DIPLOMATIC CONFERENCE TO ADOPT A
CONVENTION ON SUBSTANTIVE RULES
REGARDING INTERMEDIATED SECURITIES
Final session
Geneva, 5 to 7/9 October 2009

DRAFT RESOLUTION
RELATING TO ACTIVITIES TO SUPPORT PROMOTION OF THE ENTRY INTO FORCE AND
IMPLEMENTATION OF THE CONVENTION
(submitted by the delegations of Brazil, Cameroon, Egypt, Nigeria, South Africa and the United
States of America)

THE CONFERENCE

MINDFUL of the objectives of the Convention on Substantive Rules regarding Intermediated
Securities;

DESIRING to promote the early entry into force of the Convention and its full and effective
implementation:

1. REQUESTS that UNIDROIT, in its capacity as Depositary of the Convention, make all
appropriate efforts, having regard to available resources, to organise activities such as meetings,
conferences and seminars with a view to promoting awareness and understanding of the
Convention and assessing its continued effectiveness in light of relevant contemporary
developments in market circumstances and trends in market regulation, and also with a view to
encouraging the Convention’s early entry into force and its signature, ratification, acceptance,
approval and accession by States and Regional Economic Integration Organisations;

2. REQUESTS that UNIDROIT, in undertaking such activities, make all reasonable efforts to
ensure that the activities are open to participation by Contracting States, the States, Regional
Economic Integration Organisations and Observers participating in the Conference, Member States
of UNIDROIT, and other States, organisations and entities as may be appropriate;

3. INVITES the Member States of UNIDROIT, and the States, Regional Economic Integration
Organisations and Observers participating in the Conference, and in particular the States
represented on the Committee on Emerging Markets Issues, Follow-Up and Implementation, to
cooperate with UNIDROIT in organising such activities; and,

4. EXPRESSES its understanding that, following the entry into force of the Convention,
UNIDROIT will, in fulfillment of Article 47 of the Convention, take all reasonable steps in light of
available resources to convene Evaluation Meetings in principle every 24 months as the
circumstances warrant, and in particular so as to review the practical operation of the Convention,
and that the convening of such Evaluation Meetings should not preclude UNIDROIT from also
undertaking other activities to support improved awareness and understanding of the Convention.

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