



INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW
INSTITUT INTERNATIONAL POUR L'UNIFICATION DU DROIT PRIVE

**Convention on International Interests in
Mobile Equipment**

**Protocol to the Convention on
International Interests in Mobile
Equipment on Matters Specific to Aircraft
Equipment**

UNIDROIT 2018
DC9/DEP – Doc. 12 rev.
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DEPOSITARY REPORT

1 January 2014 – 31 December 2016

Introduction

1. The Convention on International Interests in Mobile Equipment (the Convention) and the Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Aircraft Equipment (the Aircraft Protocol) were both opened for signature on 16 November 2001 at the conclusion of a diplomatic Conference held under the joint auspices of the International Institute for the Unification of Private Law (UNIDROIT) and the International Civil Aviation Organization (ICAO). Both the Convention as applied to aircraft objects, and the Aircraft Protocol, entered into force on 1 March 2006.

2. In asset-based financing, a creditor's ability to have prompt recourse to the underlying assets in the event of a default is a principal factor in determining how the transaction's risks, and overall costs, are calculated. Where national legal rules for the recognition and enforcement of security interests present impediments to such prompt recourse, or are materially different from rules in other jurisdictions, the risks (or perceived risks) for the creditor can increase, particularly if, as in the case of aircraft objects, the asset will be moving through different jurisdictions and possibly become subject to such national legal rules at the time of default. The costs of finance tend to be higher as a result of the increased risks. The Convention addresses this issue by establishing an international legal framework for the creation, recognition, registration and enforcement of "international interests" in high-value mobile equipment.¹ The Aircraft Protocol provides for the application of the Convention in relation to aircraft objects (airframes, aircraft engines and helicopters), and modifies the operation of the Convention to the particular requirements of transactions involving those objects.

Basis of the report

3. This report has been prepared by UNIDROIT, in its capacity as Depositary of the Convention and Aircraft Protocol, pursuant to Article 61(1) of the Convention² and Article XXXVI(1) of the Aircraft Protocol.³ It takes into account the fourth Report of the Supervisory Authority concerning the functioning of the international registry system for the same reporting period prepared pursuant to Article 17(2)(j) of the Convention and circulated in August 2017.

The Reporting Period

4. This report covers both the Convention and Aircraft Protocol for the period 1 January 2014 to 31 December 2016 (the Reporting Period). For the previous reports, see the UNIDROIT website at the following page: <https://www.unidroit.org/depositary-2001capetown>

¹ Three categories of high-value are specified in Article 2(3) of the Convention: (a) airframes, aircraft engines and helicopters; (b) railway rolling stock; and (iii) space assets. Article 51 of the Convention provides that the Depositary may create working groups to assess the feasibility of extending the application of the Convention, through one or more Protocols, to objects of any other category of high-value mobile equipment.

² Article 61(1) of the Convention provides: "The Depositary shall prepare reports yearly or at such other times as the circumstances may require for the States Parties as to the manner in which the international regimen established in this Convention has operated in practice. In preparing such reports, the Depositary shall take into account the reports of the Supervisory Authority concerning the functioning of the international registration system."

³ Article XXXVI(1) of the Aircraft Protocol provides: "The Depositary, in consultation with the Supervisory Authority, shall prepare reports yearly, or at such other times as the circumstances may require, for the States Parties as to the manner in which the international regimen established in this Convention as amended by this Protocol has operated in practice. In preparing such reports, the Depositary shall take into account the reports of the Supervisory Authority concerning the functioning of the international registration system."

Depositary Activity during the Reporting Period

5. At the commencement of the Reporting Period, the Convention had 58 Contracting States (plus one Regional Economic Integration Organisation), and the Aircraft Protocol had 52 Contracting States (plus one Regional Economic Integration Organisation).

6. Fifteen (15) States deposited their instruments of accession/ratification to the Convention during the Reporting Period, bringing the total number of Contracting States as at the end of the Reporting Period to seventy-three (73). Thirteen (13) States deposited their instruments of accession/ratification to the Aircraft Protocol during the Reporting Period, bringing the total number of Contracting States as at the end of the Reporting Period to sixty-five (65).

7. Appendix 1 shows the status of the Convention and Aircraft Protocol *as at the end of the Reporting Period*, including details of all signatures, ratifications, accessions, declarations, and dates of entry into force.

8. Pursuant to Article 79 of the 1969 Vienna Convention on the Law of Treaties, UNIDROIT made the requested corrections in the Arabic, French and Spanish authentic versions of the Convention and in the Arabic and French authentic versions of the Annex to the Aircraft Protocol. It circulated the procès-verbaux of rectification to all interested States by Notes Verbales (DC9DEP/23 dated 16 January 2015 and DC9DEP/343 dated 22 June 2015) ⁴ and notified the Secretariat of the United Nations.

9. The UNIDROIT Deputy Secretary General, Prof. Anna Veneziano, participated in the third, fourth and fifth conferences organised by the *Cape Town Convention Academic Project* in Oxford on 9-10 September 2014, on 8-9 September 2015 and on 13-14 September 2016 in Oxford.⁵ The project is a joint undertaking between the University of Oxford Faculty of Law and the University of Washington School of Law which aims at facilitating the academic study and assessment of the Cape Town Convention and its Protocols with a view towards enhancing the understanding and effective implementation of the treaty and advancing its aims. The Aviation Working Group is the founding sponsor for the project. The activities proposed for the project include electronic access to a digitalised and searchable database of comprehensive documents and materials, a journal, conferences, teaching materials, and law and economic assessment, as they relate to the Cape Town Convention (including all protocols). Two elements (the database, and the journal) are being undertaken under the joint auspices of UNIDROIT and the Project.

Operation of the Convention and Aircraft Protocol in practice during the Reporting Period

10. By Note Verbale, The Depositary advised Contracting States to the Convention and the Aircraft Protocol that it would be grateful to receive from them information and comments as to the manner in which the international regime established by the Convention and Aircraft Protocol had operated in practice. The Governments of Sweden and Ukraine provided information, which are set out in Appendix 2.

11. For documents associated with judicial actions during the Reporting Period, see the website of the Cape Town Academic Project at <http://www.ctcap.org> (Repository).

⁴ See also on the UNIDROIT website at <http://www.unidroit.org/spanish/conventions/mobile-equipment/proces-verbal-20150622.pdf>

⁵ See the programmes of such conferences on the website of the Cape Town Convention Academic Project at <http://www.ctcap.org> and summaries on the UNIDROIT Annual Reports at <https://www.unidroit.org/about-unidroit/overview/493-about-unidroit/cd-annual-reportsc/1495-annual-reports-on-the-activity-of-unidroit>

Review Conferences

12. Article 61(2) of the Convention and Article XXXVI(2) of the Aircraft Protocol provide for the convening of Review Conferences at the request of not less than twenty-five percent of the States Parties. During the Reporting Period the Depositary received no requests for the convening of a Review Conference pursuant to Article 61(2) of the Convention and Article XXXVI(2) of the Aircraft Protocol.

APPENDIX 1

CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES

as at 31 December 2016 / au 31 décembre 2016

Adoption: Place: Cape Town / *Lieu*: Le Cap
Date: 16.11.2001

Entry into force / *Entrée en vigueur*: Date: 01.03.2006 (ex Art. 49(1))

<i>STATE / ETAT</i>	<i>SIGNATURE</i>	<i>RATIFICATION (RT)</i> <i>ACCEPTANCE / ACCEPTATION (AC)</i> <i>APPROVAL / APPROBATION (AP)</i> <i>ACCESSION / ADHESION (AS)</i>	<i>DECLARATIONS (Art.)</i>	<i>ENTRY INTO FORCE / ENTREE EN VIGUEUR</i>
Afghanistan		25.07.2006 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.11.2006
Albania / <i>Albanie</i>		30.10.2007 (AS)	39(1)(a)-(b), 54(2)	01.02.2008
Angola		30.04.2006 (AS)	39(1)(a), 40, 54(2)	01.08.2006
Australia / <i>Australie</i>		26.05.2015 (AS)	39(1)(a), 52, 54(2), 55	01.09.2015
Bahrain / <i>Bahreïn</i>		27.11.2012 (AS)	39(1)(a)-(b), 40, 54(2)	01.03.2013
Bangladesh		15.12.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.04.2009
Belarus / <i>Bélarus</i>		28.06.2011 (AS)	54(2)	01.01.2012
Bhutan / <i>Bhoutan</i>		04.07.2014 (AS)	39(1)(a), 54(2)	01.11.2014
Brazil / <i>Brésil</i>		30.11.2011 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.03.2012
Burkina Faso		12.12.2014 (AS)	54(2)	
Burundi	16.11.2001			
Cabo Verde / <i>Cap-Vert</i>		26.09.2007 (AS)	39(1)(a), 40, 53, 54(2)	01.01.2008
Cameroon / <i>Cameroun</i>		19.04.2011 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.08.2011
Canada	31.03.2004	21.12.2012 (RT)	39(1)(a)-(b), 39(4), 52*, 53*, 54(2), 60	
Alberta				01.04.2013
British Columbia / <i>Colombie-Britannique</i>				01.04.2013
Manitoba				01.04.2013
New Brunswick / <i>Nouveau-Brunswick</i>				01.07.2016
Newfoundland and Labrador / <i>Terre-Neuve-et-Labrador</i>				01.04.2013
Northwest Territories / <i>Territoires du Nord-Ouest</i>				01.04.2013
Nova Scotia / <i>Nouvelle-Écosse</i>				01.04.2013
Nunavut				01.04.2013
Ontario				01.04.2013

Prince Edward Island / <i>Ile-du-Prince-Edouard</i>				01.10.2014
Quebec / <i>Québec</i>				01.04.2013
Saskatchewan				01.04.2013
Yukon				01.10.2014
Chile / <i>Chili</i>	16.11.2001			
China / <i>Chine</i>	16.11.2001	03.02.2009 (RT)	39(1)(a)-(b), 39(4), 40, 50, 53, 54(1), 54(2), 55	01.06.2009
Colombia / <i>Colombie</i>		19.02.2007 (AS)	39(1)(a), 54(2)	01.06.2007
Congo	16.11.2001	25.01.2013 (AC)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.05.2013
Costa Rica		26.08.2011 (AS)	53	- 1
Côte d'Ivoire		09.02.2015 (AS)	54(2)*	01.07.2016
Cuba	16.11.2001	28.01.2009 (RT)	54(2)	01.05.2009
Democratic Republic of the Congo / <i>République démocratique du Congo</i>		06.05.2016 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.09.2016
Denmark / <i>Danemark</i>		26.10.2015 (AS)	39(1)(a)-(b), 40, 52, 54(2), 55	01.02.2016
Egypt / <i>Egypte</i>		10.12.2014 (AS)	39(1)(a), 54(2)	01.04.2015
Ethiopia / <i>Ethiopie</i>	16.11.2001	21.11.2003 (RT)	39(1)(a), 40, 54(2)	01.03.2006
Fiji / <i>Fidji</i>		05.09.2011 (AS)	54(2)*	01.09.2012
France	16.11.2001			
Gabon		16.04.2010 (AS)	54(2)	
Germany / <i>Allemagne</i>	17.09.2002 with decl.			
Ghana	16.11.2001			
India / <i>Inde</i>		31.03.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.07.2008
Indonesia / <i>Indonésie</i>		16.03.2007 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.07.2007
Ireland / <i>Irlande</i>		29.07.2005 (AS)	39(1)(a)-(b), 54(2)	01.03.2006
Italy / <i>Italie</i>	06.12.2001			
Jamaica / <i>Jamaïque</i>	16.11.2001			
Jordan / <i>Jordanie</i>	16.11.2001	31.08.2010 (RT)	39(1)(a), 54(2)	01.12.2010
Kazakhstan		21.01.2009 (AS)	39(1)(a)*-(b)* 39(4)*, 40*, 53*, 54(2)*	01.10.2011
Kenya	16.11.2001	13.10.2006 (RT)	39(1)(a)*-(b), 40, 53, 54(2)	01.02.2007
Kuwait / <i>Koweït</i>		31.10.2013 (AS)	54(2)	01.02.2014

Latvia / <i>Lettonie</i>		08.02.2011 (AS)	54(2)	01.06.2011
Lesotho	16.11.2001			
Luxembourg		27.06.2008 (AS)	53, 54(2)	01.10.2008
Madagascar		10.04.2013 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.08.2013
Malawi		16.01.2014 (AC)	39(1)(a)-(b), 40, 53, 54(2)	01.05.2014
Malaysia / <i>Malaisie</i>		02.11.2005 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.03.2006
Malta / <i>Malte</i> ²		01.10.2010 (AS)	39(1)(a), 39(4), 40, 53, 54(2)	01.02.2011
Mexico / <i>Mexique</i>		31.07.2007 (AS)	39(1)(a)-(b), 50, 53, 54(2), 60	01.11.2007
Mongolia / <i>Mongolie</i>		19.10.2006 (AS)	39(1)(a)-(b), 53, 54(2)	01.02.2007
Mozambique		30.01.2012 (AS)	39(1)(a), 40, 54(2)	01.11.2013
Myanmar		03.12.2012 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.04.2013
Netherlands, Kingdom of the / <i>Pays-Bas, Royaume des</i> ³		17.05.2010 (AS)	39(1)(a)-(b), 52, 53, 54(2)	
Caribbean part / <i>partie caraïbe</i>				10.10.2010
Aruba				01.09.2010
Curaçao				10.10.2010
Sint Maarten				10.10.2010
New Zealand / <i>Nouvelle-Zélande</i>		20.07.2010 (AS)	39(1)(a), 52, 53, 54(2), 55	01.11.2010
Nigeria / <i>Nigéria</i>	16.11.2001	16.12.2003 (RT)	39(1)(a)*, 40*, 53*, 54(2)*	01.03.2006
Norway / <i>Norvège</i>		20.12.2010 (AS)	39(1)(a)-(b), 40, 54(2), 55	01.04.2011
Oman		21.03.2005 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.03.2006
Pakistan		22.01.2004 (AS)	39(1)(a)-(b), 39(4), 40, 52, 53, 54(2)	01.03.2006
Panama	11.09.2002	28.07.2003 (RT)	39(1)(a)-(b), 39(4), 50, 53, 54(2)	01.03.2006
Republic of Moldova / <i>République de Moldavie</i>		26.06.2015 (AS)		- ¹
Russian Federation / <i>Fédération de Russie</i>		25.05.2011 (AS)	39(1)(a)-(b), 53, 54(2)	01.09.2011
Rwanda		28.01.2010 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.05.2010
San Marino / <i>Saint-Marin</i>		09.09.2014 (AS)	39(1)(a)-(b), 39(4), 40, 53, 54(2)	01.01.2015
Saudi Arabia / <i>Arabie saoudite</i>	12.03.2003	27.06.2008 (RT)	54(2)	01.10.2008

Senegal / <i>Sénégal</i>	02.04.2002	09.01.2006 (RT)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.05.2006
Seychelles		13.09.2010 (AS)		- 1
Sierra Leone		26.07.2016 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.11.2016
Singapore / <i>Singapour</i>		28.01.2009 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.05.2009
South Africa / <i>Afrique du sud</i>	16.11.2001	18.01.2007 (RT)	39(1)(a)-(b), 40, 54(2)	01.05.2007
Spain / <i>Espagne</i>		28.06.2013 (AS)	39(1)(a)-(b) *, 40*, 52, 53*, 54(2)	01.03.2016
Sudan / <i>Soudan</i>	16.11.2001			
Swaziland		17.11.2016 (AS)	54(2)	
Sweden / <i>Suède</i>		30.12.2015 (AS)	39(1)(a)-(b), 39(4), 40, 54(2)	01.04.2016
Switzerland / <i>Suisse</i>	16.11.2001 <i>ad referendum</i>			
Syrian Arab Republic / <i>République arabe syrienne</i>		07.08.2007 (AS)		- 1
Tajikistan / <i>Tadjikistan</i>		31.05.2011 (AS)	54(2)	01.09.2011
Togo		27.01.2010 (AS)	39(1)(a)*, 39(1)(b)*, 40*, 53*, 54(2)*	01.04.2012
Tonga	16.11.2001			
Turkey / <i>Turquie</i>	16.11.2001	23.08.2011 (RT)	39(1)(a)-(b), 40, 50, 54(2)	01.12.2011
Ukraine	09.03.2004	31.07.2012 (RT)	50, 53, 54(2)	01.11.2012
United Arab Emirates / <i>Émirats arabes unis</i>		29.04.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.08.2008
United Kingdom / <i>Royaume-Uni</i> with decl.	16.11.2001	27.07.2015 (RT)	39(1)(a)*-(b)*, 39(4)*, 52*, 53*, 54(2)*	
Bermuda				01.01.2018
Cayman Islands / <i>Iles Cayman</i>				01.11.2015
Gibraltar				01.11.2015
Island of Guernsey / <i>Ile de Guernesey</i>				01.11.2015
Isle of Man / <i>Ile de Man</i>				01.01.2018
United Republic of Tanzania / <i>République-Unie de Tanzanie</i>	16.11.2001	30.01.2009 (RT)	54(2)	01.05.2009
United States of America / <i>États- Unis d'Amérique</i>	09.05.2003	28.10.2004 (RT)	39(1)(a)-(b), 54(2)	01.03.2006
Viet Nam		17.09.2014 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.01.2015
Zimbabwe		13.05.2008 (AS)		- 1

REGIONAL ECONOMIC INTEGRATION ORGANISATIONS / ORGANISATIONS D'INTÉGRATION ÉCONOMIQUE RÉGIONALE	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (Art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
European Union / Union européenne ⁴		28.04.2009 (AS)	48(2), 55	01.08.2009

¹ Entry into force subject to / *sous réserve de*: Convention Article 49(2)

² This State has provided UNIDROIT with information about its laws and policies in relation to the Convention / *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de la législation et des politiques applicables aux matières couvertes par la Convention*

See / voir <http://www.unidroit.org/status-2001capetown>

³ The Kingdom of the Netherlands deposited its instrument of accession to the Convention on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba (entry into force on 1 September 2010). As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion à la Convention le 20 juillet 2010 pour les Antilles néerlandaises et Aruba (entrée en vigueur le 1er septembre 2010). A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)"*

⁴ Regional Economic Integration Organisation / *Organisation régionale d'intégration économique*: Convention Article 48

* Affected by withdrawal and/or subsequent declaration. / *Fait l'objet d'un retrait et/ou d'une déclaration subséquente*

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
ON MATTERS SPECIFIC TO AIRCRAFT EQUIPMENT**
**PROTOCOLE PORTANT SUR LES QUESTIONS SPÉCIFIQUES AUX MATÉRIELS D'ÉQUIPEMENT
AÉRONAUTIQUES À LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

as at 31 December 2016 / au 31 décembre 2016

Adoption: Place: Cape Town / *Lieu*: Le Cap
Date: 16-11-2001
Entry into force: Date: 01.03.2006 (ex Art. XXVIII(1))
Entrée en vigueur:

STATE / ETAT	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
Afghanistan		25.07.2006 (AS)	XXIX, XXX(1),(2),(3)	01.11.2006
Albania / <i>Albanie</i>		30.10.2007 (AS)	XIX, XXX(1)	01.02.2008
Angola		30.04.2006 (AS)	XXX(1),(2),(3)	01.08.2006
Australia / <i>Australie</i>		26.05.2015 (AS)	XXX(1),(3)	01.09.2015
Bahrain / <i>Bahreïn</i>		27.11.2012 (AS)	XXX(1),(2),(3) *	01.03.2013
Bangladesh		15.12.2008 (AS)	XXIX, XXX(1),(2),(3)	01.04.2009
Belarus / <i>Bélarus</i>		27.09.2011 (AS)		01.01.2012
Bhutan / <i>Bhoutan</i>		04.07.2014 (AS)		01.11.2014
Brazil / <i>Brésil</i>		30.11.2011 (AS)	XIX, XXX(1),(2),(3)	01.03.2012
Burundi	16.11.2001			
Cabo Verde / <i>Cap-Vert</i>		26.09.2007 (AS)	XXX(1),(2),(3)	01.01.2008
Cameroon / <i>Cameroun</i>		19.04.2011 (AS)		01.08.2011
Canada	31.03.2004	21.12.2012 (RT)	XXIX *, XXX(1),(2),(3)	
Alberta				01.04.2013
British Columbia / <i>Colombie-Britannique</i>				01.04.2013
Manitoba				01.04.2013
New Brunswick / <i>Nouveau-Brunswick</i>				01.07.2016
Newfoundland and Labrador / <i>Terre-Neuve-et-Labrador</i>				01.04.2013
Northwest Territories / <i>Territoires du Nord-Ouest</i>				01.04.2013
Nova Scotia / <i>Nouvelle-Écosse</i>				01.04.2013
Nunavut				01.04.2013
Ontario				01.04.2013
Prince Edward Island / <i>Ile-du-Prince-Edouard</i>				01.10.2014
Quebec / <i>Québec</i>				01.04.2013
Saskatchewan				01.04.2013
Yukon				01.10.2014

Chile / <i>Chili</i>	16.11.2001			
China / <i>Chine</i>	16.11.2001	03.02.2009 (RT)	XIX, XXIX, XXX(1),(2),(3)	01.06.2009
Colombia / <i>Colombie</i>		19.02.2007 (AS)	XXX(1),(2),(3)	01.06.2007
Congo	16.11.2001	13.03.2013 (AC)		01.05.2013
Côte d'Ivoire		01.03.2016 (AC)	XIX(1) *	01.07.2016
Cuba	16.11.2001	28.01.2009 (RT)		01.05.2009
Democratic Republic of the Congo / <i>République démocratique du Congo</i>		06.05.2016 (AS)		01.09.2016
Denmark / <i>Danemark</i>		26.10.2015 (AC)	XXIX, XXX(1),(3),(5)	01.02.2016
Egypt / <i>Egypte</i>		10.12.2014 (AS)		01.04.2015
Ethiopia / <i>Ethiopie</i>	16.11.2001	21.11.2003 (RT)	XXX(1),(2),(3)	01.03.2006
Fiji / <i>Fidji</i>		30.05.2012 (AS)	XXX(1),(2),(3)	01.09.2012
France	16.11.2001			
Germany / <i>Allemagne</i>	17.09.2002 with decl.			
Ghana	16.11.2001			
India		31.03.2008 (AS)	XXX(1),(2),(3)	01.07.2008
Indonesia / <i>Indonésie</i>		16.03.2007 (AS)	XXX(1),(2),(3)	01.07.2007
Ireland / <i>Irlande</i> ¹		23.08.2005 (AS)	XXX(1),(2)	01.03.2006
Italy / <i>Italie</i>	06.12.2001			
Jamaica / <i>Jamaïque</i>	16.11.2001			
Jordan / <i>Jordanie</i>	16.11.2001	31.08.2010 (RT)	XXX(1),(2),(3)	01.12.2010
Kazakhstan		01.06.2011 (AS)	XXX(1),(2),(3)	01.10.2011
Kenya	16.11.2001	13.10.2006 (RT)	XXX(1),(2),(3)	01.02.2007
Kuwait / <i>Koweït</i>		31.10.2013 (AS)		01.02.2014
Latvia / <i>Lettonie</i>		08.02.2011 (AS)		01.06.2011
Lesotho	16.11.2001			
Luxembourg		27.06.2008 (AS)	XXX(1),(2),(3)	01.10.2008
Madagascar		10.04.2013(AS)	XXX(1),(2),(3)	01.08.2013
Malawi		16.01.2014 (AC)	XXX(1),(2),(3)	01.05.2014
Malaysia / <i>Malaisie</i>		02.11.2005 (AS)	XXX(1),(2),(3)*	01.03.2006
Malta / <i>Malte</i> ¹		01.10.2010 (AS)	XXX(1)	01.02.2011
Mexico / <i>Mexique</i>		31.07.2007 (AS)	XIX, XXX(1),(3)	01.11.2007

Mongolia / <i>Mongolie</i>		19.10.2006 (AS)	XXX(1),(2),(3)	01.02.2007
Mozambique		18.07.2013 (AS)	XXX(1),(2),(3)	01.11.2013
Myanmar		03.12.2012 (AS)	XXIX, XXX(1),(2),(3)	01.04.2013
Netherlands, Kingdom of the / <i>Le Pays-Bas, Royaume des</i> ²		17.05.2010 (AS)	XXIX, XXX(1),(2)	
Caribbean part / <i>partie caraïbe</i>				10.10.2010
Aruba				01.09.2010
Curaçao				10.10.2010
Sint Maarten				10.10.2010
New Zealand / <i>Nouvelle-Zélande</i>		20.07.2010 (AS)	XXIX, XXX(1),(3),(5)	01.11.2010
Nigeria / <i>Nigéria</i>	16.11.2001	16.12.2003 (RT)	XXX(1)*,(2)*,(3)*	01.03.2006
Norway / <i>Norvège</i>		20.12.2010 (AS)	XXX(1),(3),(5)	01.04.2011
Oman		21.03.2005 (AS)	XXIX, XXX(1),(2),(3)	01.03.2006
Pakistan		22.01.2004 (AS)	XXIX, XXX(1),(2),(3)	01.03.2006
Panama	11.09.2002	28.07.2003 (RT)	XXX(1),(2),(3)	01.03.2006
Russian Federation / <i>Fédération de Russie</i>		25.05.2011 (AS)	XXX(1)*,(3)	01.09.2011
Rwanda		28.01.2010 (AS)	XXIX, XXX(1),(2),(3)	01.05.2010
San Marino / <i>Saint-Marin</i>		09.09.2014 (AS)	XXX(1),(2),(3)	01.01.2015
Saudi Arabia / <i>Arabie saoudite</i>	12.03.2003	27.06.2008 (RT)		01.10.2008
Senegal / <i>Sénégal</i>	02.04.2002	09.01.2006 (RT)	XXIX, XXX(1),(2),(3)	01.05.2006
Sierra Leone		26.07.2016 (AS)	XXX(1),(2),(3)	01.11.2016
Singapore / <i>Singapour</i>		28.01.2009 (AS)	XXX(1)*,(3)	01.05.2009
South Africa / <i>Afrique du sud</i>	16.11.2001	18.01.2007 (RT)	XXX(1),(2),(3)	01.05.2007
Spain / <i>Espagne</i>		27.11.2015 (AS)	XIX, XXIX, XXX(1)	01.03.2016
Sudan / <i>Soudan</i>	16.11.2001			
Sweden / <i>Suède</i>		30.12.2015 (AS)	XXX(1)	01.04.2016
Switzerland / <i>Suisse</i>	16.11.2001 <i>ad referendum</i>			
Tajikistan / <i>Tadjikistan</i>		31.05.2011 (AS)	XXX(1),(2),(3)	01.09.2011
Togo		01.12.2011 (AS)	XXX(1),(2),(3)	01.04.2012
Tonga	16.11.2001			
Turkey / <i>Turquie</i>	16.11.2001	23.08.2011 (RT)	XXX(1),(2),(3)	01.12.2011
Ukraine	03.03.2004	31.07.2012 (RT)	XIX, XXX(1),(3)	01.11.2012
United Arab Emirates / <i>Émirats arabes unis</i>		29.04.2008 (AS)	XIX, XXIX, XXX(1),(2),(3)	01.08.2008

United Kingdom / <i>Royaume-Uni</i> ¹	16.11.2001 with decl.	27.07.2015 (RT)	XXIX*, XXX(1)*, (2)*, (3)*	
Bermuda				01.01.2018
Cayman Islands / <i>Iles Cayman</i>				01.11.2015
Gibraltar				01.11.2015
Island of Guernsey / <i>Ile de Guernesey</i>				01.11.2015
Isle of Man / <i>Ile de Man</i>				01.01.2018
United Republic of Tanzania / <i>République-Unie de Tanzanie</i>	16.11.2001	30.01.2009 (RT)	XXX(1)*, (2)*, (3)*	01.05.2009
United States of America / <i>Etats-Unis d'Amérique</i>	09.05.2003	28.10.2004 (RT)	XIX, XXX(1)	01.03.2006
Viet Nam		17.09.2014 (AS)	XIX, XXX(1),(2),(3)	01.01.2015
REGIONAL ECONOMIC INTEGRATION ORGANISATIONS / ORGANISATIONS D'INTÉGRATION ÉCONOMIQUE RÉGIONALE	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (Art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
European Union / <i>Union européenne</i> ³		28.04.2009 (AS)	XXVII(2), XXX(5)	01.08.2009

¹ This State has provided UNIDROIT with information about its laws and policies in relation to the Aircraft Protocol / *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de la législation et des politiques applicables aux matières couvertes par le Protocole aéronautique*. See / voir <http://www.unidroit.org/nationalinfo-2001capetown-aircraft>

² The Kingdom of the Netherlands deposited its instrument of accession to the Aircraft Protocol on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba (entry into force on 1 September 2010). As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion au Protocole aéronautique le 20 juillet 2010 pour les Antilles néerlandaises et Aruba (entrée en vigueur le 1er septembre 2010). A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)"*

³ Regional Economic Integration Organisation / *Organisation régionale d'intégration économique*: Protocol / *Protocole* Article XXVII

* Affected by withdrawal and/or subsequent declaration. / *Fait l'objet d'un retrait et/ou d'une déclaration subséquente*

APPENDIX 2**OBSERVATIONS FROM STATES AS TO THE MANNER IN WHICH THE INTERNATIONAL REGIME ESTABLISHED BY THE CONVENTION AND AIRCRAFT PROTOCOL HAS OPERATED IN PRACTICE****SWEDEN**

The Cape Town Convention and the Aircraft Protocol came into effect in Sweden on April 1 2016. Already prior to its effectiveness in Sweden, a large number of registrations and searches were performed by Swedish related transactions according to latest available statistics. Given the response in the market of the Convention and the Aircraft Protocol there is no reason to believe that the number of registrations and searches would have decreased, other than potentially due to lower number of transactions.

According to practitioners in the field, the availability and service level of the International registry is well in line with the expectations and the required service level. Equally, the application of the Convention and the Aircraft Protocol as incorporated in Sweden has functioned well and the effects of the Convention and the Aircraft Protocol for Swedish users and interested parties have had the desired effect.

UKRAINE

According to the data received from the relevant Ukrainian authorities, the State Aviation Administration of Ukraine has provided 102 authorized codes for registration of property rights in the International register of property rights and registered 17 Irrevocable powers to submit a request on de-registration and export of aircrafts from January 1, 2014 till December 12, 2016.