



INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW
INSTITUT INTERNATIONAL POUR L'UNIFICATION DU DROIT PRIVE

**Convention on International Interests in
Mobile Equipment**

**Protocol to the Convention on
International Interests in Mobile
Equipment on Matters Specific to Aircraft
Equipment**

UNIDROIT 2020
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DEPOSITARY REPORT

1 January 2017 – 31 December 2019

Introduction

1. The Convention on International Interests in Mobile Equipment (the Convention) and the Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Aircraft Equipment (the Aircraft Protocol) were both opened for signature on 16 November 2001 at the conclusion of a diplomatic Conference held under the joint auspices of the International Institute for the Unification of Private Law (UNIDROIT) and the International Civil Aviation Organization (ICAO). Both the Convention as applied to aircraft objects, and the Aircraft Protocol, entered into force on 1 March 2006.

2. This report has been prepared by UNIDROIT, in its capacity as Depositary of the Convention and Aircraft Protocol, pursuant to Article 61(1) of the Convention¹ and Article XXXVI(1) of the Aircraft Protocol.² It takes into account the fifth Report of the Supervisory Authority concerning the functioning of the international registry system for the same reporting period prepared pursuant to Article 17(2)(j) of the Convention and transmitted by ICAO to UNIDROIT in October 2020. UNIDROIT then invited the Governments of Contracting States to make comments as to the manner in which the international regime established by the Convention and Aircraft Protocol had operated in practice in its jurisdiction during the period to be covered by the Report.

The Reporting Period

3 This report covers both the Convention and Aircraft Protocol for the period 1 January 2017 to 31 December 2019 (the Reporting Period). For the previous reports, see the UNIDROIT website at the following page: <https://www.unidroit.org/depositary-2001capetown>

Depositary Activity during the Reporting Period

4. At the commencement of the Reporting Period, the Convention had 73 Contracting States (plus one Regional Economic Integration Organisation), and the Aircraft Protocol had 66 Contracting States (plus one Regional Economic Integration Organisation).

5. Six (6) States deposited their instruments of accession/ratification to the Convention during the Reporting Period, bringing the total number of Contracting States as at the end of the Reporting Period to seventy-nine (79). Ten (10) States deposited their instruments of accession/ratification to the Aircraft Protocol during the Reporting Period, bringing the total number of Contracting States as at the end of the Reporting Period to seventy-six (76).

6. During the Reporting Period, Bahrain, Côte d'Ivoire, Egypt and the United Kingdom deposited subsequent declarations to the Cape Town and/or the Aircraft Protocol.

7. Appendix 1 shows the status of the Convention and Aircraft Protocol *as at the end of the Reporting Period*, including details of all signatures, ratifications, accessions, declarations, and dates of entry into force.

8. UNIDROIT officials participated in conferences and meetings in order to discuss legal issues concerning the Cape Town Convention and its Aircraft Protocol so as to gather feedback on the ratification procedures and/or implementation of the instruments in May 2017 (Dubai), November 2017 (Singapore and Budapest), June 2018 (Cape Town, January 2019 (Ireland), February 2019 (Paris), April 2019 (Montreal), June 2019 (Brasília) and July 2019 (Beijing).

¹ Article 61(1) of the Convention provides: "The Depositary shall prepare reports yearly or at such other times as the circumstances may require for the States Parties as to the manner in which the international regimen established in this Convention has operated in practice. In preparing such reports, the Depositary shall take into account the reports of the Supervisory Authority concerning the functioning of the international registration system."

² Article XXXVI(1) of the Aircraft Protocol provides: "The Depositary, in consultation with the Supervisory Authority, shall prepare reports yearly, or at such other times as the circumstances may require, for the States Parties as to the manner in which the international regimen established in this Convention as amended by this Protocol has operated in practice. In preparing such reports, the Depositary shall take into account the reports of the Supervisory Authority concerning the functioning of the international registration system."

9. UNIDROIT was also represented in the sixth, seventh and eighth conferences organised by the *Cape Town Convention Academic Project* in Oxford on 12 and 13 September 2017, on 12 and 13 September 2018 and on 10 and 11 September 2019 in Oxford.³ The CTCAP provides for academic study and assessment of the Cape Town Convention, seeking to advance the understanding and effective implementation of, and compliance with, the treaty. The Cape Town Convention Academic Project, before and during 2019 a joint endeavour of the University of Oxford, Faculty of Law, and the University of Washington School of Law (as well as under the auspices of UNIDROIT for the repository and the journal).

10. On 13 November 2019, UNIDROIT, the University of Cambridge Faculty of Law (Cambridge), and the Aviation Working Group (AWG) announced their agreement to continue the work of the Cape Town Convention Academic Project (CTCAP). The CTCAP will be continued under the joint auspices of Cambridge and UNIDROIT, with AWG noted as the founding sponsor. The CTCAP will be strengthened by the inclusion of related joint projects for (1) economic assessment of international commercial law reform, and (2) best practices in the design and operation of electronic registries.

Operation of the Convention and Aircraft Protocol in practice during the Reporting Period

11. By Note Verbale, the Depositary advised Contracting States to the Convention and the Aircraft Protocol that it would be grateful to receive from them information and comments as to the manner in which the international regime established by the Convention and Aircraft Protocol had operated in practice. The Governments of Afghanistan, Brazil, Ireland, Latvia, Myanmar, Sweden and Turkey provided information relating to the Reporting Period, which are set out in Appendix 2.

12. For documents associated with judicial actions during the Reporting Period, see the website of the Cape Town Academic Project at <http://www.ctcap.org> (Repository).

Review Conferences

13. Article 61(2) of the Convention and Article XXXVI(2) of the Aircraft Protocol provide for the convening of Review Conferences at the request of not less than twenty-five percent of the States Parties. During the Reporting Period the Depositary received no requests for the convening of a Review Conference pursuant to Article 61(2) of the Convention and Article XXXVI(2) of the Aircraft Protocol.

³ See the programmes of such conferences on the website of the Cape Town Convention Academic Project at <http://www.ctcap.org> and summaries on the UNIDROIT Annual Reports at <https://www.unidroit.org/about-unidroit/overview/493-about-unidroit/cd-annual-reports/cd-annual-reports-on-the-activity-of-unidroit>

APPENDIX 1

CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES

as at 31 December 2019 / au 31 décembre 2019

Adoption: Place: Cape Town / *Lieu: Le Cap*
Date: 16.11.2001

Entry into force / Entrée en vigueur: 01.03.2006 (Art. 49(1))

STATE / ETAT	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (Art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
Afghanistan		25.07.2006 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.11.2006
Albania / <i>Albanie</i>		30.10.2007 (AS)	39(1)(a)-(b), 54(2)	01.02.2008
Angola		30.04.2006 (AS)	39(1)(a), 40, 54(2)	01.08.2006
Argentina / <i>Argentine</i>		10.04.2018 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.08.2018
Australia / <i>Australie</i>		26.05.2015 (AS)	39(1)(a), 52, 54(2), 55	01.09.2015
Bahrain / <i>Bahreïn</i>		27.11.2012 (AS)	39(1)(a)-(b), 40, 54(2)	01.03.2013
Bangladesh		15.12.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.04.2009
Belarus / <i>Bélarus</i>		28.06.2011 (AS)	54(2)	01.01.2012
Bhutan / <i>Bhoutan</i>		04.07.2014 (AS)	39(1)(a), 54(2)	01.11.2014
Brazil / <i>Brésil</i>		30.11.2011 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.03.2012
Burkina Faso		12.12.2014 (AS)	54(2)	01.01.2018
Burundi	16.11.2001			
Cabo Verde / <i>Cap-Vert</i>		26.09.2007 (AS)	39(1)(a), 40, 53, 54(2)	01.01.2008
Cameroon / <i>Cameroun</i>		19.04.2011 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.08.2011
Canada	31.03.2004	21.12.2012 (RT)	39(1)(a)-(b), 39(4), 52*, 53*, 54(2), 60	
Alberta				01.04.2013
British Columbia / <i>Colombie-Britannique</i>				01.04.2013
Manitoba				01.04.2013
New Brunswick / <i>Nouveau-Brunswick</i>				01.07.2016
Newfoundland and Labrador / <i>Terre-Neuve-et-Labrador</i>				01.04.2013

Northwest Territories / <i>Territoires du Nord-Ouest</i>				01.04.2013
Nova Scotia / <i>Nouvelle-Écosse</i>				01.04.2013
Nunavut				01.04.2013
Ontario				01.04.2013
Prince Edward Island / <i>Ile-du-Prince-Edouard</i>				01.10.2014
Quebec / <i>Québec</i>				01.04.2013
Saskatchewan				01.04.2013
Yukon				01.10.2014
Chile / <i>Chili</i>	16.11.2001			
China / <i>Chine</i>	16.11.2001	03.02.2009 (RT)	39(1)(a)-(b), 39(4), 40, 50, 53, 54(1), 54(2), 55	01.06.2009
Colombia / <i>Colombie</i>		19.02.2007 (AS)	39(1)(a), 54(2)	01.06.2007
Congo	16.11.2001	25.01.2013 (AC)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.05.2013
Costa Rica		26.08.2011 (AS)	53, 54(2)	01.12.2018
Côte d'Ivoire		09.02.2015 (AS)	39(1)(a)*, 40*, 52*, 53*, 54(2)*	01.07.2016
Cuba	16.11.2001	28.01.2009 (RT)	54(2)	01.05.2009
Democratic Republic of the Congo / <i>République démocratique du Congo</i>		06.05.2016 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.09.2016
Denmark / <i>Danemark</i>		26.10.2015 (AS)	39(1)(a)-(b), 40, 52, 54(2), 55	01.02.2016
Egypt / <i>Egypte</i>		10.12.2014 (AS)	39(1)(a)*, 53* 54(2)*	01.04.2015
Eswatini		17.11.2016 (AS)	54(2)	01.09.2017
Ethiopia / <i>Ethiopie</i>	16.11.2001	21.11.2003 (RT)	39(1)(a), 40, 54(2)	01.03.2006
Fiji / <i>Fidji</i>		05.09.2011 (AS)	54(2)*	01.09.2012
France	16.11.2001			
Gabon		16.04.2010 (AS)	54(2)	01.08.2017
Germany / <i>Allemagne</i>	17.09.2002 with decl.			
Ghana	16.11.2001	20.12.2018 (RT)	39(1)(a)-(b), 53, 54(2), 60(1)	01.04.2019
India / <i>Inde</i>		31.03.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.07.2008
Indonesia / <i>Indonésie</i>		16.03.2007 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.07.2007
Ireland / <i>Irlande</i>		29.07.2005 (AS)	39(1)(a)-(b), 54(2)	01.03.2006
Italy / <i>Italie</i>	06.12.2001			
Jamaica / <i>Jamaïque</i>	16.11.2001			

Jordan / <i>Jordanie</i>	16.11.2001	31.08.2010 (RT)	39(1)(a), 54(2)	01.12.2010
Kazakhstan		21.01.2009 (AS)	39(1)(a)*-(b)* 39(4)*, 40*, 53*,54(2)*	01.10.2011
Kenya	16.11.2001	13.10.2006 (RT)	39(1)(a)*-(b), 40, 53, 54(2)	01.02.2007
Kuwait / <i>Koweït</i>		31.10.2013 (AS)	54(2)	01.02.2014
Latvia / <i>Lettonie</i>		08.02.2011 (AS)	54(2)	01.06.2011
Lesotho	16.11.2001			
Luxembourg		27.06.2008 (AS)	53, 54(2)	01.10.2008
Madagascar		10.04.2013 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.08.2013
Malawi		16.01.2014 (AC)	39(1)(a)-(b), 40, 53, 54(2)	01.05.2014
Malaysia / <i>Malaisie</i>		02.11.2005 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.03.2006
Malta / <i>Malte</i> ²		01.10.2010 (AS)	39(1)(a), 39(4), 40, 53, 54(2)	01.02.2011
Mexico / <i>Mexique</i>		31.07.2007 (AS)	39(1)(a)-(b), 50, 53, 54(2), 60	01.11.2007
Mongolia / <i>Mongolie</i>		19.10.2006 (AS)	39(1)(a)-(b), 53, 54(2)	01.02.2007
Mozambique		30.01.2012 (AS)	39(1)(a), 40, 54(2)	01.11.2013
Myanmar		03.12.2012 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.04.2013
Namibia / <i>Namibie</i>		23.07.2018 (AS)	39(1)(a)-(b), 39(4), 54(2), 55	01.11.2018
Netherlands, Kingdom of the / <i>Pays-Bas, Royaume des</i> ³		17.05.2010 (AS)	39(1)(a)-(b), 52, 53, 54(2)	
Caribbean part / <i>partie caraïbe</i>				10.10.2010
Aruba				01.09.2010
Curaçao				10.10.2010
Sint Maarten				10.10.2010
New Zealand / <i>Nouvelle-Zélande</i>		20.07.2010 (AS)	39(1)(a), 52, 53, 54(2), 55	01.11.2010
Nigeria / <i>Nigéria</i>	16.11.2001	16.12.2003 (RT)	39(1)(a)*, 40*, 53*, 54(2)*	01.03.2006
Norway / <i>Norvège</i>		20.12.2010 (AS)	39(1)(a)-(b), 40, 54(2), 55	01.04.2011
Oman		21.03.2005 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.03.2006
Pakistan		22.01.2004 (AS)	39(1)(a)-(b), 39(4), 40, 52, 53, 54(2)	01.03.2006
Panama	11.09.2002	28.07.2003 (RT)	39(1)(a)-(b), 39(4), 50, 53, 54(2)	01.03.2006
Paraguay		19.12.2018 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.04.2019

Republic of Moldova / <i>République de Moldova</i>		26.06.2015 (AS)	54(2)	01.06.2019
Romania / <i>Roumanie</i>		30.03.2018 (AS)	39(1)(b), 54(2)	01.07.2018
Russian Federation / <i>Fédération de Russie</i>		25.05.2011 (AS)	39(1)(a)-(b), 53, 54(2)	01.09.2011
Rwanda		28.01.2010 (AS)	39(1)(a), 40, 52, 53, 54(2)	01.05.2010
San Marino / <i>Saint-Marin</i>		09.09.2014 (AS)	39(1)(a)-(b), 39(4), 40, 53, 54(2)	01.01.2015
Saudi Arabia / <i>Arabie saoudite</i>	12.03.2003	27.06.2008 (RT)	54(2)	01.10.2008
Senegal / <i>Sénégal</i>	02.04.2002	09.01.2006 (RT)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.05.2006
Seychelles		13.09.2010 (AS)		- 1
Sierra Leone		26.07.2016 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.11.2016
Singapore / <i>Singapour</i>		28.01.2009 (AS)	39(1)(a)-(b), 39(4), 53, 54(2)	01.05.2009
South Africa / <i>Afrique du sud</i>	16.11.2001	18.01.2007 (RT)	39(1)(a)-(b), 40, 54(2)	01.05.2007
Spain / <i>Espagne</i>		28.06.2013 (AS)	39(1)(a)-(b) *, 40*, 52, 53*, 54(2)	01.03.2016
Sudan / <i>Soudan</i>	16.11.2001			
Sweden / <i>Suède</i>		30.12.2015 (AS)	39(1)(a)-(b), 39(4), 40, 54(2)	01.04.2016
Switzerland / <i>Suisse</i>	16.11.2001 <i>ad referendum</i>			
Syrian Arab Republic / <i>République arabe syrienne</i>		07.08.2007 (AS)		- 1
Tajikistan / <i>Tadjikistan</i>		31.05.2011 (AS)	54(2)	01.09.2011
Togo		27.01.2010 (AS)	39(1)(a)*, 39(1)(b)*, 40*, 53*, 54(2)*	01.04.2012
Tonga	16.11.2001			
Turkey / <i>Turquie</i>	16.11.2001	23.08.2011 (RT)	39(1)(a)-(b), 40, 50, 54(2)	01.12.2011
Ukraine	09.03.2004	31.07.2012 (RT)	50, 53, 54(2)	01.11.2012
United Arab Emirates / <i>Émirats arabes unis</i>		29.04.2008 (AS)	39(1)(a)-(b), 40, 52, 53, 54(2)	01.08.2008
United Kingdom / <i>Royaume-Uni</i>	16.11.2001 with decl.	27.07.2015 (RT)	39(1)(a)*-(b)*, 39(4)*, 52*, 53*, 54(2)*	
Bermuda				01.01.2018
Cayman Islands / <i>Iles Cayman</i>				01.11.2015
Gibraltar				01.11.2015
Island of Guernsey / <i>Ile de Guernesey</i>				01.11.2015
Isle of Man / <i>Ile de Man</i>				01.01.2018

United Republic of Tanzania / <i>République-Unie de Tanzanie</i>	16.11.2001	30.01.2009 (RT)	54(2)	01.05.2009
United States of America / <i>Etats- Unis d'Amérique</i>	09.05.2003	28.10.2004 (RT)	39(1)(a)-(b), 54(2)	01.03.2006
Uzbekistan / Ouzbékistan		31.01.2018 (AS)	53, 54(2)	01.05.2018
Viet Nam		17.09.2014 (AS)	39(1)(a)-(b), 40, 53, 54(2)	01.01.2015
Zimbabwe		13.05.2008 (AS)		- 1

REGIONAL ECONOMIC INTEGRATION ORGANISATIONS / ORGANISATIONS D'INTÉGRATION ÉCONOMIQUE RÉGIONALE	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (Art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
European Union / <i>Union européenne</i> ⁴		28.04.2009 (AS)	48(2), 55	01.08.2009

¹ Entry into force subject to / *sous réserve de*: Convention Article 49(2)

² This State has provided UNIDROIT with information about its laws and policies in relation to the Convention / *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de la législation et des politiques applicables aux matières couvertes par la Convention*
See / voir <http://www.unidroit.org/status-2001capetown>

³ Accession of the Netherlands was for the Netherlands Antilles and Aruba (only). The accession became effective on 1 September 2010. Following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the declarations made for the Netherlands Antilles were confirmed for Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Sint Eustatius and Saba), effective from 10 October 2010. The declarations made for Aruba remain valid as from 1 September 2010. / *L'adhésion des Pays-Bas concernait (seulement) les Antilles néerlandaises et Aruba. L'adhésion a pris effet le 1er septembre 2010. Suite à une modification des relations constitutionnelles intérieures du Royaume des Pays-Bas, les déclarations faites pour les Antilles néerlandaises ont été confirmées pour Curaçao, Saint-Martin et la partie caribéenne des Pays-Bas (les îles de Bonaire, de Saint-Eustache et de Saba), à compter du 10 octobre 2010. Les déclarations faites pour Aruba restent valides au 1er septembre 2010.*

⁴ Regional Economic Integration Organisation / *Organisation régionale d'intégration économique*: Convention Article 48

* Affected by withdrawal and/or subsequent declaration. / *Fait l'objet d'un retrait et/ou d'une déclaration subséquente*

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
ON MATTERS SPECIFIC TO AIRCRAFT EQUIPMENT
PROTOCOLE PORTANT SUR LES QUESTIONS SPÉCIFIQUES AUX MATÉRIELS D'ÉQUIPEMENT
AÉRONAUTIQUES À LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

as at 31 December 2019 / au 31 décembre 2019

Adoption: Place: Cape Town / *Lieu: Le Cap*
Date: 16-11-2001

Entry into force: 01.03.2006 (Art. XXVIII(1))
Entrée en vigueur:

STATE / ETAT	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
Afghanistan		25.07.2006 (AS)	XXIX, XXX(1),(2),(3)	01.11.2006
Albania / <i>Albanie</i>		30.10.2007 (AS)	XIX, XXX(1)	01.02.2008
Angola		30.04.2006 (AS)	XXX(1),(2),(3)	01.08.2006
Argentina / <i>Argentine</i>		10.01.2018 (AS)	XIX, XXX(1),(2),(3)	01.08.2018
Australia / <i>Australie</i>		26.05.2015 (AS)	XXX(1),(3)	01.09.2015
Bahrain / <i>Bahreïn</i>		27.11.2012 (AS)	XXX(1),(2),(3) *	01.03.2013
Bangladesh		15.12.2008 (AS)	XXIX, XXX(1),(2),(3)	01.04.2009
Belarus / <i>Bélarus</i>		27.09.2011 (AS)		01.01.2012
Bhutan / <i>Bhoutan</i>		04.07.2014 (AS)		01.11.2014
Brazil / <i>Brésil</i>		30.11.2011 (AS)	XIX, XXX(1),(2),(3)	01.03.2012
Burkina Faso		08.09.2017 (AS)		01.01.2018
Burundi	16.11.2001			
Cabo Verde / <i>Cap-Vert</i>		26.09.2007 (AS)	XXX(1),(2),(3)	01.01.2008
Cameroon / <i>Cameroun</i>		19.04.2011 (AS)		01.08.2011
Canada	31.03.2004	21.12.2012 (RT)	XXIX *, XXX(1),(2),(3)	
Alberta				01.04.2013
British Columbia / <i>Colombie-Britannique</i>				01.04.2013
Manitoba				01.04.2013
New Brunswick / <i>Nouveau-Brunswick</i>				01.07.2016
Newfoundland and Labrador / <i>Terre-Neuve-et-Labrador</i>				01.04.2013
Northwest Territories / <i>Territoires du Nord-Ouest</i>				01.04.2013
Nova Scotia / <i>Nouvelle-Écosse</i>				01.04.2013
Nunavut				01.04.2013
Ontario				01.04.2013
Prince Edward Island / <i>Ile-du-Prince-Edouard</i>				01.10.2014

Quebec / Québec				01.04.2013
Saskatchewan				01.04.2013
Yukon				01.10.2014
Chile / <i>Chili</i>	16.11.2001			
China / <i>Chine</i>	16.11.2001	03.02.2009 (RT)	XIX, XXIX, XXX(1),(2),(3)	01.06.2009
Colombia / <i>Colombie</i>		19.02.2007 (AS)	XXX(1),(2),(3)	01.06.2007
Congo	16.11.2001	13.03.2013 (AC)		01.05.2013
Costa Rica		08.08.2018 (AS)		01.12.2018
Côte d'Ivoire		01.03.2016 (AC)	XIX(1)*, XXIX*, XXX(1)*,(2)*,(3)*	01.07.2016
Cuba	16.11.2001	28.01.2009 (RT)		01.05.2009
Democratic Republic of the Congo / <i>République démocratique du Congo</i>		06.05.2016 (AS)		01.09.2016
Denmark / <i>Danemark</i>		26.10.2015 (AC)	XXIX, XXX(1),(3),(5)	01.02.2016
Egypt / <i>Egypte</i>		10.12.2014 (AS)	XIX(1)*, XXX(1)*,(2)*,(3)*	01.04.2015
Eswatini		26.05.2017 (AS)		01.09.2017
Ethiopia / <i>Ethiopie</i>	16.11.2001	21.11.2003 (RT)	XXX(1),(2),(3)	01.03.2006
Fiji / <i>Fidji</i>		30.05.2012 (AS)	XXX(1),(2),(3)	01.09.2012
France	16.11.2001			
Gabon		04.04.2017 (AS)		01.08.2017
Germany / <i>Allemagne</i>	17.09.2002 with decl.			
Ghana	16.11.2001	20.12.2018 (RT)	XXX(1),(2),(3)	01.04.2019
India		31.03.2008 (AS)	XXX(1),(2),(3)	01.07.2008
Indonesia / <i>Indonésie</i>		16.03.2007 (AS)	XXX(1),(2),(3)	01.07.2007
Ireland / <i>Irlande</i> ¹		23.08.2005 (AS)	XXX(1),(2)	01.03.2006
Italy / <i>Italie</i>	06.12.2001			
Jamaica / <i>Jamaïque</i>	16.11.2001			
Jordan / <i>Jordanie</i>	16.11.2001	31.08.2010 (RT)	XXX(1),(2),(3)	01.12.2010
Kazakhstan		01.06.2011 (AS)	XXX(1),(2),(3)	01.10.2011
Kenya	16.11.2001	13.10.2006 (RT)	XXX(1),(2),(3)	01.02.2007
Kuwait / <i>Koweït</i>		31.10.2013 (AS)		01.02.2014
Latvia / <i>Lettonie</i>		08.02.2011 (AS)		01.06.2011
Lesotho	16.11.2001			
Luxembourg		27.06.2008 (AS)	XXX(1),(2),(3)	01.10.2008

Madagascar		10.04.2013 (AS)	XXX(1),(2),(3)	01.08.2013
Malawi		16.01.2014 (AC)	XXX(1),(2),(3)	01.05.2014
Malaysia / Malaisie		02.11.2005 (AS)	XXX(1),(2),(3)*	01.03.2006
Malta / Malte ¹		01.10.2010 (AS)	XXX(1)	01.02.2011
Mexico / Mexique		31.07.2007 (AS)	XIX, XXX(1),(3)	01.11.2007
Mongolia / Mongolie		19.10.2006 (AS)	XXX(1),(2),(3)	01.02.2007
Mozambique		18.07.2013 (AS)	XXX(1),(2),(3)	01.11.2013
Myanmar		03.12.2012 (AS)	XXIX, XXX(1),(2),(3)	01.04.2013
Namibia / Namibie		23.07.2018 (AS)	XXX(1)	01.11.2018
Netherlands, Kingdom of the / Le Pays-Bas, Royaume des ²		17.05.2010 (AS)	XXIX, XXX(1),(2)	
Caribbean part / partie caraïbe				10.10.2010
Aruba				01.09.2010
Curaçao				10.10.2010
Sint Maarten				10.10.2010
New Zealand / Nouvelle-Zélande		20.07.2010 (AS)	XXIX, XXX(1),(3),(5)	01.11.2010
Nigeria / Nigéria	16.11.2001	16.12.2003 (RT)	XXX(1)*,(2)*,(3)*	01.03.2006
Norway / Norvège		20.12.2010 (AS)	XXX(1),(3),(5)	01.04.2011
Oman		21.03.2005 (AS)	XXIX, XXX(1),(2),(3)	01.03.2006
Pakistan		22.01.2004 (AS)	XXIX, XXX(1),(2),(3)	01.03.2006
Panama	11.09.2002	28.07.2003 (RT)	XXX(1),(2),(3)	01.03.2006
Paraguay		19.12.2018 (AS)	XIX, XXX(1),(2),(3)	01.04.2019
Republic of Moldova / République de Moldova		19.02.2019 (AS)	XXX(1)	01.06.2019
Romania / Roumanie		30.03.2018 (AS)	XXX(1)	01.07.2018
Russian Federation / Fédération de Russie		25.05.2011 (AS)	XXX(1)*,(3)	01.09.2011
Rwanda		28.01.2010 (AS)	XXIX, XXX(1),(2),(3)	01.05.2010
San Marino / Saint-Marin		09.09.2014 (AS)	XXX(1),(2),(3)	01.01.2015
Saudi Arabia / Arabie saoudite	12.03.2003	27.06.2008 (RT)		01.10.2008
Senegal / Sénégal	02.04.2002	09.01.2006 (RT)	XXIX, XXX(1),(2),(3)	01.05.2006
Sierra Leone		26.07.2016 (AS)	XXX(1),(2),(3)	01.11.2016
Singapore / Singapour		28.01.2009 (AS)	XXX(1)*,(3)	01.05.2009
South Africa / Afrique du sud	16.11.2001	18.01.2007 (RT)	XXX(1),(2),(3)	01.05.2007
Spain / Espagne		27.11.2015 (AS)	XIX, XXIX, XXX(1)	01.03.2016

Sudan / <i>Soudan</i>	16.11.2001			
Sweden / <i>Suède</i>		30.12.2015 (AS)	XXX(1)	01.04.2016
Switzerland / <i>Suisse</i>	16.11.2001 <i>ad referendum</i>			
Tajikistan / <i>Tadjikistan</i>		31.05.2011 (AS)	XXX(1),(2),(3)	01.09.2011
Togo		01.12.2011 (AS)	XXX(1),(2),(3)	01.04.2012
Tonga	16.11.2001			
Turkey / <i>Turquie</i>	16.11.2001	23.08.2011 (RT)	XXX(1),(2),(3)	01.12.2011
Ukraine	03.03.2004	31.07.2012 (RT)	XIX, XXX(1),(3)	01.11.2012
United Arab Emirates / <i>Émirats arabes unis</i>		29.04.2008 (AS)	XIX, XXIX, XXX(1),(2),(3)	01.08.2008
United Kingdom / <i>Royaume-Uni</i> ¹	16.11.2001 with decl.	27.07.2015 (RT)	XXIX*, XXX(1)*, (2)*, (3)*	
Bermuda				01.01.2018
Cayman Islands / <i>Iles Cayman</i>				01.11.2015
Gibraltar				01.11.2015
Island of Guernsey / <i>Ile de Guernesey</i>				01.11.2015
Isle of Man / <i>Ile de Man</i>				01.01.2018
United Republic of Tanzania / <i>République-Unie de Tanzanie</i>	16.11.2001	30.01.2009 (RT)	XXX(1)*, (2)*, (3)*	01.05.2009
United States of America / <i>Etats-Unis d'Amérique</i>	09.05.2003	28.10.2004 (RT)	XIX, XXX(1)	01.03.2006
Uzbekistan / <i>Ouzbékistan</i>		31.01.2018 (AS)	XXX(1), (2), (3)	01.05.2018
Viet Nam		17.09.2014 (AS)	XIX, XXX(1),(2),(3)	01.01.2015
REGIONAL ECONOMIC INTEGRATION ORGANISATIONS / ORGANISATIONS D'INTÉGRATION ÉCONOMIQUE RÉGIONALE	SIGNATURE	RATIFICATION (RT) ACCEPTANCE / ACCEPTATION (AC) APPROVAL / APPROBATION (AP) ACCESSION / ADHESION (AS)	DECLARATIONS (Art.)	ENTRY INTO FORCE / ENTREE EN VIGUEUR
European Union / <i>Union européenne</i> ³	<i>Union</i>	28.04.2009 (AS)	XXVII(2), XXX(5)	01.08.2009

¹ This State has provided UNIDROIT with information about its laws and policies in relation to the Aircraft Protocol / *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de la législation et des politiques applicables aux matières couvertes par le Protocole aéronautique. See / voir <http://www.unidroit.org/nationalinfo-2001capetown-aircraft>*

² Accession of the Netherlands was for the Netherlands Antilles and Aruba (only). The accession became effective on 1 September 2010. Following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the declarations made for the Netherlands Antilles were confirmed for Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Sint Eustatius and Saba), effective from 10 October 2010. The declarations made for Aruba remain valid as from 1 September 2010. / *L'adhésion des Pays-Bas concernait (seulement) les Antilles néerlandaises et Aruba. L'adhésion a pris effet le 1er septembre 2010. Suite à une modification des relations constitutionnelles intérieures du Royaume des Pays-Bas, les déclarations faites pour les Antilles néerlandaises ont été confirmées pour Curaçao, Saint-Martin et la partie caribéenne des Pays-Bas (les îles de Bonaire, de Saint-Eustache et de Saba), à compter du 10 octobre 2010. Les déclarations faites pour Aruba restent valides au 1er septembre 2010.*

³ Regional Economic Integration Organisation / *Organisation régionale d'intégration économique*: Protocol / *Protocole* Article XXVII

* Affected by withdrawal and/or subsequent declaration. / *Fait l'objet d'un retrait et/ou d'une déclaration subséquente*

APPENDIX 2**OBSERVATIONS FROM STATES AS TO THE MANNER IN WHICH THE INTERNATIONAL REGIME ESTABLISHED BY THE CONVENTION AND AIRCRAFT PROTOCOL HAS OPERATED IN PRACTICE (2017 – 2019)****AFGHANISTAN**

Article 82 of the Civil Aviation Law explicitly states:

“Import of aircraft, engines and its downstream projects, maintenance and repair machinery for aircraft, all ground vehicles related to air transport services (aircraft, means transport of travel equipment and property to the destination of aircraft capture and unloading, scales for the weight of travel equipment and property, aviation firefighting vehicles, explosives detection devices and weapons to ensure flight safety at airports (documents of civil aviation institutions (air travel tickets, air waybills, airline scheduling documents) “Publications of the International Civil Aviation Organization (ICAO), the International Meteorological Organization (WMO) and the International Air Transport Association (IATA) are exempt from customs duties and products”.”

The amendment contained in the Aircraft Equipment Protocol, does not require any additional comments from Afghanistan Civil Aviation Authority and therefore approved by ACAA.

BRAZIL

The internal regulation to the application of the Cape Town Convention by the Brazilian National Civil Aviation Agency (ANAC) started with the publication of ANAC Resolution No. 309 of 2014.

The number of instruments for Irrevocable Authorization for Cancellation of Registration (IDERA) has been increasing, encompassing almost 100% of large aircraft that operate on regular air transport. This was already expected since almost 100% of the aircraft operated by Brazilian airlines are contracted through international leasing.

Brazil faced in 2019 a bankruptcy process with “OCEANAIR Linhas Aéreas”, publicly known as AVIANCA. On that occasion, during a short period, ANAC was prevented from complying with the terms of the Convention due to a Court decision.

It is important to highlight that ANAC has always complied with the terms of the Convention, being a reference in fulfilling the average term for registration cancellations, at the request of the lessor, based on IDERA. The term established in the Convention is 5 business-days and the average term of ANAC has been 2 working days.

Resolution No. 309/2014, above mentioned, was revised in 2019.

IRELAND

The application of the Convention and Aircraft Protocol as implemented in Ireland has functioned effectively and efficiently for Irish users and related interested parties in the period.

2017, Ireland by way of Government Order ([Statutory Instrument 187 of 2017](#)) adopted the "Alternative A" provisions of Article XI of the Aircraft Protocol in its entirety in respect to insolvency proceedings.

In January 2019, the International Registry - operated by Aviareto in Dublin - reached the milestone of 1 million registrations representing a value of over half a trillion dollars of financial interests in aircraft assets.

LATVIA

From 2017 to 2019, the local authorities and aviation stakeholders have strictly followed the Convention in all matters covered by the Convention. For instance, despite the IDERA declaration not having made up until 2020, the national Civil Aviation Agency has accepted IDERAs submitted to it in line with the provisions of the Convention. Private stakeholders have also followed provisions such as registration of new aircraft on the International Registry and other matters governed by the Convention and especially when dealing with aircraft financiers (lessors). In practice, the Convention has been followed and abided by both – the public and private sector.

MYANMAR

With regard to the UNIDROIT's letter Ref.: DC9DEP/1396 dated 10 December 2020, we wish to advise you that Myanmar records and retains Irrevocable De-registration and Export Authorizations (IDERAs) and Certified Designee Confirmation Letters (CDCLs) that have been lodged in respect of the relevant aircraft in accordance with AIC 02/2014 published on 20 October 2014. We have no additional comments to make on the report.

SWEDEN

The Convention and the Aircraft Protocol came into effect in Sweden on 1 April 2016. Already prior to its effectiveness in Sweden, a large number of registrations and searches were performed by Swedish related transactions according to latest available statistics.

Given the response in the market of the Convention and the Aircraft Protocol the number of registrations and searches is well in line with expectations on the basis of the number of transactions involving Sweden.

According to practitioners in the field, the availability and service level of the International registry is well in line with the expectations and the required service level. Equally, the application of the Convention and the Aircraft Protocol as incorporated in Sweden has functioned well and the effects of the Convention and the Aircraft Protocol for Swedish users and interested parties has had the desired effect.

It shall also be noted that in the few insolvency proceedings in respect of Swedish airlines NextJet (bankruptcy) and BRA (company reorganisation) the rules under the Convention and the Aircraft Protocol have been respected and adhered to.

TURKEY

The implementation of the Convention and the Aircraft Protocol within the jurisdiction of Turkey during the periode 2017 – 2019 can be summarized as follows:

- Pursuant to the Article XIII of the Protocol, there were 336 single transactions in relation to the registration and the cancellation of IDERAs,

- Pursuant to the Convention, the Aircraft Protocol and the domestic regulations, there were 13 single transactions in relation to the rejection of the letter of application for IDERAs,

- According to the request of the “authorised party”, there were 7 aircraft de-registration and export transaction.

Moreover, in the year 2017, some amendments had been made in our fundamental domestic regulation which is SHT-IDERA, according to the needs of the market and the Directorate General of Civil Aviation of Turkey. These amendments entered into force on July 25, 2017.