Tre Professor Andrea Zoppini delivered introductory speeches, followed by the keynote lecture of Yves Derains (founding partner of Derains & Gavi and former Secretary-General of the International Chamber of Commerce (ICC) Court), entitled "Mandatory rules and international arbitrators, in the light of Article 1.4 of the UNIDROIT Principles". The event was attended by over 60 members of the international legal professional and academic community.
- On 7–8 November 2016, the UNIDROIT Secretary-General participated in a Workshop organised by the Pontifical Catholic University of Rio de Janeiro (PUC), of which he was also a joint academic coordinator with Professor Lauro Gama Jr. The objective of the Workshop was to discuss issues related to the applicability of the UNIDROIT Principles, as well as the relevant contents thereof

for Brazilian civil law. On the first day, the Secretary-General participated in two Panels dedicated to Long Term Contracts and the UNIDROIT Principles, whereas on the second he participated in a Seminar dedicated to usage and customary application, as well as relevant trade usages of the UNIDROIT Principles in Long Term Contracts.

- On 10-11 November 2016, the UNIDROIT Secretary-General participated in the 10th edition of the "Jornadas ASADIP" on international contracts, where he participated in a panel on the future of international regulation of international contracts with a presentation on the Future of Contract Law Harmonisation.

2. International Interests in Mobile Equipment

a. Cape Town Convention and its Protocols

Depositary

UNIDROIT has been designated as the Depositary of the *Cape Town Convention* (pursuant to Article 62(1) of the Convention) and the *Aircraft Protocol* (pursuant to Article XXXVII(1) of the Aircraft Protocol), which both entered into force on 1 March 2006. As at 31 December 2016, there were 73 Contracting States and one Contracting Regional Economic Integration Organisation to the Convention and 65 Contracting States and one Contracting Regional Economic Integration Organisation to the Aircraft Protocol.

Between 1 January and 31 December 2016, the following two States deposited their instruments of ratification or accession to the Convention and Aircraft Protocol: Democratic Republic of the Congo and Sierra Leone. Also during that period, Swaziland deposited its instrument of accession to the Convention (only) and Côte d'Ivoire deposited its instrument of accession to the Aircraft Protocol.

UNIDROIT has been designated as the Depositary of the *Luxembourg Protocol* (pursuant to its Article XXXIV(1)). The Luxembourg Protocol was adopted on 23 February 2007 at a diplomatic Conference held in Luxembourg, has 7 Signatory States (France, Gabon, Germany, Italy, Mozambique, Switzerland and the United Kingdom), 1 Contracting State (Luxembourg) and one Contracting regional economic integration organisation (European Union). It has not yet entered into force.

Seminars, conferences and lectures

During 2016 the Cape Town Convention and its Protocols were the focus of a number of conferences, seminars and lectures around the world. With particular regard to the activities in which UNIDROIT was directly involved (and in addition to what was already mentioned above, para. A, No. 2, lit. b)):

- On 6 and 7 February 2016 Deputy Secretary-General Anna Veneziano was invited to lecture in the Master Programme in Transnational and European Commercial Law, Mediation, Arbitration and Energy Law at the International Hellenic University in Thessaloniki (Greece) on "International harmonisation of commercial law: financial leasing and secured transactions (in particular, the contribution of UNIDROIT)".

- On 26 February 2016 the Rail Working Group organised a breakfast event for industry stakeholders on the Luxembourg Rail Protocol at the House of Lords in London, hosted by Lord Berkeley, the Chairman of the Rail Freight Group, and a director of the European Rail Freight Association. Among others, the Deputy Secretary General of UNIDROIT Anna Veneziano, the Secretary General of OTIF François Davenne and the Chairman of the Rail Working Group Howard Rosen delivered short speeches. The event was attended by over a hundred of invited participants from various industry branches and institutional bodies.

- On 1 March 2016 an industry seminar organised by the Rail Working Group was held in London. The seminar was opened by H.E. Patrick Engelberg, Ambassador of Luxembourg. Speakers included Sir Roy Goode, UNIDROIT Deputy Secretary General Anna Veneziano, RWG Chairman Howard Rosen, RWG Secretary Martin Fleetwood, Head of the Rail Technical International and Safety in the UK Department for Transport Andrea Pearson, Registrar Designate Elizabeth Hirst as well as

other experts from financing corporations and legal offices.

- The Deputy Secretary-General attended, on behalf of UNIDROIT, the Inaugural Roy Goode Lecture in Commercial Law organised by the Centre for Commercial Law Studies of the Queen Mary University of London, featuring Sir Roy Goode's lecture on "The Cape Town Convention Model" (1 March 2016).

- On 7 April 2016, the Rail Working Group ran a half-day seminar hosted by the Union Internationale des Chemins de Fer (UIC). Speakers included the Secretary General of UNIDROIT José Angelo Estrella Faria, the Secretary General of OTIF François Davenne, the Co-Chairs of the Preparatory Commission Mervi Kaikkonen and Peter Bloch, the Registrar Designate Elisabeth Hirst, Rail Working Group representatives as well as other academics, advisors and practitioners.

- On 7 July 2016, the UNIDROIT Secretary-General was invited to speak at a Seminar entitled "Recent developments on Economic and Commercial Legislation in Latin America" in Rome, hosted and organised by La Sapienza University of Rome, and specifically as part of the Masters in International Business Law (IBL) and the Chair of International Business Law (Professor D.U. Santosuosso), with the participation of the diplomatic missions to eight countries from Latin America. The Secretary-General delivered a presentation on "The Economic impact of international commercial law: the example of the Convention on international interests in mobile equipment."

- The Deputy Secretary-General of UNIDROIT took part in a Workshop organised by the US Department of Transport and Indian Railways in Delhi from 18 to 20 October 2016. A session of the Workshop was specifically devoted to the presentation of the Luxembourg Rail Protocol, with the joint participation of the Deputy Secretary General, Peter Bloch (Chair of the Ratification Task Force), Howard Rosen (Chair of the Rail Working Group), and Elisabeth Hirst (Managing Director, Regulis SA – Registrar Designate). The Workshop was attended by numerous delegates from the United States of America, India, and other Asian jurisdictions.

- A Symposium was held in Rome at the seat of UNIDROIT on Wednesday 30 November to address the latest developments regarding the Luxembourg Rail Protocol and the benefits of becoming a party thereto. The symposium was attended by over 50 appointed government officials of all UNIDROIT member States and States parties to the Cape Town Convention, and industry stakeholders. The Secretary-General of UNIDROIT, Mr José Angelo Estrella Faria and the Secretary-General of OTIF, Mr François Davenne, opened the event, which featured panels focussing on the Emerging Opportunities of the Luxembourg Rail Protocol, Updates from the Ratification Task Force, and a Roundtable on the benefits of the Protocol for the financing in the rail sector. The Symposium also benefitted from a statement provided by the Ambassador of Mozambique, H.E. Maria Manuela Lucas, reiterating the importance of Mozambique's recent signature and its intention to proceed to ratification.

Other activities

- Between 15 and 16 August 2016 the UNIDROIT Secretary-General visited Mozambique and met with governmental officials and private sector representatives to discuss the utility of implementing UNIDROIT instruments in Mozambique. Of particular note with reference to the Rail Protocol was his meeting Mr Carlos Fortes Mesquita, Minister of Transport and Communications.

b. Cape Town Convention Academic Project

The Cape Town Convention Academic Project, a joint endeavour of the University of Oxford, Faculty of Law, and the University of Washington, School of Law (as well as under the auspices of UNIDROIT for the repository and the journal), held its 5th Annual Conference in Oxford on 8 and 9 September 2016, with the active participation of academics, practicing lawyers and governmental experts. Topics addressed in the presentations included the impact of the Cape Town Convention in Europe; a discussion on a framework for economic assessment of the protocols; a comparative analysis of aircraft, rail, and space international registries and their regulatory provisions; an assessment of the legal and economic case for a shipping protocol; a discussion of a hypothetical case study applying the Aircraft Protocol, art. XI (Alternative A); Cape Town Convention and cross-border insolvency; the relationship between EU transport regulation and the Rail Protocol. The main presentations were

published in the 5th issue of the Cape Town Convention Journal, together with the updated UNIDROIT Depository Report.

3. International Protection of Cultural Property

1995 UNIDROIT Convention on Stolen or Illegally exported Cultural Objects and UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects

On 31 December 2016, there were 37 Contracting States of the *UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects* (cf. Annex II). Other instruments of accession are to be deposited with the Depository and ratification or accession procedures are in progress in other countries.

The celebrations of the 90th anniversary of UNIDROIT, and in particular the special session of the General Assembly held on 20 May 2016, provided an opportunity for participants to praise the contribution of UNIDROIT in the fight against illicit traffic in cultural property through the 1995 Convention, a remarkable international instrument which in many ways has set the standard for the protection of cultural property.

UNIDROIT, partner in the implementation of the UN Security Council Resolution 2199

UNIDROIT continued its collaboration with the working group set up for the implementation of Resolution 2199 of the UN Security Council adopted in February 2015 condemning the destruction cultural heritage in Iraq and Syria, and adopting binding measures to combat the smuggling of antiquities and cultural objects from these countries⁶, and UNSC Resolution 2253, in particular paragraph 24, which highlights the importance of developing strong relationships with the private sector in countering the financing of terrorism and calls upon Member States to engage with financial institutions and share information on terrorist financing risks.

UNIDROIT attended a High level video-conference organized by UNESCO held on 25 January 2016 with the heads of the partner agencies and a Special Level event on "The Global Threat of Trafficking in Cultural Property: Crime Prevention and Criminal Justice Responses" organized by UNODC on 23 May 2016 during the 25th session of the Commission on Crime Prevention and Criminal Justice (CCPCJ) in Vienna. This event gave an excellent opportunity to raise awareness, give visibility to the activities/tools of the respective organisations in protection of cultural property and to showcase the cooperative work in this important area to the international community at a high level. On this occasion, the Practical Assistance Tool, aimed at facilitating the implementation of the International Guidelines for Crime Prevention and Criminal Justice Responses with Respect to Trafficking in Cultural Property and Other Related Offences (adopted by GA Assembly in December 2014), was launched.

UNIDROIT also attended a "Conference to Assess the implementation of UNSC Resolution 2911/2015 for the protection of cultural heritage in the Middle East" organised by INTERPOL in Beirut (14-15 December 2016). The aim of this conference - gathering experts from countries directly concerned by the illicit trafficking in cultural objects as origin countries as well as experts from important transit and destination countries - was to coordinate the efforts to improve the legal response to this type of crime, to identify possible trafficking routes / networks, and also to support the countries in their requests for the restitution of artefacts stolen and looted from their country. The strengthening of domestic legislations to comply with the provisions of major international conventions in the field, such as the UNESCO and UNIDROIT Conventions was discussed at length.

⁶ See "Report of the Secretary-General on the threat posed by ISIL (Da'esh) to international peace and security and the range of United Nations efforts in support of Member States in countering the threat" (UNSC document S/2016/92, 29 January 2016) recognizing the role of UNIDROIT (para. 53).

Institutional and academic partnerships

In the context of the increasing international awareness for the protection of cultural heritage as a security and humanitarian imperative, the Permanent Delegations of Jordan and Italy to the United Nations, together with INTERPOL, UNESCO and UNODC, launched, a series of Expert Meetings on “Protecting Cultural Heritage – an Imperative for Humanity” at UN Headquarters in 2016, in order to further sensitize Member States and the international community on the issue. The meetings focused on specific issues pertaining to the safeguarding of cultural heritage, the protection of cultural property and the fight against illicit trafficking of cultural objects, particularly of an archaeological origin, and as a source of terrorism financing.

Three meetings were held on 2 March, 28 April, 27 May 2016 - respectively on “The market and the import/destination of illegally trafficked cultural property”, “Trafficking and transfer of illegally exported cultural property” and “Destruction of Cultural Heritage & Trafficking and Illicit Transfer of Cultural Property – Origins and Sources of protected items”, respectively. UNIDROIT was closely associated to these meetings and attended the third meeting organised by UNESCO. This series of three expert meetings culminated with a high level event held in September 2016 during the UNGA General Debate and a publication was issued emphasizing the importance of the relevant international conventions, among which the 1995 UNIDROIT Convention ⁷.

Beside its close co-operation with UNESCO, UNIDROIT continues to collaborate with other organisations such as INTERPOL (member of its Expert Group on Stolen Objects), UNODC, WCO (with ARCHEO network), ICOM (International Observatory on Illicit Traffic), ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property) and ISCHAL (International Society for Research on Art and Cultural Heritage Law).

In partnership with the *Conseil des Ventes Volontaires* (CVV), the regulatory authority for voluntary sales operators of movables by public auction in France, UNESCO organised a one-day round table, on 30 March 2016, focusing on the art market and its important role in the fight against illicit trafficking of cultural property ⁸. It was an opportunity in general to increase dialogue between art market players, those responsible for heritage protection and specialised international organisations in particular relating to claims of archaeological objects, and especially for UNIDROIT to answer the objections raised by the art market for years about the 1995 Convention.

UNIDROIT attended the meetings of the UNESCO’s organs to follow-up the 1970 Convention, in particular:

- the 4th Session of the Subsidiary Committee of the 1970 Convention – organised a side-event to present findings of joint research/establish Task Force on financial institutions/banking sector and trafficking culture- held at the UNESCO Headquarters in Paris, 26-28 September 2016;
- the 20th Session of the Intergovernmental Committee for Promoting the Return of Cultural Property to its Countries of Origin or its Restitution in case of Illicit Appropriation, held at the UNESCO Headquarters in Paris, 29-30 September 2016.

UNIDROIT has continued to collaborate with the Council of Europe in attending the May and November meetings of the Committee on offences relating to cultural property (PC-IBC) responsible for the elaboration of the draft Council of Europe Convention on Offences relating to Cultural Property due to be adopted in 2017.

UNIDROIT participates in a course of cultural law of the Postgraduate Center of the University of Vienna in which a module focuses on relevant international conventions among which the 1995 UNIDROIT Convention.

⁷ http://www.italyun.esteri.it/rappresentanza_onu/resource/doc/2016/09/protect.pdf

⁸ See the UNESCO website for the video at - <http://www.unesco.org/new/en/culture/themes/ illicit-trafficking-of-cultural-property/meetings/art-market-round-table/>

Seminars, workshops and conferences

UNIDROIT was also invited to participate in various capacity-building workshops or other expert meetings organised by its partners in 2016, among which:

- Seminar on "Internet and art market" organised by the International Society for Research on Art and Cultural Heritage Law (ISCHAL), the French Institute of Florence and the University of Florence on 26 February 2016;
- Conference on "The Return of Cultural Objects within the European Union – Implementing the Directive 2014/60/EU", organised by the HEURIGHT Research Team in Warsaw (Poland), 21-22 March 2016;
- Information meeting entitled "International law for the protection of cultural heritage against illicit trafficking: presentation, challenges and effective implementation", an initiative of the Group of French-speaking Ambassadors in New York with the Organisation Internationale de la Francophonie (OIF), UNESCO and the Permanent Missions of France and Tunisia to the United Nations, UN Headquarters, 26 May 2016;
- Expert meeting on the importance of sacred cultural objects and ancestral remains to American Indians and on how to use UNESCO and UNIDROIT Conventions to address this issue – hosted by the Australian Permanent Mission to the UN, with the Secretariat of the UN Permanent Forum on Indigenous Issues and the International Indian Treaty Council (IITC), New York, 26 May 2016;
- Sub-Regional Workshop for the Prevention of the Illicit Trafficking of Cultural Material in Southeast Asia, organised by the UNESCO Jakarta Office in cooperation with the Indonesian Ministry of Education and Culture (beneficiary countries: Indonesia, Brunei Darussalam, Malaysia, Philippines, Timor-Leste and Afghanistan), held in Jakarta (Indonesia), 11-12 August 2016;
- International conference "Cultural heritage: disaster preparedness, response and recovery" organised by Portuguese heritage institutions (MNC, FO, FEUP, IC-FEUP) in Lisbon (Portugal) on 3 and 4 November 2016;
- Training workshop on the Fight against the Illicit Trafficking of Cultural Property for Bosnia-Herzegovina, co-organised by the UNESCO Regional Bureau for Science and Culture in Europe and the Italian Carabinieri, held in Rome, 21 to 25 November 2016;
- Regional Meeting for the Fighting against the illicit trafficking in cultural property in Central America (beneficiary countries: Costa Rica, Dominican Republic, El Salvador, Guatemala, Honduras, Nicaragua and Panama, held in Antigua (Guatemala), 28 November - 2 December 2016.

UNIDROIT also participated in seminars and conferences organised by States, such as:

- Seminar on "The Protection of Cultural Property: International Legislation and National Provisions" organised by Tunisia in Sidi Bou Saïd (14 May 2016);
- Ministerial Conference entitled "Culture under Threat" hosted by the Government of the Hashemite Kingdom of Jordan with the support of The Antiquities Coalition and the Middle East Institute in Amman (8 September 2016);
- Sixth International Expert Conference on the Return of Cultural Objects, organised by the Cultural Heritage Administration and the Ministry of Foreign Affairs of Korea, in Gyeongju (17-20 October 2016).
- UNIDROIT also welcomed national delegations to exchange views and experiences in light of legislative developments in the field of cultural property and assist in the process of future accession to the 1995 UNIDROIT Convention, such as:
 - a delegation headed by Ms Dace Melbārde, Minister of Culture of Latvia, accompanied by H.E. Mr Artis Bērtulis, Ambassador of Latvia to Italy, Ms Vita Cīrule, Head, Minister's Office, Mr Juris Dambis, Head, State Inspection of Protection of the Cultural Heritage, Ministry of Culture, Ms Baiba Broka, Head of Administration and Rector's Office, University of Latvia (Member of the UNIDROIT Governing Council), Ms Baiba Moļņika, Secretary General, Latvian National Commission for the UNESCO and Ms Dace Arakeljāna, Counsellor of the Embassy of Latvia to Italy (20 October 2016);

- a delegation headed by Ms Elira Kokona, Secretary-General of the Ministry of Culture of Albania accompanied by Ms Ledia Mirakaj, representative of the Ministry of Culture at the Albanian Embassy in Rome (25 November 2016).

Professor Vrellis, Emeritus Professor, University of Athens, Director of the Hellenic Institute of International and Foreign Law and member of the UNIDROIT Governing Council, was invited by the Faculty of Law of Niš in Serbia to deliver a lecture on the international protection of cultural property and a main part of it was dedicated to the 1995 UNIDROIT Convention (13 April 2016).

4. Capital markets instruments

Geneva Securities Convention

The Convention has one Signatory State, Bangladesh.

It is envisaged that the prospective Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets (see p. 17 above) that is also to promote both the Convention's implementation and the development of internally sound and compatible sets of legal rules for intermediated securities, thereby enhancing legal certainty in this area, could be a very useful reference tool in this regard.

On 6 July 2016, UNIDROIT hosted a presentation by Mr Solomon Ngoladi, an Enforcement Officer of the Securities and Exchange Commission of Nigeria who completed a three-month fellowship to support UNIDROIT's work on the draft Legislative Guide on Intermediated Securities and to conduct independent research on UNIDROIT's capital markets instruments. Mr Ngoladi's presentation – entitled "Implementation of the Geneva Securities Convention in Emerging Markets" – provided overviews of the Geneva Securities Convention and the Nigerian capital market and offered analysis on how implementation of the Convention in emerging markets would promote legal certainty and economic efficiency in the holding and transfer of intermediated securities.

Principles on the Operation of Close-out Netting Provisions

The aim of the 2013 Principles on the Operation of Close-out Netting Provisions is to provide detailed guidance to national legislators of implementing States seeking to revise or introduce national legislation relevant to the functioning of close-out netting, and UNIDROIT is ready to assist implementing States.

III. LEGAL CO-OPERATION PROGRAMME AND NON-LEGISLATIVE ACTIVITIES

A. LEGAL CO-OPERATION PROGRAMME

The broad aim of the legal co-operation programme is to promote relations between UNIDROIT and member and non-member States with a view to achieving the statutory aims of the Organisation. The Programme is designed above all for countries lacking sufficient resources to participate in the legal harmonisation process, in particular developing countries and countries in economic transition, and essentially focuses on the efforts undertaken to implement and disseminate the work of the Institute, and provides training and research opportunities for high-level jurists, by means of a Scholarships Programme. For the Organisation's institutional relations with Governments (visits and seminars in member and non-member countries), see *supra* p. 10.

1. Co-operation with partner organisations

The UNIDROIT Secretariat maintains close institutional co-operation links with a broad range of organisations with a universal or regional mandate. This includes the exchange of information and consultations with respect to topics of common interest, in particular in the framework of the Institute's legislative activities (see *supra*).

2. Research Scholarships Programme

Since it was first launched in 1993, the Programme has enabled the Institute to host nearly 278 researchers from more than 60 countries with a view to their conducting in-depth research on their chosen subject, provided it is in line with UNIDROIT's activities or at any rate involves uniform law, in the Institute Library for an average of two months.

In 2016, donations were received from the Transnational Law and Business University (TLBU), the UNIDROIT Foundation, as well as from members of the UNIDROIT Governing Council.

The Secretariat hosted nineteen researchers from thirteen different countries in 2016 under the Legal Co-operation Programme:

Mr Rishiraj BARUAH (India), LL.M. (Advanced), International Institute of Air Space and Space Law, Leiden University - Research on: "Civil liability for satellite navigation services in India" - UNIDROIT Foundation scholarship (4 January - 4 February);

Mr Guoan LI (P.R.C.), Dean, Professor of law, International law department, School of Law, Xiamen University - Research on: "Modernisation of the international financial regulation and implications for Chinese financial and security market" - United Kingdom Foundation scholarship - (11 January - 12 February);

Mr Ihab ARJA (Jordan), LL.M. Commercial Law, Yarmouk University - Aviation Jurist - Research on: "Protecting the National Interests, Creditors under CTC and the Aircraft Protocol" - UNIDROIT Foundation scholarship (11 January - 11 February);

Mr Dejian KONG (P.R.C), Ph.D., Cand. International Institute of Air and Space Law, Leiden University - Research on: "Civil liability for damage caused by Global Navigation Satellite System (GNSS)" - UNIDROIT Foundation scholarship (1 February - 25 March);

Mr Weldon Walter BLACK ZALDIVAR (Paraguay), LL.M, Fordham University, New York Official, Ministry of Justice - Research on: "Paraguay's Future in the Air Business and the Cape Town Convention" - UNIDROIT Foundation scholarship (4 April - 31 May);

Mr Sofiane BENMESSAOUD (Algeria), PhD Cand., Université d'Oran, Avocat, Barreau d'Oran, enseignant vacataire, Faculté de Commerce, Université d'Oran - Research on: "Lex mercatoria et les grands systèmes juridiques contemporains" - UNIDROIT Foundation scholarship (4 April - 31 May);

Ms Kanella KAPOUTSI (Greece), LL.M., Eberhard Karls Universität Tübingen. Since 2014, Dissertation on Comparative Law - Research on: "Investment Consulting Provided by German and Greek Banks: An analysis of private law and the law of Capital markets" - UNIDROIT Foundation scholarship (4 April – 31 May);

Mr Erna PRILIASARI (Indonesia), Master of Law, University of Indonesia - Head for International Legal Cooperation Sub-Division - The National Law Development Agency, Ministry of Law and Human Rights - Research on: "Financial Leasing – International trends and legal regime in Indonesia" - UNIDROIT Foundation scholarship (26 April – 25 May);

Mr Peng GUO (P.R.C.), Ph.D., University of New South Wales, Australia - Research on : "The Good Faith principle in International long-Term Relational Supply Contracts under changed circumstances: from a perspective of the CISG and the UNIDROIT Principles" - UNIDROIT Foundation scholarship (2 -29 May);

Mr He Bo (P.R.C), Master, TLBU University, Seoul - Research on : "The comparative study of data protection rules in E-commerce" – TLBU scholarship (2 May – 10 June);

Ms Malgorzata POHL (Poland), PhD., (full time) University of Silesia, Katowice PhD., (Cotutelle) Osnabrück University - Assistant, Department of Private International Law, University of Silesia - Research on : "The party autonomy in private international law – the need to revise the basic assumption" - UNIDROIT Foundation scholarship (9 May – 17 June);

Ms Minh Hang NGUYEN (Vietnam), Doyenne, Faculté de droit, Ecole Supérieure de Commerce Extérieur, Hanoi – Research on : "Le droit du contrat de construction au Vietnam: vers une bonne conformité avec les principes internationaux" - UNIDROIT Foundation scholarship (23 May – 3 July);

Mr Jun FU (P.R.C.), PhD, Peking University – Professor, Law School of University of International Business and Economics (UBIE) - Research on : "Investor State Dispute Settlement: Problems, Reforms and Choice of China" - UNIDROIT Foundation scholarship (1 June – 29 July);

Mr Remmy WAMIMBI (Uganda), Bachelor of Laws, Dar es Salaam – Legal Officer, Ministry of Finance, Planning and Economic Development - Research on : "Considerations for a Harmonised Law on Financial Leasing in Uganda and the East African Community"- UNIDROIT Foundation scholarship (1 June - 29 July);

Mr Cristina BRITOS (Argentina), PhD, National University of Cordoba – Research on : The ALI-UNIDROIT Principles of Civil Transnational Procedure as a model to reform Argentine rules of recognition and enforcement for foreign judgments - UNIDROIT Foundation scholarship (5 September – 4 November);

Ms Saloni KHANDERIA (India), LL.M., University of Johannesburg – Research on : "The role of the UNIDROIT Principles on International Commercial Contracts in the law of India" - UNIDROIT General Fund scholarship (13 June – 15 July);

Mr István ERDÖS (Hungary), PhD, Eötvös Loránd University Faculty of Law and Political Sciences – Research on : "The choice and application of non-state law, especially that of the UNIDROIT Principles in international contracts" – UNIDROIT General Fund scholarship (5 September – 4 November);

Ms Sigita FOMICIOVA (Lithuania), LL.M., Vilnius University – Judicial Assistant, Department of Legal Research of the Supreme Court of Lithuania - Research on : "Application of *lis pendens* rule outside the international agreements" – UNIDROIT General Fund scholarship (26 September – 28 October);

Ms Nengjiao WU (PRC), Master, TLBU University, Seoul - Research on : Dispute Settlement of Transnational Electronic Commerce – "Taking Online Dispute Settlement For Example" - TLBU scholarship (24 October – 2 December).

3. Collaborators, interns and researchers

The following persons were welcomed as *research assistants with the UNIDROIT Secretariat* in 2016:

Mr Ercole DE VITO (Italy) Master of Laws, L.U.I.S.S. Guido Carli University in Rome - Research Assistant in the UNIDROIT Secretariat (working on the Community of Practice on Legal Aspects of Contract Farming) with a funding from the International Fund for Agricultural Development (IFAD) (1 January – 30 April and 15 September – 15 December);

Mr Hossein FAHIMI (Iran), LL.M. University of Tehran, Member of Board of Directors and Vice President in Special Affairs, I.R. Iran Securities and Exchange Organization, special guest on capital markets instruments, visiting UNIDROIT (1 June);

Ms Giuditta GIARDINI (Italy), LL.B., Università Cattolica del Sacro Cuore, Milan, Research Assistant in the UNIDROIT Secretariat, working on Cultural Property;

Ms Golnaz JAFARI (Iran), LL.M, The Virje University of Brussels - Research Assistant for the UNIDROIT Secretariat with a UNIDROIT Foundation grant (15 January – 15 March);

Mr Solomon Madike NGOLADI (Nigeria), Senior Supervisor, Enforcement Division, Securities and Exchange Commission, Research on : "The UNIDROIT Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets" - *Research fellow at the UNIDROIT Secretariat* – Joint grant : German funding for netting project/UNIDROIT Foundation (1 April - 30 June).

The following students were welcomed as *interns* collaborating on current projects within the UNIDROIT Secretariat:

Ms Marcela Mollinedo Rocha (Bolivia), Mr Fabio Franceschi Baraldo, Mr Cyro Faria Annes (Brazil), Mr Mohamed Abdelsalam (Egypt), Ms Morgane Frapart (France), Ms Sabrina Genzow, Mr Ben Koehler, Mr Julian Mueller (Germany), Ms Niranjana Menon (India), Ms Shideh Bozorgzadeh (Iran), Ms Elisa Pettorino, Mr Vincenzo Filippone (Italy), Ms Shiho Kato, Mr Naoyuki Okano (Japan), Mr Jaekyu Lee, Ms Jung Hwa You (Republic of Korea), Ms Natalia Nikitina (Russian Federation), Mr Richard Muliika (Uganda), Mr Jason Pruett (USA).

The following persons were welcomed as *independent researchers* in the UNIDROIT Library:

Mr Davit Grigoryan (Armenia), Mr Leonel Sanoni Charry Villalba, Jorge Enrique Cely León, Marko Felipe Corredor, Carlos Ivan Moreno Machado (Colombia), Mr Lubos Mazanec (Czech Republic), Ms Amira Mahmoud, Ms Elham Mabrouk (Egypt), Mr Petri Keskitalo, Ms Isabelle Rueda (France), Mr Maximilian Bender, Mr Jakub Krumrey, Mr Philipp Paech, Mr Thomas Träschler (Germany), Mr Soterios Loizou (Greece), Ms Orsolya Eotvos (Hungary), Ms Ornella Belfiore, Ms Alice Caputo, Ms Caterina Ravot Licheri, Ms Benedetta Sirgiovanni (Italy), Ms Shu Zhang (People's Republic of China), Mr Piotr Stec (Poland), Mr Unai Belintxon, Mr José Carlos Espigares Huete, Ms Laura Garcia Alvarez, Mr Manuel Sanchez Alvarez, Ms Isué Natalia Vargas Brand, Ms Barbara de la Vega Justribo, Mr Juan Pablo Perez Velazquez, Ms Maria Isabel Villar Fuentes (Spain), Ms Dushica Atanasovska (the Former Yugoslav Republic of Macedonia), Ms Souda Youssef (Tunisia), Ms Maria Teresa Pena Ojeda (Venezuela), Mr Nguyen Trung Nam (Vietnam).

B. UNIDROIT ON INTERNET

UNIDROIT website - www.unidroit.org

It will be recalled that the new UNIDROIT website became operative on 10 January 2014. While adjustments will continue to be necessary to correct the inevitable minor defects that only use can reveal, its importance for the dissemination of information on the organisation and its work should be stressed.

Work is currently continuing on the broadcasting of meetings and conferences through the website in streaming. It is a long process, which will require much research and many attempts. The dissemination of video content is currently managed via the free software available to all with YouTube. Conferences are also being posted on the website, the first being the conference

celebrating the twentieth anniversary of the 1995 Convention on Stolen or Illegally Exported Cultural Objects (see <http://www.unidroit.org/conferences-and-seminars/previous-years>), the second the International Symposium on "Private Law, International Cooperation and Development" part of the 90th anniversary events, which was held on 20 May 2016.

On the occasion of the International Symposium on "Private Law, International Cooperation and Development" celebrating the 90th anniversary of the Institute, the Secretary-General announced the entry of UNIDROIT into the social media world by the opening of accounts on Facebook and LinkedIn.

UNIDROIT on Social media

On the occasion of the International Symposium on "Private Law, International Cooperation and Development" celebrating the 90th anniversary of the Institute, the Secretary-General announced the entry of UNIDROIT into the social media world by the opening of accounts on Facebook and LinkedIn. As of December 2016, the Institute had obtained 500 followers on Facebook and 700 followers on LinkedIn.

C. DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

To date, 53 libraries in 47 member States have been designated depositories for UNIDROIT documentation. For a list of Depository Libraries see Annex IV.

D. UNIDROIT LIBRARY

Library cooperation and networking

In 2016 the UNIDROIT Library continued its cooperation strategy with other Roman and foreign libraries.

The cooperation strategy encourages the sharing of resources, in particular with regard to legal periodicals, which are very costly. Thanks to the sharing of legal periodicals, it has become possible to free up a greater part of the budget, which has been utilised for the acquisition of monographs.

In 2016, a collaboration programme was also continued with the Library of our sister organisation UNCITRAL, and also with the Library of the Max-Planck-Institute for Foreign Private and Private International Law in Hamburg.

Library management software upgrading

The Library's software management system Aleph500 was upgraded to the latest version Aleph 21.2.4 without any interruption of services for the Institute's professional staff or the researchers in the Library.

Cataloguing

With regard to cataloguing, work continued with regard to the development of a so called "Legal thesaurus", which allows an even more precise search result in all languages. The project is very innovative, as just a few other libraries in the world operate a legal thesaurus in various languages and, as such, the the cataloguing project has been very challenging.

Data-Bases

UNIDROIT currently subscribes to the following electronic resources: HeinOnline, West Law International and Sistema Pluris On-Line, Beck-Online commercial law modules, LexisNexis Juris Classeur. Those databases cover the civil law, common law and mixed jurisdictions and offer a wide range of research possibility for our researchers.

Digitisation

On the occasion of the Governing Council's 95th session, Rome, -18 - 20 May 2016, it was agreed to proceed with the proposed in-house digitisation project, as an attractive opportunity to make the collection of a large library, such as the UNIDROIT Library, accessible in digital form.

In 2016 the Library therefore started with the key issues involved in the conceptualisation, planning and implementation of an in-house digitisation project. UNIDROIT consulted experts in the field of the digitisation of books regarding the various technical ways of the digitisation of materials in-house, in particular the firm SIAI (Servizi Integrali alle Imprese), and the firm Ex Libris for the implementation of the digitised objects into the online catalogue. About 400 monographs and articles were scanned in-house for the preparation of a test version.

Acquisitions, donations, exchanges

In 2016, the Library's holdings increased by 1030 titles, of which 598 were purchased outright, 143 were obtained on an exchange basis, while 289 further titles were received as gifts for a total value of € 14.450,00. The expansion of the Library's holdings has been hampered by steady increases in the price of publications and a chronic lack of resources.

In 2016, as in previous years, the Library received donations in kind from the Max-Planck-Institute of Foreign Private and Private International Law in Hamburg, and from the Deutsche Forschungsgemeinschaft (DFG).

Visitors

The Library continues to attract readers from all over the world. Among the 994 visitors in 2016, the 42 foreign guests came from 26 different countries.

E. PUBLICATIONS

The Uniform Law Review

The Uniform Law Review is available both on paper and online. Subscriptions can be to either or to both. Data on subscriptions indicate that subscriptions to print (i.e. paper) copies have decreased year by year, from 147 in 2013, to 127 in 2014, to 98 in 2015, decreasing to 83 in 2016. At the same time, subscriptions to online copies increased from 16 in 2013, to 20 in 2014, to 23 in 2015 to 30 in 2016 (15 online only, 15 online plus print). The greatest increase has been to collection subscriptions, i.e. subscriptions through the OUP collection, in addition in 2016 the Uniform Law Review had 873 Developing Country subscriptions.

The Accounts for 2016 (the twelve months ended 31 December 2016) presented by OUP demonstrate that the Uniform Law Review made a profit of € 56,796, the royalties due to UNIDROIT being € 8,969.

As regards the contents of the Review in 2016, the fourth issue of 2016 will contain the acts of the conference organised by the *Institut du droit international des transports* (IDIT) to celebrate the 60th anniversary of the CMR.

Essays in honour of Professor Michael Joachim Bonell

A major effort starting in 2015 and concluded in 2016 was the publication of two volumes of Essays in honour of Professor Michael Joachim Bonell, a long-time collaborator and consultant to UNIDROIT as well as the chief promotor and coordinator of the Principles of International Commercial Contracts. The title of the publication is "Eppur si muove: *The age of Uniform Law – Essays in honour of Michael Joachim Bonell, to celebrate his 70th birthday*, UNIDROIT (ed.), 2016".

The activities of Professor Bonell having spanned many years and many subjects, as many as 344 colleagues world-wide were invited to contribute with an article or, if they were unable to do so, by being listed in the *Tabula Gratulatoria* that opens the volume. In the end, 122 articles were received, some of which were a joint effort of two authors. As many as 143 asked to be listed in

the *Tabula Gratulatoria*. As many as 29 articles deal with the UNIDROIT Principles in one way or another, but also other projects or instruments adopted by UNIDROIT are examined in the articles. As a whole, the articles cover: Personal Reminiscences; Legal Theory; Comparative Law, Transnational Law and Uniform Law in General: (a) *Comparative Law*; (b) *Transnational Law*; (c) *Uniform Law in General*; Private International Law; European Law: (a) *European Law in General*; (b) *European Private Law*; Contract Law: (a) *Comparative Contract Law*; (b) *International Contract Law*; (c) *UNIDROIT Principles of International Commercial Contracts*; Sales and Other Types of Contract: (a) *International Sales Contracts*; (b) *European Sales Contracts*; (c) *Other Types of Contract*; Company, Banking, Insolvency and Intellectual Property Law: (a) *Company Law*; (b) *Banking Law*; (c) *Insolvency Law*; (d) *Intellectual Property Law*; Capital Markets; Property Law; Cultural Property; Succession; Arbitration and ADR; and Civil Procedure Law.

"UNIDROIT 90 Years / Les 90 ans d'UNIDROIT"

A second initiative was a volume to mark the 90th anniversary of the foundation of the Institute which will be published in 2017. It will contain a section on the Institute's history, which will include also illustrations of historic documents of importance to the history of UNIDROIT, such as the League of Nations document regarding its creation, as well as biographies of key persons in the history of the Institute. A section will include short descriptions of a selection of instruments and activities and comments by experts involved in their preparation, with short biographies of those experts. A third part of this publication will be a history of the Villa Aldobrandini which houses the Institute since its inception. This volume is not intended for sale, but as a publication which celebrates the Institute to be used for official purposes.

Booklets with UNIDROIT Instruments

As indicated in the *Annual Report 2014* (C.D.(94) 2), the Secretariat has adopted the policy of printing booklets containing the text of UNIDROIT instruments that are not for sale but for use at conferences and other events. This practice continued in 2016, when the Secretariat published the French version of the Aircraft Protocol after completion of the review procedure to correct some printing errors.

F. UNIDROIT FOUNDATION

The Board of Governors of the UNIDROIT Foundation met in Rome on 17 May 2016.

From 29 to 31 March 2016 the UNIDROIT Foundation and the Commercial Law Centre of the Harris-Manchester College of the University of Oxford organised two invitational academic workshops respectively on "Economic Assessment of International Commercial Law Reform" and "Best Practices in the field of electronic registry design and operations". The Deputy Secretary-General attended the workshops on behalf of UNIDROIT.

ANNEX I

**LIST OF THE DOCUMENTS PUBLISHED BY THE UNIDROIT SECRETARIAT IN 2016
ON THE IMPLEMENTATION OF ITS WORK PROGRAMME**

The following documents relating to the implementation of the Institute's Work Programme were published in 2016, in English and French unless otherwise stated:

STUDY LXV – LEGAL COOPERATION PROGRAMME

Scholarships: Impl. 28 – Research Scholarships Programme. Implementation report for 2016

**STUDY LXXII K – PROTOCOL ON MATTERS SPECIFIC TO AGRICULTURAL, CONSTRUCTION AND MINING
EQUIPMENT (MAC PROTOCOL)**

Study Group – Fourth meeting (Rome, 7-9 March 2016)

- Doc. 1 – Annotated agenda
- Doc. 2 – Issues Paper
- Doc. 3 – 5th Annotated Draft Protocol
- Doc. 4 – Preliminary List of HS codes (March 2016)
- Doc. 5 – Report
- Doc. 6 – 6th Annotated Draft Protocol
- Doc. 7 – Preliminary List of HS codes (May 2016)

**STUDY LXXVIA – TRANSNATIONAL CIVIL PROCEDURE - FORMULATION OF REGIONAL RULES / ELI –
UNIDROIT RULES OF TRANSNATIONAL CIVIL PROCEDURE**

Steering Committee and Co-Reporters – Joint Meeting (Rome, 21-22 April 2016)

- SC IV Doc. 1 – Draft Agenda
- SC IV Doc. 2 – Working group on “access to information and evidence”. Report for the Steering Committee Meeting
- SC IV Doc. 3 – Working Group on *Lis pendens* and *Res Judicata*: Third Report (Co-reporters Frédérique Ferrand and Burkhardt Hess)
- SC IV Doc. 4 – ELI/UNIDROIT working group: service of documents 4th Progress Report - April 2016
- SC IV Doc. 4bis – 4th Progress Report (Co-reporters: Astrid Stadler & Eva Storskrubb) April 2016
- SC IV Doc. 5 – Working Group on provisional and protective measures report for Rome discussion
- SC IV Doc. 6 – ELI/ UNIDROIT Working Group: obligations of parties, lawyers & judges - Progress Report, 10 April 2016
- SC IV Misc. 1 – Provisional order of business
- SC IV Misc. 2 – List of participants

INSTRUMENTS DRAWN UP BY UNIDROIT / INSTRUMENTS ELABORES PAR UNIDROIT

- 1964 Convention relating to a Uniform Law on the International Sale of Goods (ULIS) / *Convention portant loi uniforme sur la vente internationale des objets mobiliers corporels (LUVI)* *
- 1964 Convention relating to a Uniform Law on the Formation of Contracts for the International Sale of Goods (ULFIS) / *Convention portant loi uniforme sur la formation des contrats de vente internationale des objets mobiliers corporels (L UFC)* *
- 1970 International Convention on the Travel Contracts (CCV) / *Convention internationale relative au contrat de voyage (CCV)*
- 1973 Convention providing a Uniform Law on the Form of an International Will / *Convention portant loi uniforme sur la forme d'un testament international*
- 1983 Convention on Agency in the International Sale of Goods / *Convention sur la représentation en matière de vente internationale de marchandises*
- 1988 UNIDROIT Convention on International Financial Leasing / *Convention d'UNIDROIT sur le crédit-bail international*
- 1988 UNIDROIT Convention on International Factoring / *Convention d'UNIDROIT sur l'affacturage international*
- 1994 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects / *Convention d'UNIDROIT sur les biens culturels volés ou illicitement exportés*
- 1998 Guide to International Master Franchise Arrangements / *Guide sur les accords internationaux de franchise principale*
- 2001 Convention on International Interests in Mobile Equipment / *Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2001 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Aircraft Equipment / *Protocole portant sur les questions spécifiques aux matériels d'équipement aéronautiques à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2002 Master Franchise Disclosure Law / *Loi type sur la divulgation des informations en matière de franchise*
- 2004 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 2004 ALI/UNIDROIT Principles of Transnational Civil Procedure / *Principes ALI/UNIDROIT de procédure civile transnationale*
- 2007 Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Railway Rolling Stock / *Protocole de Luxembourg portant sur les questions spécifiques au matériel roulant ferroviaire à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2007 Guide to International Master Franchise Arrangements, 2nd edition / *Guide sur les accords internationaux de franchise principale, 2ème édition*
- 2008 UNIDROIT Model law on Leasing / *Loi type d'UNIDROIT sur la location et la location-financement*
- 2009 UNIDROIT Convention on Substantive Rules for Intermediated Securities / *Convention d'UNIDROIT sur les règles matérielles relatives aux titres intermédiés*

* The ULIS and ULFIS Conventions have been denounced by Belgium, Germany, Italy, Luxembourg, the Netherlands and San Marino. Under the 1969 Vienna Convention on the Law of Treaties they have not ceased to exist and they are still in force between Gambia, Israel and the United Kingdom / *Les Conventions LUVI et L UFC ont été dénoncées par l'Allemagne, la Belgique, l'Italie, le Luxembourg, les Pays-Bas et Saint-Marin. En vertu de la Convention de Vienne sur le droit des traités de 1969, elles n'ont pas cessé d'exister et restent en vigueur entre la Gambie, Israël et le Royaume-Uni.*

2010	Principles of International Commercial Contracts / <i>Principes relatifs aux contrats du commerce international</i>
2011	UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects / <i>Dispositions modèles UNESCO-UNIDROIT définissant la propriété de l'Etat sur les biens culturels non découverts</i>
2012	Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Space Assets / <i>Protocole portant sur les questions spécifiques aux biens spatiaux à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles</i>
2013	Principles on the Operation of Close-out Netting Provisions / <i>Principes concernant l'applicabilité des clauses de résiliation-compensation</i>
2013	Model Clauses for Use by Parties of the UNIDROIT Principles of International Commercial Contracts / <i>Clauses types sur l'utilisation des Principes d'UNIDROIT relatifs aux contrats du commerce international par les parties</i>
2016	Principles of International Commercial Contracts / <i>Principes relatifs aux contrats du commerce international</i>
2016	UNIDROIT/FAO/IFAD Legal Guide on Contract Farming / <i>Guide juridique sur l'agriculture contractuelle UNIDROIT/FAO/FIDA</i>

STATUS OF IMPLEMENTATION (*) OF CONVENTIONS DRAWN UP BY UNIDROIT AND APPROVED AT DIPLOMATIC CONFERENCES CONVENED BY MEMBER STATES OF UNIDROIT /

ETAT DE MISE EN OEUVRE (*) DES CONVENTIONS PREPAREES PAR UNIDROIT ET APPROUVEES A DES CONFERENCES DIPLOMATIQUES CONVOQUEES PAR DES ETATS MEMBRES D'UNIDROIT

**INTERNATIONAL CONVENTION ON THE TRAVEL CONTRACT (CCV)
CONVENTION INTERNATIONALE RELATIVE AU CONTRAT DE VOYAGE (CCV)**

Adoption:	Place: Brussels / <i>Lieu: Bruxelles</i> Date: 23-04-1970
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 24-02-1976 Conditions: 5 ratifications (art. 36)
Contracting States / Etats contractants	6
Depositary / Dépositaire:	Government of Belgium / <i>Gouvernement belge</i> (art. 34)

STATE / ETAT	SIGNATURE	RATIFICATION ACCESSION / ADHESION	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. ou RESERVES	EFFECT OF / EFFET DE DENU(O)NCIATION
Argentina / <i>Argentine</i>	-	25-11-76	25-02-77	-	14-01-10
Belgium / <i>Belgique</i>	23-04-70	11-04-73	24-02-76	-	04-10-94
Cameroon / <i>Cameroun</i>	-	16-04-75	24-02-76	D: Art. 13(1)	-
Côte d'Ivoire	23-04-70	-	-	-	-
Dahomey	-	28-03-75	24-02-76	-	-
Haute-Volta	27-04-70	-	-	-	-
Italy / <i>Italie</i>	23-04-70	04-07-79	04-10-79	R: Art. 40(1)(a)	-
Lebanon / <i>Liban</i>	23-04-70	-	-	-	-
Morocco / <i>Maroc</i>	23-04-70	-	-	R: Art. 40(1)(a)-(b)	-
Niger	23-04-70	-	-	-	-
Philippines	23-04-70	-	-	-	-
Portugal	23-04-70	-	-	-	-
Rep. of China / <i>Rép. de Chine</i>	30-12-71	16-08-72	24-02-76	-	-
San Marino / <i>Saint-Marin</i>	23-04-70	16-04-09	16-07-09	R: Art. 40(1)(a)	-
Togo	25-03-71	24-11-75	24-02-76	-	-
Vatican City / <i>Cité du Vatican</i>	23-04-70	-	-	-	-

(*) Based on information available to the Secretariat as of 31 December 2016 / *Ce document est basé sur les informations dont dispose le Secrétariat au 31 décembre 2016.*

The UNIDROIT Secretariat may assist States with technical consultations for the ratification of, or the accession to its instruments, as well as for the preparation of legislation based on those instruments / *Le Secrétariat d'UNIDROIT peut apporter son assistance technique aux Etats en vue de la ratification de ses instruments, ou de l'adhésion à ceux-ci, ainsi que pour l'élaboration de législations basées sur ces instruments.*

**CONVENTION PROVIDING A UNIFORM LAW ON THE FORM OF AN INTERNATIONAL WILL
CONVENTION PORTANT LOI UNIFORME SUR LA FORME D'UN TESTAMENT INTERNATIONAL**

Adoption: Place/Lieu: Washington
Date: 26-10-1973

Entry into force: Yes/Oui ≈ Date: 09-02-1978
Entrée en vigueur: Conditions: 5 ratifications (Art. XI)

**Contracting States /
Etats contractants** 13

Depositary / Dépositaire: Government of the United States of America /
Gouvernement des Etats-Unis d'Amérique

STATE / ETAT	SIGNATURE	RATIFICATION ACCESS. / ADHES.	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. DECL. OU RESERVES
Australia / <i>Australie</i>	-	10-09-14	10-03-15	-
Belgium / <i>Belgique</i>	17-05-74	21-04-83	21-10-83	-
Bosnia-Herzegovina / <i>Bosnie-Herzégovine</i> *	-	15-08-94	15-08-94	-
Canada for / pour	-	24-01-77		D: Art. XIV
Manitoba			09-02-78	
Newfoundland / <i>Terre Neuve</i>			09-02-78	
Ontario			15-09-78	
Alberta			01-12-78	
Saskatchewan			08-10-82	
Prince Edward Island / <i>Ile du Prince Edouard</i>			22-03-95	
New Brunswick / <i>Nouveau Brunswick</i>			05-12-97	
Nova Scotia / <i>Nouvelle Ecosse</i>			27-05-01	
British Columbia / <i>Colombie britannique</i>			31-03-14	
Croatia / <i>Croatie</i> *	-	18-05-94	18-05-94	
Cyprus / <i>Chypre</i>	-	19-10-82	19-04-83	-
Ecuador / <i>Equateur</i>	26-07-74	03-04-79	03-10-79	D
France	29-11-74	01-06-94	01-12-94	-
Holy See / <i>Saint-Siège</i>	02-11-73	-	-	-
Iran	27-10-73	-	-	-
Italy / <i>Italie</i>	-	16-05-91	16-11-91	D: Arts. I, II, III
Laos	30-10-73	-	-	-
Libya / <i>Libye</i>	-	04-08-77	09-02-78	-
Niger	-	19-05-75	09-02-78	-
Portugal	-	19-11-75	09-02-78	-
Russian Fed. / <i>Féd. de Russie</i>	17-12-74	-	-	D: Art. XIII
Sierra Leone	27-10-73	-	-	-
Slovenia / <i>Slovénie</i> *	-	20-08-92	20-08-92	-
United Kingdom / <i>Royaume-Uni</i>	10-10-74	-	-	-
United States of America / <i>Etats-Unis d'Amérique</i>	27-10-73	-	-	-

* Dates of notification of succession to the Convention by Bosnia-Herzegovina, Croatia and Slovenia. The former Socialist Federal Republic of Yugoslavia deposited an instrument of accession to the Convention on 9 August 1977. / *Dates de notification de succession à la Convention par la Bosnie-Herzégovine, la Croatie et la Slovénie. L'ancienne République fédérale socialiste de Yougoslavie avait déposé un instrument d'adhésion le 9 août 1977.*

**CONVENTION ON AGENCY IN THE INTERNATIONAL SALE OF GOODS
CONVENTION SUR LA REPRESENTATION EN MATIERE DE VENTE
INTERNATIONALE DE MARCHANDISES**

Adoption: Place: Geneva / *Lieu:* Genève
Date: 17-02-83

Entry into force: No / *Non*
Entrée en vigueur: Conditions: 10 ratifications (art. 33)

**Contracting States /
Etats contractants** /

Depositary: Government of Switzerland
Dépositaire: *Gouvernement suisse* (art. 21)

STATE / ETAT	SIGNATURE	RATIFICATION ACCESSION / ADHESION	ENTRY INTO FORCE/ENTREE EN VIGUEUR	DECL. or RESERV. DECL. OU RESERVES	observations
Chile / <i>Chili</i>	17-02-83	-	-	-	-
France	25-10-84	07-08-87	-	-	-
Holy See / <i>Saint-Siège</i>	17-02-83	-	-	-	-
Italy / <i>Italie</i>	09-04-84	16-06-86	-	-	-
Mexico / <i>Mexique</i>	-	22-12-87	-	Art. 27, 29	-
Morocco / <i>Maroc</i>	17-02-83	-	-	-	-
Netherlands / <i>Pays-Bas</i>	-	02-02-94	-	-	02-02-95 (*)
South Africa / <i>Afrique du sud</i>	-	27-01-86	-	-	-
Switzerland / <i>Suisse</i>	17-02-83	-	-	-	-

(*) Application extended to Aruba / *Application étendue à Aruba.*

**UNIDROIT CONVENTION ON INTERNATIONAL FINANCIAL LEASING
CONVENTION D'UNIDROIT SUR LE CREDIT-BAIL INTERNATIONAL**

Adoption: Place / *Lieu:* Ottawa
Date: 28-05-88

Entry into force: Yes / *Oui* ≈ Date: 01-05-95
Entrée en vigueur: Conditions: 3 ratifications (art. 16.1)

**Contracting States /
Etats contractants** 10

Depositary / Dépositaire: Government of Canada / *Gouvernement du Canada* (art. 25.1)

STATE / ETAT	SIGNATURE	RATIFICATION ACCESSION / ADHESION	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. DECL. OU RESERVES
Belarus / <i>Bélarus</i>	-	18-08-98	01-03-99	-
Belgium / <i>Belgique</i>	21-12-90	-	-	-
Czech Rep. / <i>Rép. tchèque</i>	16-05-90	-	-	-
Finland / <i>Finlande</i>	30-09-90	-	-	-
France	07-11-89	23-09-91	01-05-95	Art. 20
Ghana	28-05-88	-	-	-
Guinea / <i>Guinée</i>	28-05-88	-	-	-
Hungary / <i>Hongrie</i>	-	07-05-96	01-12-96	-
Italy / <i>Italie</i>	13-12-90	29-11-93	01-05-95	-
Latvia / <i>Lettonie</i>	-	06-08-97	01-03-98	-
Morocco / <i>Maroc</i>	04-07-88	-	-	-
Nigeria / <i>Nigéria</i>	28-05-88	25-10-94	01-05-95	-
Panama	31-12-90	26-05-97	01-10-97	-
Philippines	28-05-88	-	-	-
Russian Federation / <i>Féd. de Russie</i>	-	03-06-98	01-01-99	Art. 20
Slovak Rep. / <i>Rép. slovaque</i>	16-05-90	-	-	-
Tanzania / <i>Tanzanie</i>	28-05-88	-	-	-
Ukraine	-	05-12-06	01-07-07	-
United States of America / <i>Etats-Unis d'Amérique</i>	28-12-90	-	-	-
Uzbekistan / <i>Ouzbékistan</i>	-	06-07-00	01-02-01	-

**UNIDROIT CONVENTION ON INTERNATIONAL FACTORING
CONVENTION D'UNIDROIT SUR L'AFFACTURAGE INTERNATIONAL**

Adoption:	Place / <i>Lieu</i> : Ottawa Date: 28-05-88
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 01-05-95 Conditions: 3 ratifications (art. 14.1)
Contracting States / Etats contractants	9
Depositary / Dépositaire:	Government of Canada / <i>Gouvernement du Canada</i> (art. 23.1)

STATE / ETAT	SIGNATURE	RATIFICATION ACCESSION / ADHESION	ENTRY INTO FORCE ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. ou RESERVES
Belgium / <i>Belgique</i>	21-12-90	18-03-10	01-10-10	Art. 18
Czech Rep. / <i>Rép. tchèque</i>	16-05-90	-	-	-
Finland / <i>Finlande</i>	30-11-90	-	-	-
France	07-11-89	23-09-91	01-05-95	Art. 18
Germany / <i>Allemagne</i>	21-12-90	20-05-98	01-12-98	-
Ghana	28-05-88	-	-	-
Guinea / <i>Guinée</i>	28-05-88	-	-	-
Hungary / <i>Hongrie</i>	-	07-05-96	01-12-96	-
Italy / <i>Italie</i>	13-12-90	29-11-93	01-05-95	-
Latvia / <i>Lettonie</i>	-	06-08-97	01-03-98	Art. 18
Morocco / <i>Maroc</i>	04-07-88	-	-	-
Nigeria / <i>Nigéria</i>	28-05-88	25-10-94	01-05-95	-
Philippines	28-05-88	-	-	-
Russian Fed. / <i>Féd. de Russie</i>	-	22-08-14	01-03-15	-
Slovak Rep. / <i>Rép. slovaque</i>	16-05-90	-	-	-
Tanzania / <i>Tanzanie</i>	28-05-88	-	-	-
Ukraine	-	05-12-06	01-07-07	-
United Kingdom / <i>Royaume-Uni</i>	31-12-90	-	-	-
United States of America / <i>Etats-Unis d'Amérique</i>	28-12-90	-	-	-

**UNIDROIT CONVENTION ON STOLEN OR ILLEGALLY EXPORTED CULTURAL OBJECTS
CONVENTION D'UNIDROIT SUR LES BIENS CULTURELS VOLES OU ILLICITEMENT EXPORTES**

Adoption:	Place / <i>Lieu</i> : Rome Date: 24-06-1995
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 01-07-1998 Conditions: 5 ratifications (Art. 12)
Contracting States / Etats contractants:	37
Depositary / Dépositaire:	Italian Government / <i>Gouvernement italien</i>

4 STATE / ETAT	SIGNATURE	RATIFICATION / ACCESS. / ADHES.	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECLARATIONS
Afghanistan	-	23-09-05	01-03-06	Art. 16
Algeria / <i>Algérie</i>	-	09-04-15	01-10-15	Art. 16
Angola	-	19-06-14	01-12-14	Arts. 16, 17
Argentina / <i>Argentine</i>	-	03-08-01	01-02-02	Art. 16
Azerbaijan / <i>Azerbaïdjan</i>	-	06-06-03	01-12-03	Art. 16
Bolivia / <i>Bolivie</i>	29-06-96	13-04-99	01-10-99	Art. 16
Brazil / <i>Brésil</i>	-	23-03-99	01-09-99	Art. 16
Burkina Faso	24-06-95	-	-	-
Cambodia / <i>Cambodge</i>	24-06-95	11-07-02	01-01-03	Art. 16
China / <i>Chine</i>	-	07-05-97	01-07-98	Arts. 3(5), 16
Colombia / <i>Colombie</i>	-	14-06-12	01-12-12	Arts. 16, 17
Côte d'Ivoire	24-06-95	-	-	-
Croatia / <i>Croatie</i>	24-06-95	20-09-00	01-03-01	Arts. 16, 17
Cyprus / <i>Chypre</i>	-	02-03-04	01-09-04	Arts. 16, 17
Denmark / <i>Danemark</i>	-	01-01-11	01-07-11	Art. 14, 16, 17
Ecuador / <i>Equateur</i>	-	26-11-97	01-07-98	Arts. 3(5), 16
El Salvador	-	16-07-99	01-01-00	Art. 16
Finland / <i>Finlande</i>	01-12-95	14-06-99	01-12-99	Arts. 13(3), 16
France	24-06-95	-	-	-
Gabon	-	12-05-04	01-11-04	-

Annex II

Georgia / Géorgie	27-06-95	-	-	-
Greece / Grèce	-	19-07-07	01-01-08	Arts. 13(3), 16
Guinea / Guinée	24-06-95	-	-	-
Guatemala	-	03-09-03	01-03-04	Arts. 3(5), 16
Honduras	-	27-08-13	01-02-14	-
Hungary / Hongrie	24-06-95	08-05-98	01-11-98	Art. 16
Iran (Islamic Rep. of / Rép. islamique d')	-	22-06-05	01-12-05	Art. 16
Italy / Italie	24-06-95	11-10-99	01-04-00	Arts. 13(3), 16
Lithuania / Lituanie	24-06-95	04-04-97	01-07-98	Art. 16
Netherlands / Pays-Bas	28-06-96	-	-	Arts. 3(5), 13(3)
New Zealand / Nouvelle-Zélande	-	16-11-06	01-05-07	Art. 16
Nigeria / Nigéria	-	10-12-05	01-06-06	-
Norway / Norvège	-	28-08-01	01-03-02	Arts. 13(3), 14, 16
Pakistan	27-06-96	-	-	-
Panama	-	26-06-09	01-12-09	Art. 16
Paraguay	13-06-96	27-05-97	01-07-98	Arts. 16, 17
Peru / Pérou	28-06-96	05-03-98	01-09-98	Art. 16
Portugal	23-04-96	19-07-02	01-01-03	Arts. 16, 17
Romania / Roumanie	27-06-96	21-01-98	01-07-98	Arts. 16, 17
Russian Fed. / Féd. de Russie	29-06-96	-	-	-
Senegal / Sénégal	29-06-96	-	-	-
Slovakia / Slovaquie	-	16-06-03	01-12-03	Art. 16
Slovenia / Slovénie	-	08-04-04	01-10-04	Art. 16
Spain / Espagne	-	21-05-02	01-11-02	Arts. 13(3), 16
Sweden / Suède	-	28-06-11	01-12-11	Arts. 13(3), 16, 17
Switzerland / Suisse	26-06-96	-	-	-
The former Yugoslav Republic of Macedonia / Ex- République yougoslave de Macédoine	-	22-08-13	01-02-14	Art. 16
Zambia / Zambie	24-06-95	-	-	-

**CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATERIELS D'EQUIPEMENT MOBILES**

Adoption:	Place: Cape Town / Lieu: Le Cap Date: 16-11-2001
Entry into force:	Yes / Oui ≈ Date: 01-03-2006
Entrée en vigueur:	Conditions: 3 ratifications (Art. 49(1))
Contracting States / Etats contractants	73
Regional economic integration organisations / Organisations régionale d'intégration économique	1
Depositary / Dépositaire:	UNIDROIT

STATE / ETAT	SIGNATURE	RATIFICATION / ACCESS. / ADHES.	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. OU RESERVES
Afghanistan	-	25-07-06	01-11-06	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Albania/ Albanie	-	30-10-07	01-02-08	D: Arts. 39(1)(a)-(b), 54(2)
Angola	-	30-04-06	01-08-06	D: Arts. 39(1)(a), 40, 54(2)
Australia / Australie	-	26-05-15	01-09-15	D: Art. 39(1)(a), 53, 54(2), 55
Bahrain / Bahrein	-	27-11-12	01-03-13	D: Arts. 39(1)(a)-(b), 40, 54(2)
Bangladesh	-	15-12-08	01-04-09	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Belarus / Bélarus	-	28-06-11	01-01-12	D: Art. 54(2)
Bhutan	-	04-07-14	01-11-14	D: Arts. 39(1)(a), 54(2)
Brazil / Brésil	-	30-11-11	01-03-12	D: Arts. 39(1)(a)-(b), 39(4), 53, 54(2)
Burkina Faso	-	12-12-14	¹	-
Burundi	16-11-01	-	-	-
Cameroon / Cameroun	-	19-04-11	01-08-11	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
Canada	31-03-04	21-12-12	01-04-13	D: Arts. 39(1)(a)-(b), 39(4), 52*, 53*, 54(2), 60
Cape Verde / Cap Vert	-	29-09-07	01-01-08	D: Arts. 39(1)(a), 40, 53, 54(2)
Chile / Chili	16-11-01	-	-	-
China / Chine	16-11-01	03-02-09	01-06-09	D: Arts. 39(1)(a)-(b), 40, 50, 53, 54(1)-(2), 55
Colombia / Colombie	-	19-02-07	01-06-07	D: Arts. 39(1)(a), 54(2)

Congo	16-11-01	-	-	-
Costa Rica	-	26-08-11	1	D: Art. 53
Côte d'Ivoire	-	09-02-15	01-07-16	D: Art. 54(2)
Cuba	16-11-01	28-01-09	01-05-09	D: Art. 54(2)
Democratic Republic of the Congo / <i>Rép. démocratique du Congo</i>	-	06-05-16	01-09-16	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Denmark / <i>Danemark</i>	-	26-10-15	01-02-16	D: Arts. 39(1)(a)-(b), 40, 52, 54(2), 55
Egypt / <i>Egypte</i>	-	10-12-14	01-04-15	D: Arts. 39(1)(a), 54(2)
Ethiopia / <i>Ethiopie</i>	16-11-01	21-11-03	01-04-04	D: Arts. 39(1)(a), 40, 54(2)
Fiji / <i>Fidji</i>	-	05-09-11	01-01-12	D: Art. 54(2) *
France	16-11-01	-	-	-
Gabon	-	16-04-10	01-08-10	D
Germany / <i>Allemagne</i>	16-11-01	-	-	-
Ghana	16-11-01	-	-	-
India / <i>Inde</i>	-	31-03-08	01-07-08	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Indonesia / <i>Indonésie</i>	-	16-03-07	01-07-07	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Ireland / <i>Irlande</i>	-	29-07-05	01-11-05	D: Arts. 39(1)(a)-(b), 54(2)
Italy / <i>Italie</i>	06-12-01	-	-	-
Jamaica / <i>Jamaïque</i>	16-11-01	-	-	-
Jordan / <i>Jordanie</i>	16-11-01	31-08-10	01-12-10	D: Arts. 39(1)(a), 54(2)
Kazakhstan	-	21-01-09	01-10-11 ³	D: Arts. 39(1)(a) ⁺ -(b) ⁺ , 39(4) ⁺ , 40 ⁺ , 53 ⁺ , 54(2) ⁺
Kenya	16-11-01	13-10-06	01-02-07	D: Arts. 39(1)(a) ⁺ -(b), 40, 53, 54(2)
Kuwait / <i>Koweït</i>	-	31-10-13	01-02-14	D: Art. 54(2)
Latvia / <i>Lettonie</i>	-	08-02-11	01-06-11	D: Art. 54(2)
Lesotho	16-11-01	-	-	-
Luxembourg	-	27-06-08	01-10-08	D: Arts. 53, 54(2)
Madagascar	-	10-04-13	01-08-13	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malawi	-	16-01-14	01-05-14	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malaysia / <i>Malaisie</i>	-	02-11-05	01-03-06	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malta / <i>Malte</i> **	-	01-10-10	01-02-11	D: Arts. 39(1)(a), 39(4), 40, 53, 54(2)
Mexico / <i>Mexique</i>	-	31-07-07	01-11-07	D: Arts. 39(1)(a)-(b), 50, 53, 54(2), 60
Moldova	-	26-06-15	1	-
Mongolia / <i>Mongolie</i>	-	19-10-06	01-02-07	D: Arts. 39(1)(a)-(b), 53, 54(2)
Mozambique	-	30-01-12	01-11-13	-
Myanmar	-	03-12-12	01-04-13	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
Netherlands / <i>Pays-Bas</i> ⁴	-	17-05-10	-	D: Arts. 39(1)(a)-(b), 52, 53, 54(2)
Caribbean part/ <i>Partie caraïbe</i>			01-10-10	
Aruba			01-09-10	
Curaçao			01-10-10	
Sint Maarten			01-10-10	
New Zealand / <i>Nouvelle-Zélande</i>	-	20-07-10	01-11-10	D: Arts. 39(1)(a), 52, 53, 54(2), 55
Nigeria / <i>Nigéria</i>	16-11-01	16-12-03	01-04-04	D: Arts. 39(1)(a) ⁺ , 40 ⁺ , 53 ⁺ , 54(2) ⁺
Norway / <i>Norvège</i>	-	20-12-10	01-04-11	D: Arts. 39(1)(a)-(b), 40, 54(2), 55
Oman	-	21-03-05	01-07-05	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Pakistan	-	22-01-04	01-05-04	D: Arts. 39(1)(a)-(b), 39(4), 40, 52, 53, 54(2)
Panama	11-09-02	28-07-03	01-04-04	D: Arts. 39(1)(a)-(b), 39(4), 50, 53, 54(2)
Russian Federation / <i>Fédération de Russie</i>	-	25-05-11	01-09-11	D: Arts. 39(1)(a)-(b), 53, 54(2)
Rwanda	-	28-01-10	01-05-10	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
San Marino / <i>Saint-Marin</i>	-	09-09-14	01-01-15	D: Arts. 39(1)(a)-(b), 39(4), 40, 53, 54(2)
Saudi Arabia / <i>Arabie saoudite</i>	12-03-03	27-06-08	01-10-08	D: Art. 54(2)
Senegal / <i>Sénégal</i>	02-04-02	09-01-06	01-05-06	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Seychelles	-	13-09-10	1	-
Sierra Leone	-	26-07-16	01-11-16	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Singapore / <i>Singapour</i>	-	28-01-09	01-05-09	D: Arts. 39(1)(a)-(b), 39(4), 53, 54(2)
South Africa / <i>Afrique du sud</i>	16-11-01	18-01-07	01-05-07	D: Arts. 39(1)(a)-(b), 40, 54(2)
Spain / <i>Espagne</i>	-	28-06-13	01-03-16 ¹	D: Arts. 52, 54(2)
Sudan / <i>Soudan</i>	16-11-01	-	-	-
Swaziland	-	17-11-16	1	-
Sweden / <i>Suède</i>	-	30-12-15	01-04-16	D: Arts. 39(1)(a)-(b), 39(4), 40, 54(2)
Switzerland / <i>Suisse</i>	16-11-01 (<i>ad ref.</i>)	-	-	-
Syrian Arab Republic / <i>République arabe syrienne</i>	-	07-08-07	1	-
Tajikistan / <i>Tadjikistan</i>	-	31-05-11	01-09-11	D: Art. 54(2)
Togo	-	25-01-10	01-04-12 ³	D: Arts. 39(1)(a) ⁺ -(b) ⁺ , 40 ⁺ , 53 ⁺ , 54(2) ⁺
Tonga	16-11-01	-	-	-
Turkey / <i>Turquie</i>	16-11-01	-	-	D: Arts. 39(1)(a)-(b), 40, 50, 54(2)
Ukraine	09-03-04	31-07-12	01-11-12	D: Arts. 50, 53, 54(2)
United Arab Emirates / <i>Emirats arabes unis</i>	-	29-04-08	01-08-08	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
United Kingdom / <i>Royaume-Uni</i>	16-11-01	27-07-15-	01-11-15	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
United Rep. of Tanzania / <i>Rép.-Unie de Tanzanie</i>	16-11-01	30-01-09	01-05-09	D: Art. 54(2)
United States of America / <i>Etats-Unis d'Amérique</i>	09-05-03	28-10-04	01-02-05	D: Arts. 39(1)(a)-(b), 54(2)
Viet Nam	-	17-09-14	01-01-15	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)

Zimbabwe	-	13-05-08	1	
Regional economic integration organisations / Organisations régionale d'intégration économique				
European Union / ² <i>Union européenne</i>	-	28-04-09	01-08-09	D: Arts. 48(2), 55

¹ Subject to Article 49(1) / *Sous réserve de l'article 49(1)*.

² Regional Economic Integration Organisation / *Organisation régionale d'intégration économique* (Art. 48).

³ This State has provided UNIDROIT with information about its laws and policies in relation to the Convention: see www.unidroit.org/english/conventions/mobile-equipment/informationcontractingstates.htm // *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par la Convention: voir www.unidroit.org/french/conventions/mobile-equipment/informationcontractingstates.htm*

⁴ The Kingdom of the Netherlands deposited its instrument of accession to the Convention on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion à la Convention le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)."*

* Affected by withdrawal and/or subsequent declaration / *Fait l'objet d'un retrait ou d'une déclaration subséquente.*

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
ON MATTERS SPECIFIC TO AIRCRAFT EQUIPMENT**
**PROTOCOLE PORTANT SUR LES QUESTIONS SPECIFIQUES AUX MATERIELS D'EQUIPEMENT
AERONAUTIQUES A LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATERIELS D'EQUIPEMENT MOBILES**

Adoption:	Place: Cape Town / <i>Lieu: Le Cap</i> – Date: 16-11-2001
Entry into force:	Yes / <i>Oui</i> ≈ Date: 01-03-2006
Entrée en vigueur:	Conditions: 8 ratifications (Art. XXVIII(1))
Contracting States / Etats contractants	62
Regional economic integration organisations / Organisations régionale d'intégration économique	1
Depositary / Dépositaire:	UNIDROIT

STATE / ETAT	SIGNATURE	RATIFICATION / ACCESS. / ADHES.	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. OU RESERVES
Afghanistan	-	25-07-06	01-11-06	D: Arts. XXIX, XXX(1), (2), (3)
Albania/ <i>Albanie</i>	-	30-10-07	01-02-08	D: Arts. XIX, XXX(1)
Angola	-	30-04-06	01-08-06	D: Art. XXX(1), (2), (3)
Australia / <i>Australie</i>	-	26-05-15	01-09-15	D: Art. XXX(1), (3)
Bangladesh	-	15-12-08	01-04-09	D: Arts. XXIX, XXX(1), (2), (3)
Bahrain / <i>Bahreïn</i>	-	27-11-12	01-03-13	-
Belarus / <i>Bélarus</i>	-	27-09-11	01-01-12	-
Bhutan	-	04-07-14	01-11-14	-
Brazil / <i>Brésil</i>	-	30-11-11	01-03-12	D: Arts. XIX, XXX(1), (2), (3)
Burundi	16-11-01	-	-	-
Cameroon / <i>Cameroun</i>	-	19-04-11	01-08-11	-
Canada	31-03-04	21-12-12	01-04-13	D: Arts. XXIX*, XXX(1), (2),(3)
Cape Verde / <i>Cap-Vert</i>	-	26-09-07	01-01-08	D: Art. XXX(1), (2), (3)
Chile / <i>Chili</i>	16-11-01	-	-	-
China / <i>Chine</i>	16-11-01	03-02-09	01-06-09	D: Arts. XIX, XXIX, XXX(1), (2), (3)
Colombia / <i>Colombie</i>	-	19-02-07	01-06-07	D: Art. XXX(1), (2), (3)
Congo	16-11-01	-	-	-
Côte d'Ivoire	-	01-03-16	01-07-16	-
Cuba	16-11-01	28-01-09	01-05-09	-
Democratic Republic of the Congo / <i>Rép. démocratique du Congo</i>	-	06-05-16	01-09-16	-

Denmark / Danemark	-	26-10-15	01-02-16	D: Art. XXIX, XXX(1), (2), (3)
Egypt / Egypte	-	10-12-14	01-04-15	-
Ethiopia / Ethiopie	16-11-01	21-11-03	01-03-06	D: Art. XXX(1), (2), (3)
Fiji / Fidji	-	30-05-12	01-09-12	D: Art. XXX(1), (2), (3)
France	16-11-01	-	-	-
Germany / Allemagne	16-11-01	-	-	D
Ghana	16-11-01	-	-	-
India / Inde	-	31-03-08	01-07-08	D: Art. XXX(1), (2), (3)
Indonesia / Indonésie	-	16-03-07	01-07-07	D: Art. XXX(1), (2), (3)
Ireland / Irlande	-	23-08-05	01-03-06	D: Art. XXX(1), (2)
Italy / Italie	06-12-01	-	-	-
Jamaica / Jamaïque	16-11-01	-	-	-
Jordan / Jordanie	16-11-01	31-08-10	01-12-10	D: Art. XXX(1), (2), (3)
Kazakhstan	-	01-06-11	01-10-11	D: Art. XXX(1), (2), (3)
Kenya	16-11-01	13-10-06	01-02-07	D: Art. XXX(1), (2), (3)
Kuwait / Koweït	-	31-10-13	01-02-14	-
Latvia / Lettonie	-	08-02-11	01-06-11	-
Lesotho	16-11-01	-	-	-
Luxembourg	-	27-06-08	01-10-08	D: Art. XXX(1), (2), (3)
Madagascar	-	10-04-13	01-08-13	D: Art. XXX(1), (2), (3)
Malawi	-	16-01-14	01-05-14	D: Art. XXX(1), (2), (3)
Malaysia / Malaisie	-	02-11-05	01-03-06	D: Art. XXX(1), (2), (3) ⁺
Malta / Malte ²	-	01-10-10	01-02-11	D: Art. XXX(1)
Mexico / Mexique	-	31-07-07	01-11-07	D: Arts. XIX(1), XXX(1), (3)
Mongolia / Mongolie	-	19-10-06	01-02-07	D: Art. XXX(1), (2), (3)
Mozambique	-	18-07-13	01-11-13	D: Art. XXX(1), (2), (3)
Myanmar	-	03-12-12	01-04-13	D: Arts. XXIX, XXX(1), (2), (3)
Netherlands / Pays-Bas ³	-	17-05-10	-	D: Arts. XXIX, XXX(1), (2)
Caribbean part/Partie caraïbe	-	-	01-10-10	-
Aruba	-	-	01-09-10	-
Curaçao	-	-	01-10-10	-
Sint Maarten	-	-	01-10-10	-
New Zealand / Nouvelle-Zélande	-	20-07-10	01-11-10	D: Arts. XXIX, XXX(1), (3), (5)
Nigeria	16-11-01	16-12-03	01-03-06	D: Art. XXX(1)*, (2)*, (3)*
Norway / Norvège	-	20-12-10	01-04-11	D: Art. XXX(1), (2), (5)
Oman	-	21-03-05	01-03-06	D: Arts. XXIX, XXX(1), (2), (3)
Pakistan	-	22-01-04	01-03-06	D: Arts. XXIX, XXX(1), (2), (3)
Panama	11-09-02	28-07-03	01-03-06	D: Art. XXX(1), (2), (3)
Russian Federation / Fédération de Russie	-	25-05-11	01-09-11	D: Arts. XXX(1)*, (3)
Rwanda	-	28-01-10	01-05-10	D: Arts. XXIX, XXX(1), (2), (3)
San Marino / Saint-Marin	-	09-09-14	01-01-15	D: Art. XXX(1), (2), (3)
Saudi Arabia / Arabie saoudite	12-03-03	27-06-08	01-10-08	-
Senegal / Sénégal	02-04-02	09-01-06	01-05-06	D: Arts. XXIX, XXX(1), (2), (3)
Sierra Leone	-	26-07-16	01-11-16	D: Art. XXX(1), (2), (3)
Singapore / Singapour	-	28-01-09	01-05-09	D: Art. XXX(1)*, (3)
South Africa / Afrique du sud	16-11-01	18-01-07	01-05-07	D: Art. XXX(1), (2), (3)
Spain / Espagne	-	-	-	-
Sudan / Soudan	16-11-01	-	-	-
Sweden / Suède	-	30-12-15	01-04-16	D: Art. XXX(1)
Switzerland / Suisse	16-11-01 (ad ref.)	-	-	-
Tajikistan / Tadjikistan	-	31-05-11	01-09-11	D: Art. XXX(1), (2), (3)
Togo	-	01-12-11	01-04-12	D: Art. XXX(1), (2), (3)
Tonga	16-11-01	-	-	-
Turkey / Turquie	16-11-01	-	-	D: Art. XXX(1), (2), (3)
Ukraine	03-03-04	31-07-12	01-11-12	D: Arts. XIX, XXX(1), XXX(3)
United Arab Emirates / Emirats arabes unis	-	29-04-08	01-08-08	D: Arts. XIX, XXIX, XXX(1), (2), (3)
United Kingdom / Royaume-Uni	16-11-01	27-07-15	01-11-15	D: Arts. XXIX, XXX(1), (2), (3)
United Rep. of Tanzania / Rép.-Unie de Tanzanie	16-11-01	30-01-09	01-05-09	D: Art. XXX(1)*, (2)*, (3)*
United States of America / Etats-Unis d'Amérique	09-05-03	28-10-04	01-03-06	D: Arts. XIX(1), XXX(1)
Viet Nam	-	17-09-14	01-01-15	D: Arts. XIX, XXX(1), (2), (3)

Regional economic integration organisations / Organisations régionale d'intégration économique

European Union / ¹ Union européenne	-	28-04-09	01-08-09	D: Arts. XXVII(2), XXX(5)
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¹ Regional Economic Integration Organisation / Organisation régionale d'intégration économique (Art. XXVII).

² This State has provided UNIDROIT with information about its laws and policies in relation to the Aircraft Protocol: see www.unidroit.org/english/conventions/mobile-equipment/information-contractingstates.htm // *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par le Protocole aéronautique: voir www.unidroit.org/french/conventions/mobileequipment/information-contractingstates.htm*

³ The Kingdom of the Netherlands deposited its instrument of accession to the Aircraft Protocol on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion au Protocole aéronautique le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)"*

* Affected by withdrawal and/or subsequent declaration / *Fait l'objet d'un retrait ou d'une déclaration subséquente.*

LUXEMBOURG PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON MATTERS SPECIFIC TO RAILWAY ROLLING STOCK

PROTOCOLE DE LUXEMBOURG PORTANT SUR LES QUESTIONS SPECIFIQUES AU MATERIEL ROULANT FERROVIAIRE A LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR DES MATERIELS D'EQUIPEMENT MOBILES

Adoption: Place / *Lieu*: Luxembourg
Date: 23-02-2007

Entry into force: No / *Non*
Entrée en vigueur: Conditions: 4 ratifications (Art. XXIII(1))

Contracting States / Etats contractants /

Depositary / Dépositaire: UNIDROIT

STATE / ETAT	SIGNATURE	RATIFICATION / ACCESS. / ADHES.	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. OU RESERVES
Gabon	23-02-07	-	-	-
Germany / <i>Allemagne</i>	21-11-12	-	-	-
Italy / <i>Italie</i>	23-02-07	-	-	-
Luxembourg	23-02-07	31-01-12	-	-
Mozambique	15-11-16	-	-	-
Switzerland / <i>Suisse</i>	23-02-07	-	-	-
United Kingdom / <i>Royaume-Uni</i>	26-02-16	-	-	-
Regional economic integration organisations / Organisations régionale d'intégration économique				
European Union / <i>Union européenne</i>	10-12-09	18-12-14	-	D

**UNIDROIT CONVENTION ON SUBSTANTIVE RULES FOR INTERMEDIATED SECURITIES
CONVENTION D'UNIDROIT SUR LES REGLES MATERIELLES RELATIVES AUX TITRES INTERMEDIÉS**

Adoption: Place: Geneva / *Lieu: Genève*
Date: 09-10-09

Entry into force: No / *Non*
Entrée en vigueur: Conditions: 3 ratifications (art. 42.1)

Contracting States / Etats contractants /

Depositary / Dépositaire: UNIDROIT

STATE / ETAT	SIGNATURE	RATIFICATION / ACCESSION / ADHESION	ENTRY INTO FORCE / ENTREE EN VIGUEUR	DECL. or RESERV. / DECL. OU RESERVES
Bangladesh	09-10-09	-	-	-

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON
MATTERS SPECIFIC TO SPACE ASSETS**

**PROTOCOLE PORTANT SUR LES QUESTIONS SPECIFIQUES AUX BIENS SPATIAUX A LA CONVENTION
RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR
DES MATERIELS D'EQUIPEMENT MOBILES**

Adoption: Place / *Lieu*: Berlin
Date: 09-03-2012

Entry into force: No / *Non*
Entrée en vigueur: Conditions: Art. XXXVIII

**Contracting States /
Etats contractants** /

Depositary / Dépositaire: UNIDROIT

STATE / <i>ETAT</i>	SIGNATURE	RATIFICATION / ACCESS. / <i>ADHES.</i>	ENTRY INTO FORCE / <i>ENTREE EN VIGUEUR</i>	DECL. or RESERV. / <i>DECL. OU RESERVES</i>
Burkina Faso	09-03-12	-	-	-
Germany / <i>Allemagne</i>	21-11-12	-	-	-
Saudi Arabia / <i>Arabie saoudite</i>	09-03-12	-	-	-
Zimbabwe	09-03-12	-	-	-

ANNEX III

**IMPLEMENTATION OF INSTRUMENTS BASED ON
WORK CONDUCTED WITHIN UNIDROIT (*)**

A. INTERNATIONAL INSTRUMENTS IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS OR CONVENTIONS

1. **Convention on the Contract for the International Carriage of Goods by Road (CMR)**, adopted in Geneva in 1956 under the auspices of the Economic Commission for Europe of the United Nations. The Convention, which entered into force in 1961, is based on the draft Convention on the Contract for the International Carriage of Goods by Road (CMR) which was transmitted by UNIDROIT to the Economic Commission for Europe of the United Nations in 1952.

States Parties: Albania, Armenia, Austria, Azerbaijan, Belarus, Belgium, Bosnia-Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Georgia, Germany, Greece, Hungary, Iran (Islamic Republic of), Ireland, Italy, Jordan, Kazakhstan, Kyrgyzstan, Latvia, Lebanon, Lithuania, Luxembourg, Malta, Mongolia, Montenegro, Morocco, Netherlands, Norway, Poland, Portugal, Republic of Moldova, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, the Former Yugoslav Republic of Macedonia, Tunisia, Turkey, Turkmenistan, Ukraine, United Kingdom and Uzbekistan.

2. **UNESCO Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict**, which entered into force in 1956. The Convention is based on the draft UNIDROIT completed in 1951.

States Parties: Albania, Angola, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahrain, Bangladesh, Barbados, Belarus, Benin, Belgium, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Cambodia, Cameroon, Canada, Chad, Chile, China, Colombia, Costa Rica, Côte d'Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Democratic Republic of Congo, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Estonia, Ethiopia, Finland, France, Gabon, Georgia, Germany, Ghana, Greece, Guatemala, Guinea, Holy See, Honduras, Hungary, India, Indonesia, Iran, Iraq, Israel, Italy, Japan, Jordan, Kazakhstan, Kuwait, Kyrgyzstan, Latvia, Lebanon, Libya, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malaysia, Mali, Mauritius, Mexico, Monaco, Mongolia, Montenegro, Morocco, Myanmar, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan, Palestine, Panama, Paraguay, Peru, Poland, Portugal, Qatar, Republic of Moldova, Romania, Russian Federation, Rwanda, San Marino, Saudi Arabia, Senegal, Serbia, Seychelles, Slovakia, Slovenia, South Africa, Spain, Sri Lanka, Sudan, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, Thailand, the Former Yugoslav Republic of Macedonia, Tunisia, Turkey, Ukraine, United Republic of Tanzania, United States of America, Uruguay, Uzbekistan, Venezuela, Yemen and Zimbabwe.

3. **International Convention of 1961 for the Protection of Performers, Producers of Phonograms and Broadcasting Organizations**, adopted under the auspices of ILO, UNESCO and WIPO and which entered into force in 1964. The Convention is very largely based on the preliminary draft Convention for the Protection of Interpreting and Performing Artists, as well as of Manufacturers of Phonographic Records and other phonograms and on the preliminary draft Convention for the Protection of Radio Broadcasts.

States Parties: Albania, Algeria, Andorra, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahrain, Barbados, Belarus, Belgium, Bolivia, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Canada, Cape Verde, Chile, Colombia, Congo, Costa Rica, Croatia, Cyprus, Czech Republic, Denmark, Dominica, Dominican Republic, Ecuador, El Salvador, Estonia, Fiji, Finland, France, Georgia, Germany, Greece, Guatemala, Honduras, Hungary, Iceland, Ireland, Israel, Italy, Jamaica, Japan, Kazakhstan, Kyrgyzstan, Latvia, Lebanon, Lesotho, Liberia, Liechtenstein, Lithuania, Luxembourg, Mexico, Monaco, Montenegro, Netherlands, Nicaragua, Niger, Nigeria, Norway, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, St. Lucia, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, the Former Yugoslav Republic of Macedonia, Togo, Turkey, Ukraine, United Arab Emirates, United Kingdom, Uruguay, Venezuela and Viet Nam.

(*) Based on information available to the Secretariat as of 31 December 2016.

4. **Hague Convention of 1958 concerning the Recognition and Enforcement of Decisions relating to Maintenance Obligations towards Children**, which entered into force in 1962. This Convention was prepared on the basis of the draft Convention on the Recognition and Enforcement abroad of Maintenance Obligations, a first draft of which had been drawn up by UNIDROIT in 1938 and work on which was completed in 1949 when it was transmitted to the Department for Social Affairs of the United Nations. After being revised by a United Nations committee of experts, the draft was recommended to States under a Resolution of the Economic and Social Council at its XVIIth session for use as a model in the drawing up of bilateral conventions or uniform laws for separate adoption by each State.
States Parties: Austria, Belgium, China (for the Special Administrative Region of Macao only), Czech Republic, Denmark, Finland, France, Germany, Hungary, Italy, Liechtenstein, Netherlands, Norway, Portugal, Slovakia, Spain, Suriname, Sweden, Switzerland and Turkey.
5. **European Convention of 1962 on the Liability of Hotel-keepers concerning the Property of their Guests**, adopted under the auspices of the Council of Europe and which entered into force in 1967. The Convention is based on the draft uniform law on the hotelkeeper's liability for damage to or destruction or theft of his guests' property, the drafting of which was completed by UNIDROIT in 1934.
States Parties: Belgium, Bosnia and Herzegovina, Croatia, Cyprus, France, Germany, Ireland, Italy, Lithuania, Luxembourg, Malta, Montenegro, Poland, Serbia, Slovenia, the former Yugoslav Republic of Macedonia and United Kingdom.
6. **Benelux Treaty of 1955 on Compulsory Insurance against Civil Liability in respect of Motor Vehicles** and **European Convention on Compulsory Insurance against Civil Liability in respect of Motor Vehicles**, adopted in 1959 under the auspices of the Council of Europe, which entered into force in 1969. These two instruments are based on the draft uniform rules on the compulsory insurance of motorists, the drafting of which was completed by UNIDROIT in 1937.
States Parties to the 1959 Convention: Austria, Denmark, Germany, Greece, Norway, Sweden and Turkey.
7. **European Convention on Establishment of 1955**, adopted under the auspices of the Council of Europe and which entered into force in 1965. The Convention is based on the draft Convention on the reciprocal treatment of nationals as between member States of the Council of Europe, the drafting of which was completed by UNIDROIT in 1951.
States Parties: Belgium, Denmark, Germany, Greece, Ireland, Italy, Luxembourg, Netherlands, Norway, Sweden, Turkey and United Kingdom.
8. **Protocol No. 1 concerning the Rights in rem in Inland Navigation Vessels** annexed to the 1965 Convention on the Registration of Inland Navigation Vessels which was adopted under the auspices of the Economic Commission for Europe of the United Nations and to which Austria, Croatia, France, Luxembourg, Netherlands, Switzerland and Yugoslavia are Contracting Parties. The Protocol is based on the draft Convention concerning rights in rem in boats in inland navigation, the drafting of which was completed by UNIDROIT in 1960. The Convention and Protocol No. 1 entered into force in 1982.
The States Parties to Protocol No. 1 are Austria, Belarus, Croatia, France, Luxembourg, Montenegro, Netherlands, Serbia and Switzerland.
9. **Protocol No. 2 concerning Attachment and Forced Sale of Inland Navigation Vessels** annexed to the 1965 Convention on the Registration of Inland Navigation Vessels, adopted under the auspices of the Economic Commission for Europe of the United Nations. The Protocol is based on the draft Protocol on attachment and forced sale of inland navigation vessels, the drafting of which was completed by UNIDROIT in 1962. Protocol No. 2 entered into force in 1982.
The States Parties to Protocol No. 2 are Austria, Belarus, Croatia, France, Luxembourg, Montenegro and Serbia.
10. **United Nations Convention on Contracts for the International Sale of Goods**, adopted at Vienna in 1980 and which entered into force in 1988. This Convention is based on the Convention relating to a Uniform Law on the Formation of Contracts for the International Sale of Goods (ULFIS) and the Convention relating to a Uniform Law on the International Sale of Goods (ULIS), adopted at The Hague at a diplomatic Conference in 1964.

Contracting States: Albania, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahrain, Belarus, Belgium, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burundi, Canada, Chile, China, Colombia, Congo, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Estonia, Finland, France, Gabon, Georgia, Germany, Greece, Guinea, Guyana, Honduras, Hungary, Iceland, Iraq, Israel, Italy, Japan, Kyrgyzstan, Latvia, Lesotho, Lebanon, Liberia, Lithuania, Luxembourg, Madagascar, Mauritania, Mexico, Mongolia, Montenegro, Netherlands, New Zealand, Norway, Paraguay, Peru, Poland, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Serbia, Singapore, Slovakia, Slovenia, Spain, St. Vincent and the Grenadines, San Marino, Sweden, Switzerland, Syrian Arab Republic, Turkey, the Former Yugoslav Republic of Macedonia, Turkey, Uganda, Ukraine, United States of America, Uruguay, Uzbekistan, Viet Nam and Zambia.

11. **Convention on the Contract for the International Carriage of Passengers and Luggage by Road (CVR)**, adopted in Geneva in 1973 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention on the Contract for the International Carriage of Passengers and Luggage by Road (CVR), the drafting of which was completed by UNIDROIT in 1969. The Convention entered into force in 1994.

States Parties: Bosnia and Herzegovina, Croatia, Czech Republic, Latvia, Montenegro, Republic of Moldova, Serbia, Slovakia and Ukraine.

12. **Additional Protocol to the Convention on the Contract for the International Carriage of Goods by Road (CMR) concerning the electronic consignment note (e-CMR)**, adopted in Geneva in 2008 and entered into force on 5 June 2011. The Additional Protocol is based on a joint proposal submitted by UNIDROIT and UNCITRAL. The States Parties are: Bulgaria, Czech Republic, Estonia, France, Denmark, Latvia, Lithuania, the Netherlands, Slovakia, Spain and Switzerland.

B. INTERNATIONAL INSTRUMENTS NOT YET IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS

1. **Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN)**, adopted in Geneva in 1973 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN), the drafting of which was completed by UNIDROIT in 1970. The Russian Federation is the sole Contracting State.
2. **Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN)**, adopted in Geneva in 1976 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN), the drafting of which was completed by UNIDROIT in 1972. The Russian Federation is the sole Contracting State.
3. **European rules for investment funds**, which were in 1972 recommended by the Committee of Ministers of the Council of Europe as a model law for the member States of the Council of Europe. The rules are based on the preliminary draft model law on investment funds, UNIDROIT's drawing up of which was completed in 1969.
4. **European Convention providing a Uniform Law on Arbitration**, adopted in 1966 under the auspices of the Council of Europe. The Convention is based on the preliminary draft uniform law on arbitration in private law matters in international relations, UNIDROIT's drawing up of which was completed in 1954. Belgium is the sole Contracting State.
5. **European Convention of 1973 on Civil Liability for Damage caused by Motor Vehicles**, adopted under the auspices of the Council of Europe. The Convention is based on the draft of a uniform law on the civil liability of motorists, UNIDROIT's drawing up of which was completed in 1938. No Contracting State.
6. **United Nations Convention on International Multimodal Transport of Goods**, adopted in Geneva in 1980. The origin of the Convention is to be found in the draft Convention on Contracts for the Combined International Carriage of Goods, UNIDROIT's drawing up of which was completed in 1965. The UNIDROIT draft also provided one of the bases for the draft Convention on the International Combined Carriage of Goods (TCM), drawn up at a round table convened by UNIDROIT at the request of the Economic Commission for Europe of the United Nations in 1969 and 1970,

which was itself revised at meetings convened jointly by the Intergovernmental Maritime Consultative Organisation (IMCO) and the Economic Commission for Europe of the United Nations. The Contracting States are: Burundi, Chile, Georgia, Lebanon, Liberia, Malawi, Mexico, Morocco, Rwanda, Senegal and Zambia.

7. **Convention on Civil Liability for Damage caused during Carriage of Dangerous Goods by Road, Rail and Inland Navigation Vessels (CRTD)**, adopted in Geneva in 1989 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft articles for a Convention on civil liability for damage caused during carriage of dangerous goods by road, rail and inland navigation vessels, UNIDROIT's drawing up of which was completed in 1986. Liberia is the sole Contracting State.
8. **United Nations Convention on the Liability of Operators of Transport Terminals in International Trade**, adopted in Vienna in April 1991. The Convention is based on the preliminary draft Convention on Operators of Transport Terminals, UNIDROIT's work on which was completed in 1983. The Contracting States are: Egypt, Gabon, Georgia and Paraguay.

C. EUROPEAN DIRECTIVE BASED ON A PRELIMINARY DRAFT UNIDROIT CONVENTION

Council Directive 93/7/EEC of 15 March 1993 on the return of cultural objects unlawfully removed from the territory of a Member State.

D. EUROPEAN DIRECTIVE BASED ON A UNIDROIT CONVENTION

Directive 2014/60/EU of the European Parliament and of the Council of 15 May 2014 on the return of cultural objects unlawfully removed from the territory of a Member State and amending Regulation (EU) No 1024/2012 (Recast).

E. UNIFORM RULES PUBLISHED BY THE INTERNATIONAL CHAMBER OF COMMERCE AND BASED ON A DRAFT UNIDROIT CONVENTION

Uniform Rules for a Multimodal Transport Document first published by the International Chamber of Commerce in 1973 and subsequently revised. The origin of the Rules is the same as that of the United Nations Convention on International Multimodal Transport of Goods (see above Section B. 6.).

F. INTERNATIONAL INSTRUMENTS BASED ON PRELIMINARY STUDIES PREPARED BY UNIDROIT

1. **European Convention on Products Liability in regard to Personal Injury and Death of 27 January 1977.**
No Contracting State.
2. **Resolution (78)3 on Penalty Clauses in Civil Law** adopted by the Committee of Ministers of the Council of Europe on 20 January 1978.

DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

- Argentina:** *Corte Suprema de Justicia de la Nación, Secretaría de Investigación de Derecho comparado*
<<http://www.csjn.gov.ar>>
- Australia:** *National Library of Australia*
<<http://www.nla.gov.au/>>
- Austria:** *Universität Wien, Universitäts Bibliothek, Fachbereichsbibliothek Rechtswissenschaften*
<<http://bibliothek.univie.ac.at/fb-rewi/>>
- Belgium:** *Federale Overheidsdienst Justitie*
<<http://just.fgov.be/>>
- Bolivia:** *Biblioteca Central de la Cancillería de la República*
<<http://www.rree.gob.bo>>
- Brazil:** *Serviço de Biblioteca e documentação - SBD, Universidad de São Paulo, Faculdade de Direito*
<<http://www.usp.br/bibliotecadireito/biblioteca.htm>>
- Bulgaria:** *Bulgarian National Library "St. Cyrill and St. Methodius"*
<<http://www.nationallibrary.bg/>>
- Canada:** *Nahum Gelber Law Library, McGill University* <<http://www.mcgill.ca/library/>>;
University of British Columbia Law Library <<http://www.library.ubc.ca/law/>>
- Chile:** *Academia Diplomática*
<http://www.minrel.gob.cl/prontus_minrel/site/edic/base/port/academia_diplomatica.php>
- Colombia:** *Biblioteca Luis Angel Arango*
<<http://www.banrepcultural.org/blaa>>
- Czech Republic:** *Library of the International Law Department, Ministry of Industry and Trade*
<<http://www.mpo.cz>>
- Egypt:** *Documentation Center, General Directorate of International and Cultural Co-operation, Ministry of Justice, Cairo*
- Estonia:** *Eesti Rahvusraamatukogu*
<<http://www.nlib.ee/>>
- Finland:** *Eduskunnan Kurjasto*
<<http://lib.eduskunta.fi/Resource.phx/kirjasto/index.htx>>
- France:** *Bibliothèque Inter-universitaire Cujas*
<<http://cujas-front-paris1.fr/repons/portal/bookmark?Global=0&MenuItemSelected=nomenu&startingNews=1&howManyNews=1&withDbidNews=&ChanPathNews=>>>
- Germany:** *Staatsbibliothek zu Berlin, Abteilung Amtsdruckschriften und Internationaler Amtlicher Schriftentausch*
<<http://staatsbibliothek-berlin.de/>>
- Greece:** *Library of the Hellenic Institute of International and Foreign Law*
<<http://www.hiifl.gr/>>
- Holy See:** *Biblioteca della Facoltà di Giurisprudenza, Libera Università Maria Ss. Assunta, Rome*
<<http://www.lumsa.it/Lumsa/>>
- Hungary:** *Országgyűlési Könyvtár*
<<http://www.ogyk.hu>>
- India:** *Library of the Indian Society of International Law*
<<http://www.isil-aca.org/library.htm>>

- Indonesia:** *Departemen Luar Negeri (Directorate for Economic, Social and Cultural Treaties Affairs, Directorate General of Legal and Treaties Affairs, Ministry of Foreign Affairs)*
<<http://www.kemlu.go.id/Pages/Default.aspx>>
- Iran:** *Institute of Comparative Law, Faculty of Law and Political Science, University of Tehran*
<<http://complaw.ut.ac.ir/en/>>
- Ireland:** *National Library of Ireland*
<<http://www.nli.ie/>>
- Japan:** *Ministry of Justice Library (Branch of National Diet Library)*
<<http://www.moj.go.jp/>>
- Latvia:** *Department of European and International Law, Ministry of Justice of the Republic of Latvia*
<<http://www.tm.gov.lv/lv/>>
- Luxembourg:** *Bibliothèque Nationale* <<http://www.bnl.public.lu/fr/index.html>>;
University of Luxembourg <<http://www.uni.lu/university/library/>>
- Malta:** *Library Services, University of Malta*
<<http://www.um.edu.mt/library/>>
- Mexico:** *Instituto de Investigaciones Jurídicas, Universidad Nacional Autónoma de México*
<<http://www.unam.mx>>;
Academia Mexicana de Derecho Internacional Privado y Comparado, México City;
Biblioteca Loyola, Universidad Iberoamericana Tijuana <<http://www.loyola.tij.uia.mx>>
- Netherlands:** *Library of the Ministry of Justice*
<<http://www.rijksoverheid.nl/#ref-justitie>>
- Norway:** *Det juridiske fakultetsbibliotek, Universitetsbiblioteket i Oslo*
<<http://www.ub.uio.no>>
- Paraguay:** *Facultad de Derecho y Ciencias Sociales de la Universidad Nacional de Asunción*
<<http://www.der.una.py/>>
- People's Republic of China:** *Ministry of Commerce (MOFCOM)*
<<http://www.mofcom.gov.cn/index.shtml>>
- Poland:** *Biblioteka Sejmowa*
<<http://libr.sejm.gov.pl/bibl/>>
- Portugal:** *Serviço de Biblioteca e Documentação Diplomática do Ministério dos Negócios Estrangeiros - Instituto Diplomático*
<<http://www.portugal.gov.pt/pt/os-ministerios/ministerio-dos-negocios-estrangeiros.aspx>>;
Gabinete de Documentação e Direito Comparado, Procuradoria Geral da República
<<http://www.gddc.pt/>>
- Republic of Korea:** *Library of the Korea National Diplomatic Academy*
<<http://www.mofat.go.kr/ifanslib.html>>
- Romania:** *Biblioteca Nationala*
<<http://www.bibnat.ro/>>
- Russian Federation:** *Library of the Russian Academy of Foreign Trade*
<<http://www.vavt.ru/>>
- Slovak Republic:** *Právnická fakulta Trnavskej Univerzity*
<<http://www.truni.sk>>
- Slovenia:** *Univerza v Mariboru Pravna fakulteta*
<<http://www.pf.uni-mb.si/sl/>>
- South Africa:** *Library of the Department of Justice, Directorate: Internal Affairs, Pretoria University of Johannesburg*
<<http://www.uj.ac.za/EN/Library/Pages/Home.aspx>>
- Spain:** *Universidad San Pablo CEU*
<<http://www.ceu.es>>

Sweden: *Riksdagsbiblioteket*

<http://www.riksdagen.se/templates/R_SubStartPage_____448.aspx>

Switzerland: *Library of the Swiss Institute of Comparative Law*

<<http://isdc.ch>>

Turkey: *Banca ve Ticaret Hukuku Arastirma Enstitüsü, Hukuk Fakültesi, Ankara Üniversitesi*

<http://bthae.ankara.edu.tr/?bil=bil_icerik&icerik_id=207&kat_id=12>

United Kingdom: *Library of the Institute of Advanced Legal Studies*

<<http://ials.sas.ac.uk/>>

United States of America: *Arthur W. Diamond Law Library Columbia University in the City of New York*

<<http://www.law.columbia.edu/library>>;

Underwood Law Library, Dedman School of Law, Southern Methodist University

<<http://library.law.smu.edu/>>

Uruguay: *Facultad de Derecho de la Universidad de la República*

<<http://www.fder.edu.uy/contenido/biblioteca/index.html>>