2015: When one page won’t do it

Last year was not a monumental one from an institutional point of view; there were no Governing council elections, no new accessions to the UNIDROIT Statute, no diplomatic conferences, and no new Strategic Plan. And yet, underneath this tranquil surface, a lot went on at UNIDROIT.

The Working Group finalised and the Governing Council approved the UNIDROIT/FAO/IFAD Legal Guide to Contract Farming – the first ever project carried out by UNIDROIT in cooperation with the Rome-based food and agriculture agencies of the United Nations. The Legal Guide has since been published and the three organisations are engaged in its promotion, thanks to a grant made by IFAD to support various activities aimed at disseminating knowledge about the Guide and promoting capacity building of stakeholders. The finalisation of the text within the short time between the Working Group’s last session, in November 2014, and the approval of the Guide by the Governing Council, in May 2015, was possible thanks to the hard work and excellent cooperation among the partner organisations, the Secretariat and the members of the Working Group.

However, completing that important project was only one of the many achievements of UNIDROIT during 2015.

Equally productive was the Study Group for the Preparation of a Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Agricultural, Construction and Mining Equipment. At its second and third meetings, in April and October 2015 respectively, the Study Group made significant progress in clarifying the scope of the proposed new protocol and solving the most important legal issues raised in connection with the relevant type of equipment. The Secretariat is confident that after the fourth meeting of the Study Group, scheduled to be held in Rome on 7-9 March 2016, the Governing Council will have a solid basis at its 95th session (Rome, 18-20 May 2016) to consider whether a committee of intergovernmental experts should be convened to further develop the MAC Protocol.

The Working Group on long-term contracts met and it too worked hard and efficiently. In two intense meetings held in January and October 2015, the Working Group essentially completed the additional provision and comments on termination of long-term contracts for compelling reason, as well as the amendments to existing comments and black letter rules to address specific issues of long-term contracts. Those new provisions and amendments will be before the Governing Council for consideration and adoption at its 95th session.

Steady progress continued to be made by UNIDROIT and the joint European Law Institute (ELI) on the preparation of regional model rules to implement the ALI/UNIDROIT Principles on Transnational Civil Procedure, a project that is attracting increasing political attention in Europe. In 2015, there was in April a joint meeting of the ELI – UNIDROIT Steering Committee and Co-Reporters and in November another joint meeting of the Steering Committee and Working Groups to consider the progress made by the various working groups previously established and to organise the work on additional topics, with a view to substantive completion of the project within the next two years.
Other important developments must be mentioned as well, including the resumed work of the informal experts group for the preparation and review of the draft Legislative Guide, which met in October and held follow up videoconferences thereafter, and the progress made on the implementation of the Luxembourg Rail Protocol to the Cape Town Convention on Matters specific to Railway Rolling Stock both through various Meetings of the Ratification Task Force and the substantive completion in December 2015 of the draft registry regulations at the fourth session of the Preparatory Commission for the establishment of the International Registry for space assets.

Needless to say, my colleagues and I did not neglect the Institute’s significant non-legislative activities (library, scholarships, publications, promotion of UNIDROIT instruments).

So, 2015 was a busy year for the Secretariat – last but not least – with the planning and preparations for the various upcoming events to celebrate UNIDROIT’s 90th anniversary in a manner worthy of the Institute’s great achievements. Not a time to get bored at Villa Aldobrandini.

José Angelo Estrella Faria  
Secretary-General
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I. ADMINISTRATION AND ORGANISATION

A. PRESIDENCY, GOVERNING COUNCIL, GENERAL ASSEMBLY AND FINANCE COMMITTEE

1. Presidency and Governing Council

The 94th session of the Governing Council was held in Rome from 6 to 8 May 2015 under the chairmanship of the President of the Institute, Mr Alberto Mazzoni. The Governing Council, after approving the Secretary-General’s report on the activity of the Institute in 2014, renewed Mr Arthur Hartkamp’s appointment as First Vice-President, and Professor Lyou Byung-Hwa as Second Vice-President until its 95th session.

Having expressed the desirability of involving all member States in the assessment of the progress made in the implementation of the Work Programme, the Governing Council accordingly decided, at its 90th session (Rome, 9-11 May 2011) to make systematic use of the authority given to it by article 16 of the UNIDROIT Regulations to request representatives of member Governments that have no nationals sitting on the Council to attend its meetings in a consultative capacity. 12 such Member States were represented at the 94th session of the Council.

When discussing the draft budget for 2015, the Council commended the positive impact of cost-saving measures and management efficiencies have had.

2. General Assembly and Finance Committee

The 74th session of the General Assembly was held in Rome on 10 December 2015 under the presidency of Mr Khaled El Taweel, Alternate Permanent Representative of Egypt to the UN Organizations in Rome, and was attended by the diplomatic representatives in Italy of 43 member States and one observer. The Secretary-General outlined the work of the Organisation in 2015.

During the debate on financial issues, the Assembly approved the Accounts for the 2014 financial year as well as the adjustments to the 2015 budget. The General Assembly also adopted the draft budget for 2016.

The Finance Committee met twice in 2015. The 77th session was held on 2 April 2015 under the chairmanship of Ms Alina Popescu (Romania); the 78th session, which took place on 8 October 2015, appointed Ms Amélie Duranton (France) as the new Chairperson, upon the imminent departure of Ms Popescu. The Committee was requested to give an opinion on certain financial issues submitted for scrutiny by the General Assembly.

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* This report covers the activities of UNIDROIT from 1 January to 31 December 2015.

1 Current composition of the Governing Council: Stefania Bariatti (Italy), Radu Bogdan Bobei (Romania), Hans-Georg Bollweg (Germany), Nuria Bouza Vidal (Spain), Baiba Broka (Latvia), B. Bahadir Erdem (Turkey), Henry D. Gabriel (United States of America), Arthur S. Hartkamp (Netherlands), Monique Jametti (Switzerland), Hideki Kanda (Japan), Mikióš Király (Hungary), Alexander S. Komarov (Russian Federation), Antti T. Leinonen (Finland), Lyou Byung-Hwa (Republic of Korea), Jose Antonio Moreno Rodriguez (Paraguay), Jan Lambert Neels (South Africa), Monika Pauknerova (Czech Republic), Wojciech Popiolek (Poland), Jorge Sanchez Cordero Davila (Mexico), Rachel Sandby-Thomas (United Kingdom), Alvaro Sandoval Bernal (Colombia), Shi Jingxia (People’s Republic of China), Daniel Tricot (France), Spyridon Vrellis (Greece) and Roger Wilkins (Australia).

2 The Finance Committee is currently (term of three years commencing on 1 January 2015) composed of the following member States: Austria, Brazil, Canada, China, France, Germany, India, Islamic Republic of Iran, Italy, Japan, Mexico, Republic of Korea, Romania, Russian Federation, Spain, Switzerland, United Kingdom and United States of America.
3. **Secretariat**

On 31 December 2015 the Secretariat was made up of 20 members, of which seven professional staff (Category A), nine administrative, Library and secretarial staff (Category B), three technical support staff (Category C) and one consultant.

B. **Diplomatic Conferences, Study Groups and Expert Committees**

The following meetings were organised by the Institute in 2015

1. **Principles on International Commercial Contracts**

First meeting of the Working Group on long-term contracts (Rome, 19-22 January 2015)
Second meeting of the Working Group on long-term contracts (Hamburg, 26-29 October 2015)

2. **Transnational Civil Procedure - Formulation of Regional Rules**

First Joint Meeting of the Steering Committee ELI – UNIDROIT and of Co-Reporters (Brussels, 16 April 2015)
Second Joint Meeting of the Steering Committee and of the Working Groups (Trier, 26 and 27 November 2015)

3. **Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Railway Rolling Stock**


4. **Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Space Assets**

Fourth session of the Preparatory Commission for the establishment of the International Registry for space assets (Rome, 10 and 11 December 2015)

5. **Preparation of a Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Agricultural, Construction and Mining Equipment**

Second meeting of the Study Group (Rome, 8 and 9 April 2015)
Third meeting of the Study Group (Rome, 19 to 21 October 2015)

6. **Preparation of a draft Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets**

Informal meetings of an experts committee for the preparation and review of the draft Legislative Guide (Rome, 23 and 24 October 2015; via videoconference on 16 November 2015)

C. **Relations with Governments**

On 31 December 2015 UNIDROIT had 63 member States: Argentina, Australia, Austria, Belgium, Bolivia, Brazil, Bulgaria, Canada, Chile, China, Colombia, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Egypt, Estonia, Germany, Finland, France, Greece, Holy See, Hungary, India, Indonesia, Iran, Iraq, Ireland, Israel, Italy, Japan, Latvia, Lithuania, Luxembourg, Malta, Mexico, Netherlands, Nicaragua, Nigeria, Norway, Pakistan, Paraguay, Poland, Portugal, Rep. of Korea, Rep. of Serbia, Romania, Russian Federation, San Marino, Saudi Arabia, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, Tunisia, Turkey, United Kingdom, United States of America, Uruguay and Venezuela.
The Secretariat continues consultations with a few non-member States with a view to their possible accession to the UNIDROIT Statute.

On 18 February 2015, Mr Virgilio R. de los Reyes, Secretary for Agrarian Reform, and Mr Francis N. Pangilinan, Secretary for Food Security and Agricultural Modernization of the Philippines, accompanied by Mr Lupiño J. Lazaro, Deputy Permanent Representative to FAO, IFAD and WFP and Ms Maris Gavino, Agri-Assistant, Embassy of the Philippines, Rome, met with Secretariat officers in Rome to discuss UNIDROIT activities in the area of agricultural development with particular relevance for the Philippines. The meeting focused on two particular topics: the forthcoming UNIDROIT/FAO /IFAD Legal Guide on Contract Farming and the preparation of a fourth Protocol to the Cape Town Convention on International Interests in Mobile Equipment on Matters specific to agricultural, construction and mining Equipment (the “MAC Protocol”).

On 2 June 2015, the Secretary General, José Angelo Estrella Faria, attended a meeting organised by the Department of State in Washington entitled “Planning for the Future of Private Law Organisations. Discussion of the path forward for UNCITRAL, UNIDROIT and the Hague Conference” which took place at the International Law Institute Headquarters.

On 10 July 2015, the Secretary-General and his staff had the honour to receive the Minister of Justice of the Socialist Republic of Vietnam, Mr Ha Hung Cuong, who led a distinguished delegation of members of the National Assembly, senior civil servants and representatives of the Vietnamese legal profession, at UNIDROIT’s Headquarters in Rome. The Secretary-General and the Minister of Justice discussed UNIDROIT’s existing instruments and current Work Programme - in particular its work in the areas of agricultural development, capital markets, cultural property, international commercial contracts, secured transactions, and transnational civil procedure – and how that work could contribute to institutional improvement and legal reform efforts in Vietnam. The Secretary-General and the Minister of Justice also discussed opportunities for greater cooperation, including continuing to welcome Vietnamese scholars to UNIDROIT’s Library to conduct research, considering the potential for technical assistance projects in Vietnam within UNIDROIT’s mandate and resources, and exploring the possibility of Vietnam becoming a member State of UNIDROIT. Following this visit, UNIDROIT was requested to comment the draft Civil Code of Vietnam.

On 29 September 2015, the Secretary General participated in the Advisory Committee on private international law annual meeting at the Georgetown Law Center in Washington.

From 3 to 6 November 2015, the Secretary General attended various meetings in Asunción, Paraguay. He met the Minister of Foreign Affairs and participated in a conference at the Tribunal Permanente de Revisión del Mercosur, to another event on the UNIDROIT Principles and he paid a visit to the CEDEP/ASADIP.

On 13 November, 2015 a delegation from CIETAC (China International Economic and Trade Arbitration Commission), led by Dr ZHAO Jian, Director of CIETAC’s Business Development Division, visited UNIDROIT for a meeting focused on the UNIDROIT Principles and potential collaboration between UNIDROIT and CIETAC.

On 11 December 2015, the Deputy Secretary-General, Anna Veneziano, attended a meeting of the Chief Legal Officers of International Organisations in Rome, hosted by IFAD and with the participation of FAO, WFP, IDLO and MFO representatives. Legal issues common to the participating organisations were discussed.

D. CO-ORDINATION BETWEEN ORGANISATIONS INVOLVED IN THE FORMULATION OF PRIVATE LAW RULES

The customary co-ordination meeting between the Secretaries-General of the Hague Conference of Private International Law, UNCITRAL and UNIDROIT took place in Vienna on 30 April 2015.
E. **Co-operation with Other International and Regional Organisations and Academic Institutions**

1. *Meetings and conferences*

In the course of the period under review, the Institute was represented at several meetings organised by other international organisations including the American Association of Private International Law (ASADIP), the Food and Agriculture Organization of the United Nations (FAO), the Hague Conference on Private International Law (HCCH), the Intergovernmental Organisation for International Carriage by Rail (OTIF), the International Development Law Organization (IDLO), the International Fund for Agricultural Development (IFAD), INTERPOL, the United Nations Commission on International Trade Law (UNCITRAL), the United Nations Educational, Scientific and Cultural Organization (UNESCO), the World Customs Organization (WCO) and the World Farmers’ Organisation (WFO).

Members of the Secretariat also participated in various meetings and conferences where they presented the UNIDROIT instruments and the work of the Institute in general. Details of some of these meetings are set out elsewhere in this report.

2. *Co-operation agreements*

In March 2015, the Institute has concluded a Cooperation Agreement with the Graduate School of Law at Nagoya University for the implementation of a Joint Research Programme. The purpose of the Agreement is to encourage access to the UNIDROIT Research Programme and enhance expert knowledge on UNIDROIT work and uniform and comparative private law by a community of graduate students.

In June 2015, the Institute has concluded a Statement of Cooperation with the International Centre for the Study of the Preservation and Restoration of Cultural Property (ICCROM), an intergovernmental organisation based in Rome and established in 1959. This Statement aims at establishing a framework of cooperation through activities of exchange of data and experts for common initiatives, as well as participation of specialists in trainings.
II. LEGISLATIVE ACTIVITIES

A. WORK IN PROGRESS

1. Private law and development

   a. Adoption of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming

   A UNIDROIT Working Group for the preparation of a Legal Guide on Contract Farming was set up pursuant to the decision taken by the UNIDROIT Governing Council at its 91st session (Rome, 7-9 May 2012). The Working Group, chaired by Professor Henry D. Gabriel, member of the UNIDROIT Governing Council, was composed of contract law experts, representatives of the Food and Agriculture Organization of the United Nations (FAO) and the International Fund for Agricultural Development (IFAD) as partner organisations, and included observers from other multilateral organisations as well as representatives of agricultural producers and agribusiness. The Group held two sessions in 2013, and two sessions in 2014. To inform the preparation of the final draft, consultation meetings took place in Buenos Aires, Bangkok, Rome and Addis-Ababa, followed by consultations on Internet (see Annual Report 2014, pp. 10-11). The regional consultations as well as the meetings of the Working Group in 2014 were funded by a grant from IFAD.

   Adoption of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming by the UNIDROIT Governing Council and release of the publication

   The UNIDROIT /FAO/IFAD Legal Guide on Contract Farming was adopted by the UNIDROIT Governing Council at its 94th session in May 2015. The publication in English was released in both paper and electronic form on 28 July 2015 at a launch event held at UNIDROIT, in the presence of Mr José Angelo Estrella Faria, UNIDROIT Secretary-General, Mr Antonio Tavares, FAO Legal Counsel, Ms Eugenia Serova, FAO Director of Rural Infrastructure and Agro-Industries Division (AGS), and Mr Gerard Sanders, IFAD General Counsel, representing the three organisations co-authors of the Guide. The French version of the Guide was released later in the Autumn of 2015, and the full text of both versions is freely accessible on the websites of UNIDROIT and its partner organisations.

   Implementation of the Legal Guide

   - Mindful of the importance of making the Legal Guide useful and operational for end-users, IFAD agreed to deliver a new a grant to FAO for 2016-2017. The implementation programme includes the preparation of outreach materials based on the Guide including guidelines for farmers and regulators, the preparation of sample contracts for a selected number of commodities, capacity building activities at country level through field activities for farmers and relevant stakeholders in relation to legal aspects and good practices of contract farming, and knowledge management through online of materials and access to web based information resources. UNIDROIT will participate in the IFAD-FAO implementation project as a member of the Advisory Board, and as the coordinator of the UNIDROIT /FAO/IFAD Community of Practice on Legal Aspects of Contract Farming.

   - The UNIDROIT /FAO/IFAD Community of Practice on Legal Aspects of Contract Farming (CoP/LACF) was established within the framework of the Global Forum on Law, Justice and Development (GFLJD), a World Bank initiative providing an innovative and dynamic framework for the exchange of law in development knowledge, connecting developing countries, think-tanks, regional and international organizations, international financial institutions, and civil society organizations with relevant research and practice. The CoP/LACF was launched at a meeting held in Washington D.C. (USA) as part of IMF-WB Spring Meetings, on 14 April 2015.

   The CoP/LACF has the main objective of promoting a favourable legal environment for contract farming operations through knowledge sharing and dissemination, as well as projects pursued individually by the partners and members or on the basis of joint initiatives. A dedicated internet webpage hosted as part of the Contract Farming webpage of the UNIDROIT Website
(http://www.unidroit.org/community-of-practice/home) serves as a repository of information and a platform for the exchange of knowledge among partners, and the dissemination of tools and projects in furtherance of the objectives of the Community of Practice.

**Promotion of the Legal Guide**

In the course of 2015 the Contract Farming Legal Guide was presented at a number of events:

- On 18 February 2015, a delegation from the Philippines, led by Mr Virgilio R. de los Reyes, Secretary for Agrarian Reform, and Mr Francis N. Pangilinan, Secretary for Food Security and Agricultural Modernization of the Philippines met with Unidroit Secretariat officers to discuss UNIDROIT activities in the area of agricultural development with particular relevance for the Philippines, in particular the (then) forthcoming UNIDROIT /FAO /IFAD Legal Guide on Contract Farming.

- The Legal Guide was presented and discussed at the Session on “Managing Farmers’ Rights” of the Farmers’ in EXPO Workshop on Farmers’ Capacity Building” held on 24 June in the context of the World Farmers’ Organisation – WFO General Assembly, held in Milan on 24-27 June. The presentation was made by Mr David G. Velde, Vice President of International Affairs, NFU-USA, Washington D.C. and Ms Frederique Mestre, Senior Officer, UNIDROIT.

- The Legal Guide was presented and discussed at a Seminar on “Through the Lens of the Contract: Regulating AgriFood System in the Future of Europe”, organised by the Department of Agricultural, Food and Environmental Sciences, University of Perugia (Italy), on 5 March 2015 with a presentation by Ms Frederique Mestre, Senior Officer, UNIDROIT.

- On 14 April, within IMF–WB Spring Meetings – Washington D.C. (USA): UNIDROIT, jointly, with other partners, i.e. FAO, IFAD, the World Bank and WFO, organized a session on “Contract Farming as a Tool for Economic and Social Development”. UNIDROIT was represented by its President, Prof. A. Mazzoni.

- On 21 October, the Department of Business Law, the Open Chair of Rural Affairs and the Law of Land Specialization of the Universidad Externado de Colombia and UNIDROIT, co-hosted a seminar on “Challenges in agricultural productivity in the world – Perspectives from the UNIDROIT /FAO/IFAD Legal Guide on Contract Farming and its Application in Colombia” with the participation of UNIDROIT representatives, i.e. Mr José Angelo Estrella Faria, Secretary General, and Prof. Fabrizio Cafaggi, member of the Working Group on Contract Farming, as well as of representatives from the Colombian Government and academic circles.

- On 24 October, UNIDROIT received a senior delegation from the Chinese Food and Drug Administration, headed by Mr Mao Zhenbin, Director General of the Department of Emergency Management, and organised by Mr Donald Macrae, Senior Consultant to the World Bank and the Global Food Safety Partnership. In particular, the meeting focussed on the potential of contract farming to support food quality and safety objectives, on the Legal Guide and on the Implementation Strategy adopted in various countries.

- On 20 November, as part of “Law Justice and Development Week 2015”, World Bank, Washington D.C. (USA). UNIDROIT, jointly with other partners i.e. FAO, IFAD, the World Bank, WFO and USAID, organized a Session on “Promoting Good Practices for Agricultural Investment”. UNIDROIT was represented by its President, Prof. A. Mazzoni.

- On 14-16 December, Abidjan (Côte d’Ivoire). Training session organized by IISD (International Institute for Sustainable Development) in partnership with various other institutions as part of an awareness initiative targeting African parliamentarians (the Pan-African Parliament and regional parliaments), entitled “Making Agricultural Investment Work for Africa”. The session focused on the political and legal options relating to foreign investment in agriculture, as well as new policies, guidelines and projects on land issues and contract farming. UNIDROIT was represented by Ms Frédérique Mestre, Senior Officer (UNIDROIT).
b. Possible future work on private law aspects of agricultural investment and financing

In view of the high level of priority assigned to the preparation of the Legal Guide on Contract Farming, the Secretariat did not engage resources prior to the Governing Council’s 94th session in activities related to possible future work on private law aspects of agricultural investment and financing (potentially including areas such as land investment contracts, land tenure regimes, legal structure of agricultural enterprises and others). At its 94th session, the Council instructed the Secretariat to undertake a stocktaking exercise and feasibility study on land investment contracts in order to decide whether UNIDROIT’s particular expertise would be of additional benefit in this field. As part of the Secretariat’s efforts in this regard, the “Law Justice and Development Week 2015” session of 20 November on “Promoting Good Practices for Agricultural Investment,” included a panel on land investment contracts, which was moderated by Professor Henry Gabriel (Elon University Law School) and had presenters from FAO, IFAD, the World Bank, USAID, and WFO.

2. International Interests in Mobile Equipment

a. Space Protocol to the Cape Town Convention

*Preparatory Commission for the establishment of an International Registry*

Pursuant to Resolution 1 of the diplomatic Conference for the adoption of the draft Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Space Assets (Berlin, 27 February – 9 March 2012), a Preparatory Commission was set up to act as Provisional Supervisory Authority for the establishment of the International Registry for Space Assets under the guidance of the UNIDROIT General Assembly. The Commission is chaired by Sergio Marchisio, former Chairman of the Commission of the Whole at the Berlin Conference and the following States agreed to serve as members of the Preparatory Commission: Brazil, the People’s Republic of China, the Czech Republic, France, Germany, India, Italy, the Russian Federation, Saudi Arabia, South Africa and the United States of America.

The Preparatory Commission met three times at the seat of UNIDROIT in Rome on 6 and 7 May 2013 (see Annual Report 2013, p. 14), 27 and 28 February 2014 and 11 and 12 September 2014 (see Annual Report 2014, p. 11).

The fourth session of the Preparatory Commission was held in Rome on 10 and 11 December 2015. The Commission successfully finalised the text of the Registry Regulations as amended following consultations with the industry and relevant stakeholders. The Preparatory Commission also addressed issues concerning the selection of a Registrar and the appointment of a Supervisory Authority.

b. Luxembourg Rail Protocol to the Cape Town Convention

*Preparatory Commission for the establishment of an International Registry*

A Preparatory Commission was established by Resolution of the Luxembourg diplomatic Conference in order to prepare the International Registry under the Luxembourg Protocol. After its last full session in 2011, the Preparatory Commission appointed a team, co-chaired by Peter Bloch (United States of America) and Mervi Kaikkonen (Finland), to conduct negotiations with SITA SA for the conclusion of the contract with the prospective Registrar. The negotiations were successfully concluded in July 2014, with the approval of the draft Registry Contract, Master Services Agreement and their appendixes and annexes.

The Preparatory Commission met for the sixth and seventh session in 2014 and the contract for the establishment and operation of the Rail International Registry was signed in 2014 (see Annual Report 2014, p. 13).
The Ratification Task Force (composed, for the time being, of the Co-Chairs of the Preparatory Commission, the Government of Luxembourg, representatives of the Rail Working Group, Regulis SA as designated Registrar and SITA as well as OTIF and UNIDROIT) set up an intense agenda for its work and met several times during 2015 with the aim of coordinating the strategy towards early implementation of the Protocol.

c. Preparation of a future Protocol to the Cape Town Convention on matters specific to agricultural, construction and mining equipment

At its 93rd session in Rome in 2014 the Governing Council agreed to establish a Study Group to work on a proposed fourth protocol to the Cape Town Convention on International Interests in Mobile Equipment (hereafter the "Cape Town Convention") on matters specific to agricultural, construction and mining equipment (hereafter "the MAC Protocol"). The Study Group is composed of various international experts in secured transactions law and is chaired by Dr Hans-Georg Bollweg, member of the UNIDROIT Governing Council.

Following the first meeting of the Study Group held in December 2014, the Study Group held its second meeting at the seat of UNIDROIT in Rome on 8 – 9 April 2015. In addition to the members of the Study Group, observers from the Food and Agriculture Organization of the United Nations (FAO), the International Fund for Agricultural Development (IFAD) and the National Law Center for Inter-American Free Trade attended the meeting. The Study Group discussed various legal issues surrounding the development of the Protocol, the delineation of the Protocol’s scope and a preliminary draft of the Protocol. The Study Group also considered how the Harmonized Commodity Description and Coding System (HS) could be utilised to define the scope of the Protocol.

On 19 – 21 October 2015 the third meeting of the MAC Protocol Study Group was held at the seat of UNIDROIT in Rome, which was also attended by observers from the United Nations Commission on International Trade Law (UNCITRAL), the International Finance Corporation and the World Customs Organization. The MAC Protocol Study Group continued to make progress in resolving the legal issues that had been raised at the previous meetings, especially in relation to the treatment of fixtures and the use of the Harmonized Commodity Description and Coding System to define the scope of the Protocol.

Between July and October 2015, the Secretariat consulted the UNIDROIT Correspondents on a number of legal questions related to the MAC Project, including the treatment of fixtures under their domestic legal regimes and the existence of special agricultural legislative regimes, which provide protections to farmers by limiting enforcement remedies against agricultural equipment.

As consistent with the established practice for the other Protocols to the Cape Town Convention, the Secretary-General invited leading private stakeholders in February 2015 to form a MAC Protocol Working Group. The MAC Protocol Working Group is responsible for encouraging private sector participation in developing the Protocol, as well as representing private sector interests during the drafting process. It is an independent body outside the purview of UNIDROIT. The Working Group held its first meeting in London on 10 September 2015.

The MAC Protocol was also discussed at a session at the fourth Cape Town Convention Academic Project Conference, in Oxford, on 9 September 2015. Professor Henry Gabriel (Elon University) presented at the session, Professor Charles Mooney (University of Pennsylvania) commented, and the session was chaired by Professor Jeffrey Wool (Universities of Washington and Oxford, Aviation Working Group).

d. Preparation of other Protocols to the Cape Town Convention

In 2015, the Secretariat continued to monitor developments relating to the potential preparation of a Protocol to the Cape Town Convention on Matters specific to Ships and Maritime Transport Equipment. Among such developments, the Secretariat, upon an invitation from the African

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3 In particular on 10 February, 27 February, 25 March, 24 June, 24 July, 14 September, 27 October and 20 November 2015.
Shipowners Association, was represented at an African Maritime Conference in Lagos, Nigeria (28-30 September 2015). At the conference, interest was expressed in a possible Maritime Protocol, and input was sought from participants on whether market practice had found or could find alternative solutions in the absence of internationally harmonised rules and whether the extension of the Cape Town Convention system to ships could be a suitable response to any legal challenges in this respect.

3. Transnational Civil Procedure – Formulation of Regional Rules

The ALI / UNIDROIT Principles of Transnational Civil Procedure, prepared by a joint American Law Institute / UNIDROIT Working Group and adopted in 2004 by the UNIDROIT Governing Council, were intended to help reduce the impact of differences between legal systems in lawsuits involving transnational commercial transactions. Their purpose was to propose a model of universal procedure that follows the essential elements of due process of law, to be followed by model rules for regional implementation.

In 2014, UNIDROIT and the European Law Institute (ELI) agreed on a Memorandum of Understanding specifically related to a project on European civil procedure rules based on the ALI – UNIDROIT Principles. A Steering Committee was set up, co-chaired by ELI President Diana Wallis and the UNIDROIT Secretary-General José Angelo Estrella-Faria and composed of representatives of both organisations4 and of the Reporters for the first three Working Groups that were established (“access to information and evidence”,5 “provisional and protective measures”,6 and “service of documents and due notice of proceedings”7). The Steering Committee met for the first time on 12 and 13 May 2014 in Rome. A joint meeting of the Steering Committee and the Working Groups was held on 27 and 28 November 2014 at the seat of UNIDROIT in Rome. At the joint meeting, preliminary reports produced by the first three Working Groups were discussed and two further groups (“lis pendens and res judicata”8 and “obligations of the parties and lawyers”9) were set up. A time-table for the preparation and examination of the texts was agreed, the aim being to produce a complete draft of the Rules by 2017.

At its 73rd session in 2014 the UNIDROIT General Assembly, following the proposal by the Governing Council at its 93rd session in May 2014, decided to increase the priority of the project from low to medium, considering the working assumption established with the European Law Institute regarding the respective financial contributions to the project and the fact that raising the priority would not negatively affect any other projects.

The second meeting of the Steering Committee and the Co-Reporters of the Working Groups was held on 16 April 2015 in Brussels. The meeting considered the second progress reports of the first three Working Groups and the preliminary report of the two new Groups established in November 2014. It also discussed the setting up of further groups, so as to offer a coverage of

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4 In addition to the Co-Chairs: John Sorabji (Principal Legal Adviser to the Lord Chief Justice and Master of the Rolls), and Remo Caponi (University of Florence), for ELI; UNIDROIT Deputy Secretary General Anna Veneziano and Rolf Stürner (University of Freiburg and former Co-Reporter for the ALI-UNIDROIT Principles) for UNIDROIT.

5 Neil Andrews (Clare College, University of Cambridge) (co-reporter); Fernando Gascón Inchausti (University Complutense of Madrid) (co-reporter); Laura Ervo (University of Örebro); Frédérique Ferrand (Université Jean Moulin Lyon 3); Victoria Harsa’ gi (Pázmány Péter Catholic University, Budapest); Michael Stürner (University of Konstanz).

6 Neil Andrews (Clare College, University of Cambridge) (co-reporter); Gilles Cuniberti (Université de Luxembourg) (co-reporter); Mr Torbjörn Andersson (University of Uppsala); Fernando de la Mata (Baker & McKenzie); Alan Uzelac (University of Zagreb).

7 Astrid Stadler (University of Konstanz) (co-reporter); Eva Storskrubb (University of Uppsala) (co-reporter); Marco De Cristofaro (University of Padua); Emmanuel Jeuland (Université Paris 1 Panthéon-Sorbonne); Wendy Kennett (Cardiff University); Dimitrios Tsikrikas (University of Athens).

8 Co-reporters C.H. van Rhee (Maastricht University) and Alan Uzelac.

9 Co-reporters Frédérique Ferrand and Burkhard Hess (Director of the Max Planck for International, European and Regulatory Procedural Law, Luxembourg).
most of the issues addressed in the ALI - UNIDROIT Principles and for which European rules would be considered to be both useful and feasible.

On the same date (16 April 2015) a presentation of the project was made to the Committee on Legal Affairs of the European Parliament (JURI Committee) at a hearing specifically organised to this end.

A presentation and discussion of the ELI- UNIDROIT project was also featured at the 2015 ELI Annual Conference that took place in Vienna on 2-4 September that year. The UNIDROIT Secretary-General co-chaired the presentation together with the President of ELI Diana Wallis. The panellists were Gilles Cuniberti, Eva Storskrubb, Neil Andrews and Rolf Stürmer. A session of the Member Consultative Committee created on this project by ELI was also held under the Chairmanship of Matthias Storme and provided further fundamental input to the discussion.

Finally, the second full meeting of the Steering Committee, the Reporters and Working Group members as well as Observers took place on 26-27 November 2015 at a joint ELI- UNIDROIT conference in cooperation with the Academy of European Law (ERA), entitled "From Transnational Principles to European Rules of Civil Procedure", at ERA’s Headquarters in Trier (Germany). The conference was attended on behalf of ELI and UNIDROIT by ELI President Diana Wallis, UNIDROIT Deputy Secretary-General Anna Veneziano and Steering Committee members Remo Caponi, John Sorabji and Rolf Stürmer. The conference was organised in five different sessions, each featuring presentations by the five active Working Groups of the project on the following key topics: Access to information and evidence, Provisional and protective measures, Service and due notice of proceedings, Obligations of parties and lawyers, Res judicata and lis pendens. All Working Group members and Observers to the project were invited. About 70 participants, from diverse legal and institutional backgrounds, attended the event.

4. UNIDROIT Principles of International Commercial Contracts

a. Working Group on the UNIDROIT Principles and Long-term contracts

At its 92nd session in May 2013, the Governing Council of UNIDROIT was seized of a Memorandum prepared by the Secretariat concerning possible future work on long-term contracts (cf. UNIDROIT 2013 – C.D. (92) 4(b)). The Memorandum recalled that the UNIDROIT Principles as they now stand already contain a number of provisions which take into account, at least to a certain extent, the special needs of long-term contracts. Yet at the same time the Memorandum pointed out that there are still issues particularly relevant in the context of long-term contracts that the Principles in their present form do not address at all or do so only in part.

At its 93rd session in May 2014, the Governing Council was seized of a second Memorandum prepared by the Secretariat containing an analytical survey of specific issues that might be addressed in the envisaged work on long-term contracts in the context of the UNIDROIT Principles (cf. UNIDROIT 2014 – C.D. (93) 3). On the basis of this Memorandum the Governing Council decided to instruct the Secretariat to set up a restricted Working Group composed of experts that have shown particular interest in the proposed work on long-term contracts, for the purpose of formulating proposals for possible amendments and additions to the black-letter rules and comments of the current edition of the Principles with a view to covering the special needs of long-term contracts.

The Working Group that was set up is composed of Michael Joachim Bonell, Emeritus Professor of Law, University of Rome I, Consultant UNIDROIT (Chairman of the Group); Catherine Chappuis, Professor of Law, Faculty of Law, University of Geneva, Member of the Groupe de travail Contrats Internationaux; Neil Cohen, Jeffrey D. Forchelli Professor of Law, Brooklyn Law School, New York; François Dessemontet, Emeritus Professor of Law, University of Lausanne; Paul Finn, Former Judge, Federal Court of Australia, Adelaide; Paul-A. Gélinas, Avocat aux Barreaux de Paris et de Montréal, Paris; Sir Vivian Ramsey, Former Judge, Technology and Construction Court, Royal Courts of Justice, London; Christopher R. Seppälä, Partner, White & Case LLP, Legal Advisor to the FIDIC Contracts Committee; Reinhard Zimmermann, Professor of Law, Director at the Max-Planck-Institut für ausländisches und internationales Privatrecht, Hamburg.
The Working Group held its first session in Rome from 19 to 22 January 2015. The session, which was attended by a number of observers representing international organisations and other interested bodies, was devoted to the examination of a position paper on “The UNIDROIT Principles of International Commercial Contracts and Long-term Contracts” prepared by Professor M.J. Bonell and containing a list of issues with related proposals and/or questions, for further consideration by the Working Group. After a careful examination and lengthy discussion the Working Group decided to focus on the following issues:10 notion of “long-term contracts”, contracts with open terms, agreements to negotiate in good faith, contracts with evolving terms, supervening events, cooperation between the parties, restitution after ending contracts entered into for an indefinite period, termination for compelling reasons and post-contractual obligations.

The second meeting was kindly hosted by the Max Planck Institute for Comparative and International Private Law in Hamburg from 26 to 29 October 2015. Drafts submitted by the experts on the various issues were reviewed at the meeting, at which time the proposed additions and amendments to the black letter rules and comments were successfully finalised, subject to redrafting of a particular comment by an expert and editorial work by the Secretariat.

The UNIDROIT Governing Council will be called at its 95th session (Rome, 18-20 May 2016) to approve the Working Group’s recommended amendments and additions to the third edition of the Principles of International Commercial Contracts, which would lead to the publication of a fourth edition.

b. UNILEX

Monitoring of the use in practice of the UNIDROIT Principles continues on a systematic basis. By the end of December 2015, UNILEX, the database of international case law and bibliography on the United Nations Convention on Contracts for the International Sale of Goods (CISG) and the UNIDROIT Principles <http://www.unilex.info> contained 192 arbitral awards and 223 national court decisions (from 28 States) and 5 international court decisions referring in one way or another to the Principles.

5. Transactions on international and connected capital markets

Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets

The final session of the diplomatic Conference to adopt a Convention on Substantive Rules regarding Intermediated Securities (the Convention), Geneva 5-9 October 2009, established a Committee on Emerging Markets Issues, Follow-Up and Implementation (“CEM”) to assist with the Convention’s promotion and implementation. The UNIDROIT General Assembly, at its 65th session in 2009, included work on drafting a Legislative Guide containing principles and rules capable of enhancing trading in emerging markets (the Legislative Guide) in the UNIDROIT Work Programme, and the CEM became responsible for this work. Upon adoption of the Principles on the Operation of Close-Out Netting Provisions, the Governing Council, at its 92nd session in 2013, elevated the priority given to the work on drafting the Legislative Guide (from Medium/Low to Medium). This decision was approved by the General Assembly at its 72nd session later that year and the Legislative Guide was included in the Work Programme of the Organisation for the 2014-2016 triennium at this higher level of priority.

The CEM has met on three occasions. The first meeting took place in Rome in 2010 and considered the feasibility of a Legislative Guide. The second meeting took place in Rio de Janeiro in 2012 and discussed possible legislative measures to implement the Convention and incorporate it into domestic law. The third meeting took place in Istanbul in November 2013 and established as a way forward, the proposed scope, structure and content for an initial draft of the Legislative Guide.

For a more articulated report on the discussions on the various issues by the Working Group, see the Report on the January session of the Working Group prepared by the UNIDROIT Secretariat, UNIDROIT 2015, Study L – Misc. 31 rev.
On 23-24 October 2015, a small, informal meeting of experts was held for the preparation and review of an initial draft of the Legislative Guide. At that informal meeting as well as at a follow-up videoconference on 16 November 2015, the Secretariat obtained expert input on various issues, including the proposed scope, structure, and content of the Guide, as well as on the organisation of further work on the initial draft. Pursuant to the timeline agreed by the group, experts composed and submitted various draft portions of the Guide by the end of 2015, and an initial draft of the Guide is expected to be complete by mid-2016 and ready for subsequent circulation for extensive expert and stakeholder review, including within the CEM, the next meeting of which is hoped to be held in fall 2016.

6. Third Party Liability for Global Navigation Satellite System (GNSS) Services

Decision on work within UNIDROIT on the proposed project on Third Party Liability for Global Navigation Satellite System (GNSS) Services has been postponed, due to work underway at the EU Commission on the need for a European regulation on the liability of GALILEO. The Secretariat is awaiting the publication of an impact assessment study currently being prepared by the European Commission so as to evaluate the opportuneness and scope for further activity by UNIDROIT. The most recent report from the European Commission submits that the impact assessment has been completed, and is currently undergoing the internal adoption process (Impact Assessment Board and thereafter College of Commissioners). The publication of the report was postponed several times and is still outstanding.

B. Follow-up of Instruments Adopted by UNIDROIT

The Secretariat continued to do its utmost, in 2015, to promote the UNIDROIT Conventions and other instruments by presenting them at conferences or by publishing articles focussing on them.

Annex II provides an overview of the instruments drawn up by UNIDROIT as well as the state of implementation of Conventions prepared by UNIDROIT and approved by diplomatic Conferences convened by UNIDROIT member States. For the implementation of instruments based on work conducted within UNIDROIT, see Annex III.

1. UNIDROIT Principles of International Commercial Contracts

a. Promotion of the UNIDROIT Principles 2010

In the course of 2015 the UNIDROIT Principles 2010 were presented at a number of events to interested academic and business circles.

Seminar and conferences

- On 11 September 2015, UNIDROIT hosted a conference entitled "Towards a transnational approach for choice-of-law clauses" organised by ICC, the Italian Arbitration Association and ICC Italia, presenting the ICC Study on "Developing Neutral Legal Standards for International Contracts", with participation of Yves Derains, José Angelo Estrella Faria, Piero Bernardini, Maria Beatrice Deli, Fabio Bortolotti, Filip De Ly, Paul Gélinas, Pietro Galizzi, Patricia Peterson, Alberto Mazzoni, Anna Veneziano, Franco Ferrari, Massimo Benedettelli, Ercüment Erdem, Munir Maniruzzaman, Edoardo Marcenaro, Eckart Brödermann, Marcel Fontaine, Burghard Piltz, Jean-Paul Vulliéty, Antonias Dimolitsa, Luca Radicati di Brozolo, Andrea Carlevaris. While the UNIDROIT Principles were discussed throughout the conference, a specific panel of was devoted to choice of the UNIDROIT Principles as the applicable law (chaired by the UNIDROIT President with contributions by UNIDROIT Deputy Secretary General, Franco Ferrari and Massimo Benedettelli).
Legislative activities

Lectures
- On 8 January 2015 the Deputy Secretary-General Anna Veneziano gave a lecture on 'The UNIDROIT Principles of International Commercial Contracts' at the Graduate School of the University of Nagoya (Japan), upon the invitation of Professors Dai Yokomizo and Yoshiharu Matsuura;
- On 19 February 2015 the Deputy Secretary-General Anna Veneziano participated in the seminar Investments Security In Nord Africa organised by the Società Italiana per l’Organizzazione Internazionale (SIOI) and the Italian Foreign Ministry in Rome with a contribution entitled ‘I Principi UNIDROIT dei contratti commerciali internazionali come ausilio alla contrattazione transfrontaliera’. The contribution was published in a book edited by Alfredo Rizzo (Investment Security in Nord Africa, ESI, Naples, 2015);
- On 25 February 2015, a two-hour program on "The 2010 UNIDROIT Principles of International Commercial Contracts" took place in New York, London, Paris, Berlin, Frankfurt, and Washington D.C. It was organized by the New York Branch of the Chartered Institute of Arbitrators (CI Arb) and Squire Patton Boggs, in association with ICC/SICANA, Inc., New York International Arbitration Center (NYIAC), the College of Commercial Arbitrators (CCA), and the CI Arb European Branch and Washington D.C. Chapter. The program was introduced by Peter Michaelson, chair of CI Arb New York Branch, and moderated by Richard Mattiaccio, the Squire Patton Boggs partner and chair of the Programming Committee of CI Arb New York Branch who organized the program. M.J. Bonell (UNIDROIT), E. Brödermann (Hamburg), N. Cohen (New York) B. Fauvarque-Cosson (Paris), H. Gabriel (Greensboro, North Carolina) and A. Garro (New York) made presentations on specific topics and answered questions from an audience composed of experts in international commercial law and disputes. Speakers and participants were connected through video-conferencing between six Squire Patton Boggs offices. Additional participants from all around the world followed the live program online. It was the occasion for a lively introduction to key provisions and to the actual and potential use of UNIDROIT Principles in cross-border commercial disputes and in the development of commercial law in civil, common law and developing legal systems. The multi-location program attracted approximately 150 participants and reflected growing interest in the 2010 UNIDROIT Principles on both sides of the Atlantic;
- On 9 April 2015, Legal Officer Neale Bergman gave a five-hour lecture on "The International Institute for the Unification of Private Law and the UNIDROIT Principles of International Commercial Contracts" at the University of Turin’s Faculty of Law to roughly 25 students from around the world enrolled in a Master of Laws (LL.M) programme on international trade law at the International Training Centre of the International Labour Organization (ITC-ILO). The ITC-ILO’s partners in the LL.M programme include the University of Turin, the University Institute of European Studies, and UNCITRAL;
- On 2 October 2015, UNIDROIT Deputy Secretary-General Anna Veneziano delivered an on-line lecture on UNIDROIT and the UNIDROIT Principles at the Certificate Program on International Commercial Law and International Alternative Dispute Resolution – Pace Law School (NY, US);
- On 16 October 2015, the Secretary-General of UNIDROIT and Deputy Secretary-General were both invited to deliver lectures on the day of the launch of the 4th Edition of the Masters IUISS Business and Company Law (B&C Law), respectively on “Overview of the law of the international carriage of goods by sea as compared to carriage by road” and “The Lex Mercatoria and the UNIDROIT Principles of International Commercial Contracts”.

b. Different language versions of the UNIDROIT Principles 2010
In 2015 the Romanian and Turkish versions of the integral text of the UNIDROIT Principles 2010 were under preparation.

An Agreement was concluded with the Centro de Estudios de Derecho, Economía y Política (CEDEP) (Asunción, Paraguay), for the printing and distribution of the Spanish version of the Principles in Paraguay.
2. **International Interests in Mobile Equipment**

a. **Cape Town Convention and its Protocols**

*Depository*

UNIDROIT has been designated as the Depositary of the *Cape Town Convention* (pursuant to Article 62(1) of the Convention) and the *Aircraft Protocol* (pursuant to Article XXXVII(1) of the Aircraft Protocol), which both entered into force on 1 March 2006. As at 31 December 2015, there were 70 Contracting States and one Contracting Regional Economic Integration Organisation to the Convention and 62 Contracting States and one Contracting Regional Economic Integration Organisation to the Aircraft Protocol.

Between 1 January and 31 December 2015, the following four States deposited their instruments of ratification or accession to the Convention and Aircraft Protocol: Australia, Denmark, Sweden and the United Kingdom. Also during that period, Côte d’Ivoire, Moldova and Spain deposited their instrument of accession to the Convention (only).

UNIDROIT has been designated as the Depositary of the *Luxembourg Protocol* (pursuant to its Article XXXIV(1)). The Luxembourg Protocol was adopted on 23 February 2007 at a diplomatic Conference held in Luxembourg, has 4 Signatory States (Gabon, Germany, Italy and Switzerland), 1 Contracting State (Luxembourg) and one Contracting regional economic integration organisation (European Union). It has not yet entered into force.

UNIDROIT finalized two procedures to correct errors or a lack of concordance in the Arabic, French and Spanish authentic versions of the *Convention on International Interests in Mobile Equipment* and in the Arabic and French authentic versions of the Annex to the *Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Aircraft Equipment*. The texts have consequently been corrected and the procès-verbaux of rectification (dated 29 December 2014 and 22 June 2015) can be found on the UNIDROIT website.

*Seminars and conferences*

- On 9 March 2015 an International Conference on the Promotion of the Cape Town Convention in China was held in Macau. It was organised by the University of Macau, UNIDROIT and the Macau Foundation. The conference counted among the speakers Prof. Alberto Mazzoni, President of UNIDROIT, Mr. José Angel Estrella Faria, Secretary-General of UNIDROIT, the Dean of the Macau Law Faculty Prof. John Shijian Mo, Mr. Martin Stanford, former Deputy-Secretary-General of UNIDROIT, Prof. Zhao Yun, Prof. Sun Lin and Dr Yu Dan. The Convention and all its Protocols (Aircraft, Rail and Space) were presented and relevant outstanding issues relating to the application of the Cape Town Convention and its Aircraft Protocol in China were addressed;

- On 25 March 2015 the UNIDROIT Secretary-General joined representatives of the Aircraft Working Group in consultation meetings with representatives of the Spanish Ministry of Justice and the Ministry of Development in Madrid. These consultations represented a significant step forward in the ratification process of the Aircraft Protocol to the Cape Town Convention by Spain;

- On 28 May 2015 the Italian Ministry of Foreign Affairs and International Cooperation organised a seminar on the prospects of ratification by Italy of the Cape Town Convention and its Protocols, with participation of invited governmental representatives, industry stakeholders and other interested institutions and authorities. Among the panelists were the UNIDROIT Secretary-General and Deputy Secretary-General, Prof. Sergio Marchisio (former Chairman of the Commission of the Whole at the diplomatic Conference convened for the adoption of the Space Protocol in Berlin in 2012 and current Chairman of the Space Preparatory Commission) and Dr Giulia Mauri as representative of the Railway Working Group;

- On 15 October 2015, President Alberto Mazzoni, Secretary-General José Ángel Estrella-Faria, and Deputy Secretary-General Anna Veneziano delivered speeches concerning the Cape Town Convention and the Air and Rail Protocols thereto, at an event co-hosted with the Italian law firm Rucellai & Raffaelli. The event, which took place at the host offices in Milan, was designed to
inform lawyers, financing parties and industry members alike on the Convention and the potential advantages of an Italian ratification.

**Other activities**

- On 8-10 June and 24-26 August 2015 UNIDROIT participated in a workshop co-sponsored by the US Department of Commerce, Commercial Law Development Program (CLDP) and by the Iraqi Government to discuss the instruments of accession of Iraq to the Cape Town Convention and its Aircraft Protocol.

**b. Cape Town Convention Academic Project**

The Cape Town Convention Academic Project (CTCAP), a joint endeavour of the University of Oxford, Faculty of Law, and the University of Washington, School of Law (as well as under the auspices of UNIDROIT for the repository and the journal), held its fourth annual conference in Oxford on 8 and 9 September 2015, with the active participation of academics, practicing lawyers and governmental experts. The conference focused on several topics, among which an update on the UK ratification of the Convention and the Aircraft Protocol, a discussion on legal opinion, standard and practices and the CTC, the relationship between Articles 14 and 54(2) of the Convention and local procedural law, an update on the E-Registry project, public and private features of the CTC, a presentation of the Draft Mining, Agricultural and Construction Protocol, the CTC and international sanctions and the relationship between the CTC and the Geneva Convention of 1948. The programme of the conference is available on the CTCAP homepage (http://www.ctcap.org) and the main presentations were published in the 4th issue of Cape Town Convention Journal.

**3. International Protection of Cultural Property**

**1995 UNIDROIT Convention on Stolen or Illegally exported Cultural Objects and UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects**

On 31 December 2015, there were 37 Contracting States of the UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (cf. Annex II), Algeria being the latest State to accede to the Convention in 2015. Other instruments of accession are to be deposited with the Depositary and ratification or accession procedures are in progress in other countries.

**20th anniversary of the 1995 UNIDROIT Convention**

UNIDROIT celebrated the 20th anniversary of its 1995 Convention with an International Conference held on 8 May 2015 at the Musei Capitolini in Rome. The Conference has attracted a high interest and UNIDROIT therefore posted a video of the Conference on the website.

The conference provided an opportunity to assess the significance, the distinctive features and operational aspects of the Convention and its interaction with others, including the 1970 UNESCO Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property and the 2014 European Directive on the return of cultural objects unlawfully removed from the territory of a Member State. Illicit trafficking in cultural property is a scourge that is constantly expanding and taking on new forms and combating it has become a priority for the international community. It was important, in the current context, to acknowledge that essential rules are already in place, and that focus is now required in ensuring that they are better known and applied. The contributions of most of the speakers are to be found in the Uniform Law Review, Volume 20 Number 4 2015.

UNIDROIT also organised, together with the Italian Ministry of Foreign Affairs and International Cooperation, the SIOI (Società Italiana per l’Organizzazione Internazionale) and the Second University of Naples, a Conference entitled “The 1995 UNIDROIT Convention Twenty Years after its Adoption: the Mediterranean as a Testing Ground”. The Conference took place at the seat of SIOI

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11 http://www.unidroit.org/conferences-and-seminars/current-year
in Rome on 12 May 2015 and was organised among the events to celebrate the 20th anniversary of the 1995 UNIDROIT Convention and the 60th anniversary of the accession of Italy to the United Nations.

**UNIDROIT, partner in the implementation of the UN Security Council Resolution 2199**

On 12 February 2015, the UN Security Council passed an important new Chapter VII resolution – Resolution 2199 –. One of the main principles on which Resolution 2199 is based, is the requirement for Member States to undertake preventative measures against the trade of cultural property illegally removed from Iraq since 6 August 2010, and from Syria since 15 March 2011, including by prohibiting cross-border trade of such items and allowing for their return to the Iraqi and Syrian people. Such obligations are now associated with the fight against terrorism as the resolution notes that terrorist groups are generating income from illegally removing artefacts from both countries during periods of conflict and that such funding is being used to support recruitment efforts and to strengthen operational capability to organize and carry out terrorist attacks. It goes on to call upon UNESCO, Interpol, together with other competent international organisations, as appropriate, to assist in the implementation of this Resolution.

UNESCO Director-General Irina Bokova convened a high level meeting in Paris on 1 April 2015 to map out the effective implementation of the Resolution. It was attended by representatives from Interpol, UNODC (United Nations Office on Drugs and Crime), UNIDROIT, WCO (World Customs Organization), ICCROM (International Centre for the Study of the Preservation and Restoration of Cultural Property), ICOM (International Council of Museums), ICOMOS (International Council on Monuments and Sites), IFLA (International Federation of Library Associations), as well as the Analytical Support and Sanctions Monitoring Team of the Security Council Al-Qaeda Committee (SMT). The road map adopted at the meeting includes the promotion of ratification and implementation of the 1995 UNIDROIT Convention. UNIDROIT attended another follow-up expert meeting in Paris on 30 September 2015.

**Seminars and conferences**

UNIDROIT was invited to participate in various capacity-building workshops or other expert meetings organised by UNESCO in 2015, among which:

- Regional workshop to launch the Southern African Development Community Heritage Association within SADC held in Gaborone (Botswana), March 2015
- Regional workshop to train future trainers in the fight against illicit trafficking in cultural objects, held in Meknes (Morocco), 21-24 April 2015
- National workshop dedicated to the ongoing procedure of accession to the 1995 UNIDROIT Convention in Mongolia, June 2015
- Expert meeting on the protection of cultural heritage of Yemen, held at the UNESCO Headquarters in Paris, July 2015
- Training workshop on the Fight against the Illicit Trafficking of Cultural Property for Albanian stakeholders, co-organised by the Italian Carabinieri, held in Rome, 23 to 27 November 2015
- Workshops on “Building capacities on the fight against illicit trafficking of cultural objects: prevention, cooperation and restitution” for Syria and Lebanon, held in Beirut (Lebanon), December 2015
- Regional workshop a regional seminar on “Building Capacities on the Fight against Illicit Trafficking of Cultural Objects: Prevention, Cooperation, Restitution” held in Hanoi (Vietnam), December 2015.

UNIDROIT also participated in seminars and conferences organised by States, such as:

- Fifth International Expert Conference on the Return of Cultural Objects, organised by the Turkish Ministry of Culture, in Nevsehir in October 2015.
Partnerships

UNIDROIT continues to collaborate with other organisations such as INTERPOL (member of its Expert Group on Stolen Objects), UNODC, WCO (with ARCHEO network) and ICOM (Observatory on Illicit Traffic), and is currently developing other partnerships, in particular with ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property), an intergovernmental organisation based in Rome which is also active in the battle against illicit trafficking in cultural goods and with which an Agreement has been concluded in 2015.

UNIDROIT participates in a course of cultural law of the Postgraduate Center of the University of Vienna in which a module focuses on relevant international conventions among which the 1995 UNIDROIT Convention.

UNIDROIT has also been approached to be a partner in the creation of a UNESCO Chair at the Università degli Studi Roma Tre which, for a period of four years, would develop a course on the international protection of cultural heritage.

4. Capital markets instruments

Geneva Securities Convention

The Convention has one Signatory State, Bangladesh.

The Committee on Emerging Markets Issues, Follow-up and Implementation ("CEM") is responsible for follow-up on the Convention. During the three meetings held thus far (Rome in 2010, Rio de Janeiro in 2012 and Istanbul in 2013), the CEM discussed the way in which the Convention had been received, particularly with respect to its implementation and incorporation into domestic law. Most of the States represented at these meetings indicated that they were working on new legislation in the financial markets field, and that the Convention heavily influenced some of the projected reforms. It is envisaged that the prospective Legislative Guide on Principles and Rules capable of enhancing trading in securities in emerging markets (see p. 15 above) that is also to promote both the Convention’s implementation and the development of internally sound and compatible sets of legal rules for intermediated securities, thereby enhancing legal certainty in this area, could be a very useful reference tool in this regard.


The aim of the 2013 Principles on the Operation of Close-out Netting Provisions is to provide detailed guidance to national legislators of implementing States seeking to revise or introduce national legislation relevant to the functioning of close-out netting, and UNIDROIT is ready to assist implementing States.
III. LEGAL CO-OPERATION PROGRAMME AND NON-LEGISLATIVE ACTIVITIES

A. Legal Co-operation Programme

The broad aim of the programme of legal co-operation is to promote relations between UNIDROIT and member and non-member States with a view to achieving the statutory aims of the Organisation. The Programme is designed above all for countries lacking sufficient resources to participate in the legal harmonisation process, in particular developing countries and countries in economic transition, and essentially focuses on the efforts undertaken to implement and disseminate the work of the Institute, and provides training and research opportunities for high-level jurists, by means of a Scholarships Programme. For the Organisation’s institutional relations with Governments (visits and seminars in member and non-member countries), see supra pp. 6-7.

1. Co-operation with partner organisations

The UNIDROIT Secretariat maintains close institutional co-operation links with a broad range of organisations with a universal or regional mandate. This includes the exchange of information and consultations with respect to topics of common interest, in particular in the framework of the Institute’s legislative activities (see supra).

2. Research Scholarships Programme

Since it was first launched in 1993, the Programme has enabled the Institute to host nearly 278 researchers from more than 60 countries with a view to their conducting in-depth research on their chosen subject, provided it is in line with UNIDROIT’s activities or at any rate involves uniform law, in the Institute Library for an average of two months.

In 2015, donations were received from the UNIDROIT Foundation, the UK Foundation for International Uniform Law, the Transnational Law and Business University (TLBU) as well as from members of the UNIDROIT Governing Council. Some funding received in 2014 has been used for research stays in 2015.

Seventeen researchers from fourteen different countries were hosted in 2015 in accordance with the decision taken by Secretariat following the decision of the Governing Council, at its 92th session in 2013 to remove the budgetary line item “Legal Co-operation Programme” from the budget. The following persons were hosted under the Programme:

Ms VIAL UNDURRAGA Maria Ignacia (Chile), Professor, Universidad de los Andres, Santiago – Research on: “Proposal of amendment of the Chilean private international rules on contacts” – UNIDROIT scholarship (7 – 29 January 2015)

Ms BERTIZZOLO Maria Eugenia Leila (Argentina), Master Candidate, Universidad de Buenos Aires – Research on: “Contract Farming as a tool for the development of the agribusiness in Argentina” – UNIDROIT /UADE joint scholarship (7 January – 26 February 2015)

Mr GIORGIDZE Gocha (Georgia), Ph.D. Candidate, University of Hamburg – Research on: “Georgisches Privatrecht und Rechtspraxis im Siegel “UNIDROIT Principien” – UNIDROIT Foundation scholarship (7 January – 6 March 2015)

Mr GIKAY Asress, (Ethiopia), SDJ Candidate, Central European University, Budapest - Research on: “New legal framework for Secured Transactions in Ethiopia based on UCC Article 9, German and Hungarian Secured Transactions Laws” – UNIDROIT Foundation scholarship (1 March – 30 April 2015)

Ms SHAHERI Farzaneh (Iran), Ph.D Candidate, University of Tehran, – Research on: “The application of the 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects by Iran: Challenges, Prospects and Opportunities” – UNIDROIT scholarship (25 March – 25 May 2015)
Mr LIPTAK Frantisek (Slovakia), Ph.D Candidate, Pavol Jozef Safarik University in Kosice, – Research on: “Lex mercatoria and deciding cases ex aequo et bono” – UNIDROIT Foundation scholarship (13 April – 12 June 2015)

Ms CAI SIYU (P.R.C), Master Candidate, Renmin University of Beijing, – Research on: “Bona Fide Acquisition of Stolen Cultural Property” UNIDROIT Foundation scholarship (4 May – 30 June 2015)

Ms IVANOVA PANNEBAKKER Ekaterina (Russian Federation), Doctoral Candidate, Erasmus University, Rotterdam, – Research on: “Letters of intent in international commercial transactions” – UNITED KINGDOM Foundation scholarship (5 May – 2 July 2015)

Ms KEGLEVIC Ana (Croatia), Assistant Professor, University of Zagreb, – Research on: “Disgorgement of Profits – A case for UNIDROIT” – UNIDROIT Foundation scholarship (4 May – 30 June 2015)

Mr HANA Albana (Albania), Dr. iur. Candidate, Albrecht Mendelssohn Bartholdy Graduate School of Law, – Research on: “Harmonisation and unification of private and transnational procedural law and the role of judges into practices of bringing legal certainty: common core and better law” – UNIDROIT Foundation scholarship (1 June – 16 July 2015)

Ms ERBAYRAKTAR BURCU (Turkey), Ph.D. Candidate, Istanbul University Institute of Social Sciences, – Research on: “Non-assignment clauses in Turkish law and comparative law” – UNIDROIT Foundation scholarship (8 June – 31 July 2015)

Mr ADAMS Faadhil (South Africa), Ph.D. Candidate, University of Johannesburg, – Research on: “Free movement of companies under a SADC and EU compared perspective” – UNIDROIT Foundation scholarship (9 June – 10 July 2015)

Ms CUPIDO Robin Vicky (South Africa), LL.D. Candidate, University of Johannesburg, Research on: “The role and application of good faith in long-term contracts” – UNIDROIT Foundation scholarship (15 June – 10 July 2015)

Mr BOUWERS Garth (South Africa), LL.D. Candidate, University of Johannesburg, Research on: “Tacit choice of Law in International Commercial Contracts: A global Comparative Study” – UNIDROIT Foundation scholarship (15 June – 10 July 2015)

Mr DAHODEKOU COOVI Prudence Léonce (Bénin), PhD, Université d’Abomey-Calavi, Research on: “Réflexions sur un droit matériel applicable aux titres intermédiaires dans l’espace OHADA” – UNIDROIT Foundation scholarship (14 September – 30 October 2015)

Mr DOGUE Karel Osiris Coffi (Bénin), Docteur en Droit, Université de Montréal, Chef de services Etudes et Recherche, ERSUMA – Research on: “Les joint ventures OHADA; Etude à la lumière des Principes UNIDROIT 2010” – UNITED KINGDOM Foundation scholarship (14 September – 30 October 2015)


3. Collaborators, Interns and Researchers

In 2015, Mr Teemu Viinikainen (Finland) Master of Laws, University of Turku and Mr Ercole De Vito (Italy) Master of Laws, L.U.I.S.S. Guido Carli University in Rome, were welcomed as Research Assistants in the UNIDROIT Secretariat.

The following students were welcomed as interns collaborating on current projects within the UNIDROIT Secretariat:

Ms Eléonore Coutinho Tavares de Pinho (Belgium/Portugal), Mr Hitalo Enrique do Amaral Silva (Brasil), Ms Elodie Fortin (Canada), Ms Viviana Mendez (Costa Rica), Mr Ibrahim Amir (Ethiopia), Ms Morgane Bona-Pellissier (France), Mr Dominik Stefer (Germany), Mr Faidon Varesis (Greece), Ms Niranjana Menon (India), Ms Golnaz Jafari, Ms Shideh Bozorgzadeh (Iran), Mr Netanel Kimchi (Israel), Ms Ilaria Stassano, Ms Giuditta Giardini, Mr Pier Paolo Arganelli, Ms Valeria Belelli, Mr Francesco Rosso, Ms Elisabetta Ricci (Italy), Ms Crizette Tanya Manalaysay (Philippines), Ms Wang Cheng (PRC), Ms Sofia Fernandez Marino, Mr Jesus Garcia Aparicio (Spain), Ms Alessandra Kylin (Sweden), Mr Tarek Kadour Aleinieh (Syria), Ms Cansu Cesur, Mr Tugrul Cakir (Turkey), Ms Alexandra Logue (USA), Mr Kudzai Nigel Maramba (Zimbabwe).
The following persons were welcomed as independent researchers in the UNIDROIT Library:

Ms Evy Marquez, Ms Iacyr Aguilar de Vieira (Brasil), Ms Charlotte Jull, Ms Natalia Vezina (Canada), Mr Ditlev Tamm (Denmark), Mr Philipp Paech (Germany), Mr Jakub Krumrey (Germany/Poland), Ms Benedetta Sirgiovanni, Mr Lorenzo D’Alessio, Ms Roberta Peleggi, Mr Francesco Muolo, Ms Alice Caputo (Italy), Mr Alessandro Pulvirenti (Italy/Switzerland), Ms Gladys Palomino Contreras (Peru), Mr Ioan Luca Vlad (Romania), Mr Anton Didenko (Russia), Ms Laura Zumaquero, Ms Nerea Magallon Elosegui, Mr Manuel Sanchez Alvarez, Ms Rosalia Estupinan Caceres, Ms Laura Carballo Pineiro, Ms Raquel Guillen Catalan (Spain), Mr Régis Bonan, Mr Helmut Heiss (Switzerland), Mr Xue Jun (P.R.C.).

B. UNIDROIT ON INTERNET – www.unidroit.org

The new UNIDROIT website became operative on 10 January 2014. It is continuously updated and improved, as additional refinements are identified through use. The website has proved to be the best and easiest introduction to the organisation and its almost ninety-year activity. Given the organisation’s long and important historical tradition, the organisation is endeavouring to post additional historic materials on the site.

As time goes by, additional features will be added to ensure that the website fulfils its potential as promoter of the Institute and its work on uniform law, one such additional feature being the possibility of posting conferences held at the seat of the Institute on the website.

In the context of the survey of UNIDROIT sources of information, the use of the UNIDROIT website was also examined. The website is regularly monitored through Google Analytics.

C. DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

To date, 53 libraries in 47 member States have been designated depositories for UNIDROIT documentation. For a list of Depository Libraries see Annex IV.

D. UNIDROIT LIBRARY

Library cooperation and networking

In 2015 the UNIDROIT Library continued its cooperation strategy with other Roman and foreign libraries. In 2015, a very fruitful collaboration programme was established with the Library of the University of Foggia.

The cooperation strategy encourages the sharing of resources, in particular with regard to legal periodicals, which are very costly. Thanks to the sharing of legal periodicals, it is possible to “liberate” a greater part of the budget which has been utilised for the acquisition of monographs.

In 2015, a collaboration programme was also continued with the Library of our sister organisation UNCITRAL, and also with the Library of the Max-Planck-Institute for Foreign Private and Private International Law in Hamburg.

Library management software upgrading

The Library’s software management system Aleph500 was upgraded to the latest version Aleph 21.2.2 without any interruption of services for the Institute’s professional staff or the researchers in the Library.

Cataloguing

With regard to cataloguing, work continued with regard to the development of a so called “Legal thesaurus”, which allows an even more precise search result in all languages. The project is very innovative, as just a few other libraries in the world operate a legal thesaurus in various languages. As such, the unique and innovative nature of the legal thesaurus has made the cataloguing project very challenging.
Legal co-operation and non-legislative activities

Data-Bases

UNIDROIT currently subscribes to the following electronic resources: HeinOnline, West Law International and Sistema Pluris On-Line, Beck-Online commercial law modules, LexisNexis Juris Classeur. Those databases cover the civil law, common law and mixed jurisdictions and offer a wide range of research possibility for our researchers.

Digitisation

On the occasion of the Governing Council’s 94th session, Rome, 6 - 8 May 2015, a joint digitisation project with Google was proposed as an attractive opportunity to make the collection of a large library, such as the UNIDROIT Library, accessible in digital form. Unfortunately, our official request to be included as a partner of Google in the Google Library Project was not successful, because Google is currently unavailable to accept new partners due to many other large library projects.

In 2015 the Library examined, therefore, the key issues involved in the conceptualisation, planning and implementation of an inhouse digitisation project. For the realisation of such a project, UNIDROIT started to examine the practical and financial possibilities, and consulted experts in the field of the digitisation of books regarding the various technical ways of the digitisation of materials inhouse.

Acquisitions, donations, exchanges

In 2015, the Library’s holdings increased by 1256 titles, of which 684 were purchased outright, 160 were obtained on an exchange basis, while 412 further titles were received as gifts for a total value of €24,720,00. The expansion of the Library’s holdings has been hampered by steady increases in the price of publications and a chronic lack of resources.

In 2015, as in previous years, the Library received donations in kind from the Max-Planck-Institute of Foreign Private and Private International Law in Hamburg, and from the Deutsche Forschungsgemeinschaft (DFG).

Visitors

The Library continues to attract readers from all over the world. Among the 1177 visitors in 2015, the 39 foreign guests came from 28 different countries.

E. Publications

The Uniform Law Review

The Uniform Law Review is available both on paper and online. Subscriptions can be to either or to both. Subscribers to the Uniform Law Review in 2015 were from 68 different countries. In addition, a considerable number of Developing Country Subscriptions were either distributed as complimentary copies or as reduced rate subscription. Furthermore, 120 copies were distributed for the purposes of exchanges for the Library.

As regards the contents of the Review in 2015, the acts of the conference celebrating the twentieth anniversary of the adoption of the UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (Rome, 8 May 2015) are published in the “Articles” section of the last 2015 issue of the Review.

Official Commentaries on the UNIDROIT Convention on International Interests in Mobile Equipment and its Protocols

The last quarter of 2014 saw the re-printing of the Official Commentary on the Convention on International Interests in Mobile Equipment and the Protocol thereto on Matters specific to Space Assets (prepared by Professor Sir Roy Goode). The re-printed edition corrected printing errors that had been detected since its original publication, and added Appendix XII (The Declarations Matrix).
An Agreement between Professor Sir Roy Goode and the Law Press China for the translation into Chinese of the Official Commentaries on Aircraft Equipment and Railway Rolling Stock was concluded in 2012, before the volumes were published. 1000 copies were printed of each Official Commentary and they have been highly successful.

The geographical distribution of the Official Commentaries was also examined. While the Principles were more widely distributed, the Official Commentaries were also widely distributed in both UNIDROIT member and non-member States.

Other publications

In 2015 the UNIDROIT /FAO/IFAD Legal Guide on Contract Farming was published in both English and French and the Spanish version is due to appear in 2016.

In 2015, publications linked to the work of UNIDROIT, but published and distributed commercially, were: the Spanish version of the UNIDROIT Principles of International Commercial Contracts 2010, published by La Ley in Spain; in 2015 the Spanish version of the UNIDROIT Principles was published by the Centro de Estudios de Derecho Economía y Política (CEDEP) in Asunción, Paraguay; the Italian version of the Principles, published by Giuffrè in Italy; special editions of the English and French versions of the Principles, published in Canada by Éditions Yvon Blais (Thomson Reuters) using pdf versions of the editions published by UNIDROIT in Rome; the English-language version of the Official Commentary on the UNIDROIT Convention on Substantive Rules for Intermediated Securities, published by the Oxford University Press the French version of which was published by Schulthess in Switzerland.

Booklets with UNIDROIT Instruments

The Secretariat started publishing UNIDROIT instruments (previously only available for download and print in A4 format) in booklet form in 2013 to serve as hand-outs at conferences and meetings and which can be mailed wherever necessary at a very limited cost. At the time of writing, the following instruments have been published in booklet form:

- the 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects with the 2011 UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects (English and French);
- the 2001 Cape Town Convention on International Interests in Mobile Equipment (English and French);
- the 2001 Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Aircraft Equipment (English, the French version is due to be published in 2016);
- the 2007 Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Railway Rolling Stock (English and French);
- the 2012 Protocol to the Convention on International Interests in Mobile Equipment on Matters Specific to Space Assets;
- the 2013 Principles on the Operation of Close-Out Netting Provisions (English and French);
- the 2013 Model Clauses for Use by Parties of the UNIDROIT Principles of International Commercial Contracts (English);
- the 2002 Model Franchise Disclosure Law (English and French); and
- the 2008 Model Law on Leasing (bilingual English and French).
ANNEX I

LIST OF THE DOCUMENTS PUBLISHED BY THE UNIDROIT SECRETARIAT IN 2015 ON THE IMPLEMENTATION OF ITS WORK PROGRAMME

The following documents relating to the implementation of the Institute’s Work Programme were published in 2015, in English and French unless otherwise stated:

STUDY L – PRINCIPLES OF INTERNATIONAL COMMERCIAL CONTRACTS

Working Group on Long-Term Contracts

First session – Rome, 19-23 January 2015

WP. 1 - Comments submitted by Justice P. Finn
WP. 2 - Comments submitted by Professor M. Fontaine
WP. 3 - Proposal submitted by Sir Vivian Ramsey
Misc. 2 rev. – Draft agenda
Misc. 31 rev. – Report (prepared by the UNIDROIT Secretariat)

Second session – Hamburg, 26-29 October 2015

Doc. 127 – Note (prepared by the UNIDROIT Secretariat)
Doc. 128 rev. - Notion of “long-term contracts” (Drafts prepared by Professors Michael Joachim Bonell and Neil Cohen)
Doc. 129 - Contracts with open terms (Draft prepared by Sir Vivian Ramsey)
Doc. 130 - Agreements to negotiate in good faith (Draft prepared by Professor Neil Cohen)
Doc. 131 - Contracts with evolving terms (Draft prepared by Professor Michael Joachim Bonell)
Doc. 132 - Supervening events (Draft prepared by Professor Neil Cohen)
Doc. 133 - Co-operation between the parties (Draft prepared by Professor Michael Joachim Bonell)
Doc. 134 - Restitution after ending contracts entered into for an indefinite period (Draft prepared by Professor Reinhard Zimmermann)
Doc. 135 - Termination for compelling reasons (Draft prepared by Sir Vivian Ramsey)
Doc. 136 - Post-contractual obligations (Draft prepared by Professor Christine Chappuis)
Misc. 1 – Annotated draft agenda

STUDY LXV – LEGAL COOPERATION PROGRAMME


STUDY 72K – PROTOCOL ON MATTERS SPECIFIC TO AGRICULTURAL, CONSTRUCTION AND MINING EQUIPMENT (MAC PROTOCOL)

Study Group - Second meeting (Rome, 8-10 April 2015)

Doc. 1 – Annotated agenda
Doc. 2 – The Harmonized Commodity Description and Coding System (prepared by the National Law Center for Inter-American Free Trade and the UNIDROIT Secretariat)
Doc. 3 – Descriptive summary of the preliminary list of HS codes for inclusion under the MAC Protocol (as suggested by the Private Sector in 2014) (prepared by the National Law Center for Inter-American Free Trade)
Doc. 4 – Legal Analysis (prepared by the UNIDROIT Secretariat)
Doc. 5 – Second preliminary annotated draft of a fourth protocol to the Cape Town Convention on matters specific to agricultural, construction and mining equipment (MAC Protocol) (prepared by the UNIDROIT Secretariat)
Doc. 6 – Report (prepared by the UNIDROIT Secretariat)
Doc. 7 – Third preliminary annotated draft of a fourth protocol to the Cape Town Convention on matters specific to agricultural, construction and mining equipment (MAC Protocol) (prepared by the UNIDROIT Secretariat)

Study Group – Third meeting (Rome, 19-21 October 2015)
Doc. 1 – Annotated agenda
Doc. 2 – Issues Paper (prepared by the UNIDROIT Secretariat)
Doc. 3 – Fourth preliminary annotated draft of a fourth protocol to the Cape Town Convention on matters specific to agricultural, construction and mining equipment (MAC Protocol) (Prepared by the UNIDROIT Secretariat)
Doc. 4 – Preliminary list prepared of HS codes for inclusion under the MAC Protocol
Doc. 5 – Report (prepared by the UNIDROIT Secretariat)

STUDY LXXXA – CONTRACT FARMING
UNIDROIT Working Group for the preparation of a Legal Guide on Contract Farming
UNIDROIT/FAO/IFAD Legal Guide on Contract Farming

CURRENT WORK ON UNIDROIT’S DEPOSITORY FUNCTIONS
DC12/IR - ESTABLISHMENT OF THE INTERNATIONAL REGISTRY FOR SPACE PROPERTY
Preparatory Commission for the establishment of the International Registry for Space Assets pursuant to the Space Protocol
Fourth session (Rome, 10-11 December 2015)
Doc. 1 – Annotated draft agenda
Doc. 2 – Explanatory note on draft Space Registry Regulations as revised 15 October 2015
Doc. 3 rev. – Space Registry Regulations revised draft 15 October 2015
Doc. 4 – Note on linked assets
Doc. 5 – Remarks on the draft Regulations as of October 2015 (submitted by the German Delegation)
Doc. 6 rev. – Space Registry Regulations revised draft 15 October 2015 - Drafting suggestions submitted by the German delegation
INSTRUMENTS DRAWN UP BY UNIDROIT/INSTRUMENTS ELABORES PAR UNIDROIT

1964 Convention relating to a Uniform Law on the International Sale of Goods (ULIS) / Convention portant loi uniforme sur la vente internationale des objets mobiliers corporels (LUVI) *

1964 Convention relating to a Uniform Law on the Formation of Contracts for the International Sale of Goods (ULFIS) / Convention portant loi uniforme sur la formation des contrats de vente internationale des objets mobiliers corporels (LUFC) *

1970 International Convention on the Travel Contracts (CCV) / Convention internationale relative au contrat de voyage (CCV)

1973 Convention providing a Uniform Law on the Form of an International Will / Convention portant loi uniforme sur la forme d’un testament international


1988 UNIDROIT Convention on International Factoring / Convention d’UNIDROIT sur l’affacturage international

1994 Principles of International Commercial Contracts / Principes relatifs aux contrats du commerce international

1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects / Convention d’UNIDROIT sur les biens culturels volés ou illicITEMs exportés

1998 Guide to International Master Franchise Arrangements / Guide sur les accords internationaux de franchise principale

2001 Convention on International Interests in Mobile Equipment / Convention relative aux garanties internationales portant sur des matériels d’équipement mobiles

2001 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Aircraft Equipment / Protocole portant sur les questions spécifiques aux matériels d’équipement aéronautiques à la Convention relative aux garanties internationales portant sur des matériels d’équipement mobiles

2002 Master Franchise Disclosure Law / Loi type sur la divulgation des informations en matière de franchise

2004 Principles of International Commercial Contracts / Principes relatifs aux contrats du commerce international

2004 ALI/UNIDROIT Principles of Transnational Civil Procedure / Principes ALI/UNIDROIT de procédure civile transnationale

2007 Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Railway Rolling Stock / Protocole de Luxembourg portant sur les questions spécifiques au matériel roulant ferroviaire à la Convention relative aux garanties internationales portant sur des matériels d’équipement mobiles


2008 UNIDROIT Model law on Leasing / Loi type d’UNIDROIT sur la location et la location-financement

2009 UNIDROIT Convention on Substantive Rules for Intermediated Securities / Convention d’UNIDROIT sur les règles matérielles relatives aux titres intermédies

* The ULIS and ULFIS Conventions have been denounced by Belgium, Germany, Italy, Luxembourg, the Netherlands and San Marino. Under the 1969 Vienna Convention on the Law of Treaties they have not ceased to exist and they are still in force between Gambia, Israel and the United Kingdom / Les Conventions LUVI et LUFC ont été dénoncées par l’Allemagne, la Belgique, l’Italie, le Luxembourg, les Pays-Bas et Saint-Marin. En vertu de la Convention de Vienne sur le droit des traités de 1969, elles n’ont pas cessé d’exister et restent en vigueur entre la Gambie, Israël et le Royaume-Uni.
2010 Principles of International Commercial Contracts / Principes relatifs aux contrats du commerce international

2011 UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects / Dispositions modèles UNESCO-UNIDROIT définissant la propriété de l’État sur les biens culturels non découverts

2012 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Space Assets / Protocole portant sur les questions spécifiques aux biens spatiaux à la Convention relative aux garanties internationales portant sur des matériels d’équipement mobiles


2013 Model Clauses for Use by Parties of the UNIDROIT Principles of International Commercial Contracts / Clauses types sur l'utilisation des Principes d’UNIDROIT relatifs aux contrats du commerce international par les parties

**Status of implementation (*) of conventions drawn up by UNIDROIT and approved at diplomatic Conferences convened by Member States of UNIDROIT**

**Etat de mise en œuvre (**) des conventions préparées par UNIDROIT et approuvées à des Conférences diplomatiques convoquées par des États membres d’UNIDROIT**

**International Convention on the Travel Contract (CCV)**

**Conventions internationales relatives au contrat de voyage (CCV)**

Adoption: Place: Brussels / Lieu: Bruxelles

Date: 23-04-1970

Entry into force: Yes / Oui = Date: 24-02-1976

Entrée en vigueur: Conditions: 5 ratifications (art. 36)

Contracting States / Etats contractants: 6

Depositary / Déléguée: Government of Belgium / Gouvernement belge (art. 34)

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(*) Based on information available to the Secretariat as of 31 December 2014 / Ce document est basé sur les informations dont dispose le Secrétariat au 31 décembre 2014.

The UNIDROIT Secretariat may assist States with technical consultations for the ratification of, or the accession to its instruments, as well as for the preparation of legislation based on those instruments / Le Secrétariat d’UNIDROIT peut apporter son assistance technique aux États en vue de la ratification de ses instruments, ou de l’adhésion à ceux-ci, ainsi que pour l’élaboration de législations basées sur ces instruments.
Annex II

CONVENTION PROVIDING A UNIFORM LAW ON THE FORM OF AN INTERNATIONAL WILL
CONVENTION PORTANT LOI UNIFORME SUR LA FORME D’UN TESTAMENT INTERNATIONAL

Adoption:
Place/Lieu: Washington
Date: 26-10-1973

Entry into force:
Yes/Oui = Date: 09-02-1978

Entrée en vigueur:
Conditions: 5 ratifications (Art. XI)

Contracting States / Etats contractants: 13

Depositary / Dépositaire: Government of the United States of America / Gouvernement des Etats-Unis d’Amérique

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**CONVENTION ON AGENCY IN THE INTERNATIONAL SALE OF GOODS**

**CONVENTION SUR LA REPRESENTATION EN MATIERE DE VENTE INTERNATIONALE DE MARCHANDISES**

**Adoption:**
Place: Geneva / Lieu: Genève
Date: 17-02-83

**Entry into force:**
No / Non

**Entrée en vigueur:**
Conditions: 10 ratifications (art. 33)

**Contracting States / Etats contractants**

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(*) Application extended to Aruba / Application étendue à Aruba.

**UNIDROIT CONVENTION ON INTERNATIONAL FINANCIAL LEASING**

**CONVENTION D’UNIDROIT SUR LE CREDIT-BAIL INTERNATIONAL**

**Adoption:**
Place / Lieu: Ottawa
Date: 28-05-88

**Entry into force:**
Yes / Oui = Date: 01-05-95

**Entrée en vigueur:**
Conditions: 3 ratifications (art. 16.1)

**Contracting States / Etats contractants**

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**Place:** Geneva / Lieu: Genève
UNIDROIT CONVENTION ON INTERNATIONAL factoring

CONVENTION D'UNIDROIT SUR L'AFFACTURAGE INTERNATIONAL

Adoption:  
Place / Lieu: Ottawa  
Date: 28-05-88

Entry into force:  
Yes / Oui» Date: 01-05-95

Entrée en vigueur:  
Conditions: 3 ratifications (art. 14.1)

Contracting States /  
Etats contractants: 9

Depositary / Dépositaire: Government of Canada / Gouvernement du Canada (art. 23.1)

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UNIDROIT CONVENTION ON STOLEN OR ILLICITLY EXPORTED CULTURAL OBJECTS

CONVENTION D'UNIDROIT SUR LES BIENS CULTURELS VOLES OU ILLICITEMENT EXPORTES

Adoption:  
Place / Lieu: Rome  
Date: 24-06-1995

Entry into force:  
Yes / Oui » Date: 01-07-98

Entrée en vigueur:  
Conditions: 5 ratifications (Art. 12)

Contracting States /  
Etats contractants: 37

Depositary / Dépositaire: Italian Government / Gouvernement italien

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CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT

CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATERIELS D’ÉQUIPEMENT MOBILES

Adoption: Place: Cape Town / Lieu: Le Cap
Date: 16-11-2001
Entry into force: Yes / Oui  Date: 01-03-2006
Entrée en vigueur: Conditions: 3 ratifications (Art. 49(1))

Contracting States / Etats contractants 70
Regional economic integration organisations / Organisations régionale d’intégration économique 1

Depositary / Dépositaire: UNIDROIT

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1 Art. 39(1)(a)-(b)

DECL. = DECLARATION; RESERV = RESERVATION; ADHES = ADOPTION; OUI = YES; NO = NO; RATIFICATION = RATIFICATION
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Regional economic integration
organisations / Organisations régionale d’intégration économique

| European Union / Union européenne | 28-04-09 | 01-08-09 | D: Arts. 48(2), 55 |

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1 Subject to Article 49(1) / Sous réserve de l’article 49(1).
2 Regional Economic Integration Organisation / Organisation régionale d’intégration économique (Art. 48).
3 This State has provided Unidroit with information about its laws and policies in relation to the Convention: see www.unidroit.org/english/conventions/mobile-equipment/informationcontractingstates.htm // Cet Etat a fourni à Unidroit des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par la Convention: voir www.unidroit.org/french/conventions/mobile-equipment/informationcontractingstates.htm
4 The Kingdom of the Netherlands deposited its instrument of accession to the Convention on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / Le Royaume des Pays-Bas a déposé son instrument d’adhésion à la Convention le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux “Antilles néerlandaises” doit être remplacée par “Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius).”
5 Affected by withdrawal and/or subsequent declaration / Fait l’objet d’un retrait ou d’une déclaration subséquente.

### PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON MATTERS SPECIFIC TO AIRCRAFT EQUIPMENT

**PROTOCOLE PORTANT SUR LES QUESTIONS SPECIFIQUES AUX MATERIELS D’EQUIPEMENT AERONAUTIQUES A LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR DES MATERIELS D’EQUIPEMENT MOBILES**

**Adoption:**

Place: Cape Town / Lieu: Le Cap – Date: 16-11-2001

**Entry into force:**

Yes / Oui – Date: 01-03-2006

**Entrée en vigueur:**

Conditions: 8 ratifications (Art. XXVIII(1))

**Contracting States / Etats contractants:**

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**Regional economic integration organisations / Organisations régionale d’intégration économique**

1

**Depositary / Dépositaire:**

Unidroit

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Saudi Arabia / Arabie saoudite 12-03-03 27-06-08 01-10-08 –
Senegal / Sénégal 02-04-02 09-01-06 01-05-06 D: Arts. XXIX, XXX(1), (2), (3)
Singapore / Singapour – 28-01-09 05-05-09 D: Art. XXX(1)*, (3)
South Africa / Afrique du sud 16-11-01 18-01-07 05-05-07 D: Art. XXX(1), (2), (3)
Spain / Espagne – – – –
Sudan / Soudan 16-11-01 – – – –
Sweden / Suède – 30-12-15 01-04-16 D: Art. XXX(1)
Switzerland / Suisse 16-11-01 (ad ref.) – – – –
Tajikistan / Tadjikistan – 31-05-11 01-09-11 D: Art. XXX(1), (2), (3)
Togo – 01-12-11 01-04-12 D: Art. XXX(1), (2), (3)
Tonga 16-11-01 – – – D: Art. XXX(1), (2), (3)
Turkey / Turquie 16-11-01 – – – D: Art. XXX(1), (2), (3)
Ukraine 03-03-04 31-07-12 01-11-12 D: Arts. XIX, XXX(1), XXX(3)
United Arab Emirates / Emirats arabes unis – 29-04-08 01-08-08 D: Arts. XIX, XXIX, XXX(1),(2),(3)
United Kingdom / Royaume-Uni 16-11-01 27-07-15 01-11-15 D: Arts. XXIX, XXX(1), (2), (3)
United Rep. of Tanzania / Rép.-Unie de Tanzanie 16-11-01 30-01-09 01-05-09 D: Art. XXX(1)*, (2)*, (3)*
United States of America / États-Unis d’Amérique 09-05-03 28-10-04 01-03-06 D: Arts. XIX(1), XXX(1)
Viet Nam – 17-09-14 01-01-15 D: Arts. XIX, XXX(1), (2), (3)

Regional economic integration
organisations / Organisations régionale d’intégration économique

European Union / 1
Union européenne – 28-04-09 01-08-09 D: Arts. XXVII(2), XXX(5)

1 Regional Economic Integration Organisation / Organisation régionale d’intégration économique (Art. XXVII).
2 This State has provided UNIDROIT with information about its laws and policies in relation to the Aircraft Protocol: see www.unidroit.org/english/conventions/mobile-equipment/information-contractingstates.htm / Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par le Protocole aéronautique: voir www.unidroit.org/french/conventions/mobileequipment/information-contractingstates.htm
3 The Kingdom of the Netherlands deposited its instrument of accession to the Aircraft Protocol on 20 July 2010 for the Netherlands Antilles (Caraïco, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the “Netherlands Antilles” is to be replaced by “Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba...
and Sint Eustatius)* / Le Royaume des Pays-Bas a déposé son instrument d’adhésion au Protocole aéronautique le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux “Antilles néerlandaises” doit être remplacée par “Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)”
* Affected by withdrawal and/or subsequent declaration / Fait l’objet d’un retrait ou d’une déclaration subséquente.

LUXEMBOURG PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON MATTERS SPECIFIC TO RAILWAY ROLLING STOCK

PROTOCOLE DE LUXEMBOURG PORTANT SUR LES QUESTIONS SPÉCIFIQUES AU MATÉRIEL ROULANT FERROVIAIRE À LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR DES MATÉRIELS D’ÉQUIPEMENT MOBILES

Adoption: Place / Lieu: Luxembourg
Date: 23-02-2007
Entry into force: No / Non
Entrée en vigueur: Conditions: 4 ratifications (Art. XXIII(1))
Contracting States / Etats contractants
Depositary / Dépositaire: UNIDROIT

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<td>Switzerland / Suisse</td>
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<td>European Union / Union européenne</td>
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1 Regional Economic Integration Organisation / Organisation régionale d’intégration économique: Protocol(e) Article XXII

UNIDROIT CONVENTION ON SUBSTANTIVE RULES FOR INTERMEDIATED SECURITIES

CONVENTION D’UNIDROIT SUR LES RÈGLES MATERIELLES RELATIVES AUX TITRES INTERMÉDIÉS

Adoption: Place: Geneva / Lieu: Genève
Date: 09-10-09
Entry into force: No / Non
Entrée en vigueur: Conditions: 3 ratifications (art. 42.1)
Contracting States / Etats contractants
Depositary / Dépositaire: UNIDROIT

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38
PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON MATTERS SPECIFIC TO SPACE ASSETS

PROTOCOLE PORTANT SUR LES QUESTIONS SPECIFIQUES AUX BIENS SPATIAUX A LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR DES MATERIELS D’ÉQUIPEMENT MOBILES

Adoption: Place / Lieu: Berlin
Date: 09-03-2012

Entry into force: No / Non
Entrée en vigueur: Conditions: Art. XXXVIII

Contracting States / Etats contractants /

Depositary / Dépositaire: UNIDROIT

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<td>Zimbabwe</td>
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ANNEX III

IMPLEMENTATION OF INSTRUMENTS BASED ON WORK CONDUCTED WITHIN UNIDROIT (*)

A. INTERNATIONAL INSTRUMENTS IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS OR CONVENTIONS


   States Parties: Albania, Armenia, Austria, Azerbaijan, Belarus, Belgium, Bosnia-Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Georgia, Germany, Greece, Hungary, Iran (Islamic Republic of), Ireland, Italy, Jordan, Kazakhstan, Kyrgyzstan, Latvia, Lebanon, Lithuania, Luxembourg, Malta, Mongolia, Montenegro, Morocco, Netherlands, Norway, Poland, Portugal, Republic of Moldova, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, the Former Yugoslav Republic of Macedonia, Tunisia, Turkey, Turkmenistan, Ukraine, United Kingdom and Uzbekistan.


   States Parties: Albania, Angola, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahrain, Bangladesh, Barbados, Belarus, Benin, Belgium, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Cambodia, Cameroon, Canada, Chad, Chile, China, Colombia, Costa Rica, Côte d’Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Democratic Republic of Congo, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Estonia, Ethiopia, Finland, France, Gabon, Georgia, Germany, Ghana, Greece, Guatemala, Guinea, Holy See, Honduras, Hungary, India, Indonesia, Iran, Iraq, Israel, Italy, Jordan, Kazakhstan, Kuwait, Kyrgyzstan, Latvia, Lebanon, Libya, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malaysia, Mali, Mauritius, Mexico, Monaco, Mongolia, Montenegro, Morocco, Myanmar, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan, Palestine, Panama, Paraguay, Peru, Poland, Portugal, Qatar, Republic of Moldova, Romania, Russian Federation, Rwanda, San Marino, Saudi Arabia, Senegal, Serbia, Seychelles, Slovakia, Slovenia, South Africa, Spain, Sri Lanka, Sudan, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, Thailand, the Former Yugoslav Republic of Macedonia, Tunisia, Turkey, Ukraine, United Republic of Tanzania, United States of America, Uruguay, Uzbekistan, Venezuela, Yemen and Zimbabwe.


   States Parties: Albania, Algeria, Andorra, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahrain, Barbados, Belarus, Belgium, Bolivia, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Canada, Cape Verde, Chile, Colombia, Congo, Costa Rica, Crocia, Cyprus, Czech Republic, Denmark, Dominica, Dominican Republic, Ecuador, El Salvador, Estonia, Fiji, Finland, France, Georgia, Germany, Greece, Guatemala, Honduras, Hungary, Iceland, Ireland, Israel, Italy, Jamaica, Japan, Kazakhstan, Kyrgyzstan, Latvia, Lebanon, Lesotho, Liberia, Liechtenstein, Lithuania, Luxembourg, Mexico, Monaco, Montenegro, Netherlands, Nicaragua, Niger, Nigeria, Norway, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Serbia, Slovakia, Slovenia, Spain, St. Lucia, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, the Former Yugoslav Republic of Macedonia, Togo, Turkey, Ukraine, United Arab Emirates, United Kingdom, Uruguay, Venezuela and Viet Nam.

(*) Based on information available to the Secretariat as of 31 December 2015.
4. Hague Convention of 1958 concerning the Recognition and Enforcement of Decisions relating to Maintenance Obligations towards Children, which entered into force in 1962. This Convention was prepared on the basis of the draft Convention on the Recognition and Enforcement abroad of Maintenance Obligations, a first draft of which had been drawn up by UNIDROIT in 1938 and work on which was completed in 1949 when it was transmitted to the Department for Social Affairs of the United Nations. After being revised by a United Nations committee of experts, the draft was recommended to States under a Resolution of the Economic and Social Council at its XVIIth session for use as a model in the drawing up of bilateral conventions or uniform laws for separate adoption by each State.

**States Parties:** Austria, Belgium, China (for the Special Administrative Region of Macao only), Czech Republic, Denmark, Finland, France, Germany, Hungary, Italy, Liechtenstein, Netherlands, Norway, Portugal, Slovakia, Spain, Suriname, Sweden, Switzerland and Turkey.

5. European Convention of 1962 on the Liability of Hotel-keepers concerning the Property of their Guests, adopted under the auspices of the Council of Europe and which entered into force in 1967. The Convention is based on the draft uniform law on the hotelkeeper's liability for damage to or destruction or theft of his guests’ property, the drafting of which was completed by UNIDROIT in 1934.

**States Parties:** Belgium, Bosnia and Herzegovina, Croatia, Cyprus, France, Germany, Ireland, Italy, Lithuania, Luxembourg, Malta, Montenegro, Poland, Serbia, Slovenia, the former Yugoslav Republic of Macedonia and United Kingdom.

6. Benelux Treaty of 1955 on Compulsory Insurance against Civil Liability in respect of Motor Vehicles and European Convention on Compulsory Insurance against Civil Liability in respect of Motor Vehicles, adopted in 1959 under the auspices of the Council of Europe, which entered into force in 1969. These two instruments are based on the draft uniform rules on the compulsory insurance of motorists, the drafting of which was completed by UNIDROIT in 1937.

**States Parties to the 1959 Convention:** Austria, Denmark, Germany, Greece, Norway, Sweden and Turkey.

7. European Convention on Establishment of 1955, adopted under the auspices of the Council of Europe and which entered into force in 1965. The Convention is based on the draft Convention on the reciprocal treatment of nationals as between member States of the Council of Europe, the drafting of which was completed by UNIDROIT in 1951.

**States Parties:** Belgium, Denmark, Germany, Greece, Ireland, Italy, Luxembourg, Netherlands, Norway, Sweden, Turkey and United Kingdom.

8. Protocol No. 1 concerning the Rights in rem in Inland Navigation Vessels annexed to the 1965 Convention on the Registration of Inland Navigation Vessels which was adopted under the auspices of the Economic Commission for Europe of the United Nations and to which Austria, Croatia, France, Luxembourg, Netherlands, Switzerland and Yugoslavia are Contracting Parties. The Protocol is based on the draft Convention concerning rights in rem in boats in inland navigation, the drafting of which was completed by UNIDROIT in 1960. The Convention and Protocol No. 1 entered into force in 1982.

**The States Parties to Protocol No. 1** are Austria, Belarus, Croatia, France, Luxembourg, Montenegro, Netherlands, Serbia and Switzerland.


**The States Parties to Protocol No. 2** are Austria, Belarus, Croatia, France, Luxembourg, Montenegro and Serbia.

Contracting States: Albania, Argentina, Armenia, Australia, Austria, Bahrain, Belarus, Belgium, Benin, Bosnia and Herzegovina, Brazil, Bulgaria, Burundi, Canada, Chile, China, Colombia, Congo, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Estonia, Finland, France, Gabon, Georgia, Germany, Greece, Guinea, Guyana, Honduras, Hungary, Iceland, Iraq, Israel, Italy, Japan, Kyrgyzstan, Latvia, Lesotho, Lebanon, Liberia, Lithuania, Luxembourg, Madagascar, Mauritania, Mexico, Mongolia, Montenegro, Netherlands, New Zealand, Norway, Paraguay, Peru, Poland, Republic of Korea, Republic of Moldova, Romania, Russian Federation, Serbia, Singapore, Slovakia, Slovenia, Spain, St. Vincent and the Grenadines, San Marino, Sweden, Switzerland, Syrian Arab Republic, Turkey, the Former Yugoslav Republic of Macedonia, Turkey, Uganda, Ukraine, United States of America, Uruguay, Uzbekistan, Viet Nam and Zambia.


*States Parties*: Bosnia and Herzegovina, Croatia, Czech Republic, Latvia, Montenegro, Republic of Moldova, Serbia, Slovakia, and Ukraine.

12. **Additional Protocol to the Convention on the Contract for the International Carriage of Goods by Road (CMR) concerning the electronic consignment note (e-CMR)**, adopted in Geneva in 2008 and entered into force on 5 June 2011. The Additional Protocol is based on a joint proposal submitted by **UNIDROIT** and UNCITRAL. The Contracting States are: Bulgaria, Czech Republic, Denmark, Latvia, Lithuania, the Netherlands, Slovakia, Spain and Switzerland.

B. **INTERNATIONAL INSTRUMENTS NOT YET IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS**

1. **Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN)**, adopted in Geneva in 1973 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN), the drafting of which was completed by **UNIDROIT** in 1970. The Russian Federation is the sole Contracting State.

2. **Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN)**, adopted in Geneva in 1976 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN), the drafting of which was completed by **UNIDROIT** in 1972. The Russian Federation is the sole Contracting State.

3. **European rules for investment funds**, which were in 1972 recommended by the Committee of Ministers of the Council of Europe as a model law for the member States of the Council of Europe. The rules are based on the preliminary draft model law on investment funds, **UNIDROIT**’s drawing up of which was completed in 1969.

4. **European Convention providing a Uniform Law on Arbitration**, adopted in 1966 under the auspices of the Council of Europe. The Convention is based on the preliminary draft uniform law on arbitration in private law matters in international relations, **UNIDROIT**’s drawing up of which was completed in 1954. Belgium is the sole Contracting State.

5. **European Convention of 1973 on Civil Liability for Damage caused by Motor Vehicles**, adopted under the auspices of the Council of Europe. The Convention is based on the draft of a uniform law on the civil liability of motorists, **UNIDROIT**’s drawing up of which was completed in 1938. No Contracting State.

6. **United Nations Convention on International Multimodal Transport of Goods**, adopted in Geneva in 1980. The origin of the Convention is to be found in the draft Convention on Contracts for the Combined International Carriage of Goods, **UNIDROIT**’s drawing up of which was completed in 1965. The **UNIDROIT** draft also provided one of the bases for the draft Convention on the International Combined Carriage of Goods (TCM), drawn up at a round table convened by **UNIDROIT** at the request of the Economic Commission for Europe of the United Nations in 1969 and 1970,
which was itself revised at meetings convened jointly by the Intergovernmental Maritime Consultative Organisation (IMCO) and the Economic Commission for Europe of the United Nations. The Contracting States are: Burundi, Chile, Georgia, Lebanon, Liberia, Malawi, Mexico, Morocco, Rwanda, Senegal and Zambia.

7. **Convention on Civil Liability for Damage caused during Carriage of Dangerous Goods by Road, Rail and Inland Navigation Vessels (CRTD)**, adopted in Geneva in 1989 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft articles for a Convention on civil liability for damage caused during carriage of dangerous goods by road, rail and inland navigation vessels, UNIDROIT’s drawing up of which was completed in 1986. Liberia is the sole Contracting State.


C. **EUROPEAN DIRECTIVE BASED ON A PRELIMINARY DRAFT UNIDROIT CONVENTION**


D. **EUROPEAN DIRECTIVE BASED ON A UNIDROIT CONVENTION**


E. **UNIFORM RULES PUBLISHED BY THE INTERNATIONAL CHAMBER OF COMMERCE AND BASED ON A DRAFT UNIDROIT CONVENTION**

Uniform Rules for a Multimodal Transport Document first published by the International Chamber of Commerce in 1973 and subsequently revised. The origin of the Rules is the same as that of the United Nations Convention on International Multimodal Transport of Goods (see above Section B. 6.).

F. **INTERNATIONAL INSTRUMENTS BASED ON PRELIMINARY STUDIES PREPARED BY UNIDROIT**

1. **European Convention on Products Liability in regard to Personal Injury and Death of 27 January 1977.**

   No Contracting State.

2. **Resolution (78)3 on Penalty Clauses in Civil Law** adopted by the Committee of Ministers of the Council of Europe on 20 January 1978.
ANNEX IV

DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

**Argentina:** Corte Suprema de Justicia de la Nación, Secretaría de Investigación de Derecho comparado  
<http://www.csjn.gov.ar>

**Australia:** National Library of Australia  

**Austria:** Universität Wien, Universitäts Bibliothek, Fachbereichsbibliothek Rechtswissenschaften  
<http://bibliothek.univie.ac.at/fb-rewl/>

**Belgium:** Federale Overheidsdienst Justitie  
<http://just.fgov.be/>

**Bolivia:** Biblioteca Central de la Cancillería de la República  
<http://www.ree.bo>

**Brazil:** Serviço de Biblioteca e documentação - SBD, Universidade de São Paulo, Faculdade de Direito  
<http://www.usp.br/bibliotecadireito/biblioteca.htm>

**Bulgaria:** Bulgarian National Library "St. Cyrill and St. Methodius"  
<http://www.nationallibrary.bg/>

**Canada:** Nahum Gelber Law Library, McGill University  
<http://www.mcgill.ca/library/>;  
University of British Columbia Law Library  
<http://www.library.ubc.ca/law/>

**Chile:** Academia Diplomática  
<http://www.minrel.gob.cl/prontus_minrel/site/edic/base/port/academia_diplomatica.php>

**Colombia:** Biblioteca Luis Ángel Arango  
<http://www.banrepcultural.org/bla>

**Czech Republic:** Library of the International Law Department, Ministry of Industry and Trade  
<http://www.mpo.cz>

**Egypt:** Documentation Center, General Directorate of International and Cultural Co-operation, Ministry of Justice, Cairo

**Estonia:** Eesti Rahvusraamatukogu  
<http://www.nlib.ee/>

**Finland:** Eduskunnan Kurjasto  
<http://lib.eduskunta.fi/Resource.phx/kirjasto/index.htx>

**France:** Bibliothèque Inter-universitaire Cujas  

**Germany:** Staatsbibliothek zu Berlin, Abteilung Amtsdruckschriften und Internationaler Amtlicher Schriftentausch  
<http://staatsbibliothek-berlin.de/>

**Greece:** Library of the Hellenic Institute of International and Foreign Law  
<http://www.hifi.gr/>

**Holy See:** Biblioteca della Facoltà di Giurisprudenza, Libera Università Maria Ss. Assunta, Rome  
<http://www.lumsa.it/Lumsa/>

**Hungary:** Országgyűlési Könyvtár  
<http://www.ogyk.hu>

**India:** Library of the Indian Society of International Law  
<http://www.isil-aca.org/library.htm>
Indonesia: Departemen Luar Negeri (Directorate for Economic, Social and Cultural Treaties Affairs, Directorate General of Legal and Treaties Affairs, Ministry of Foreign Affairs)  
<http://www.kemlu.go.id/Pages/Default.aspx>

Iran: Institute of Comparative Law, Faculty of Law and Political Science, University of Tehran  
<http://complaw.ut.ac.ir/en/>

Ireland: National Library of Ireland  
<http://www.nli.ie/>

Japan: Ministry of Justice Library (Branch of National Diet Library)  
<http://www.moj.go.jp/>

Latvia: Department of European and International Law, Ministry of Justice of the Republic of Latvia  
<http://www.tm.gov.lv/lu/>

Luxembourg: Bibliothèque Nationale  
<http://www.bnl.public.lu/index.html>;  
University of Luxembourg  
<http://www.uni.lu/university/library>

Malta: Library Services, University of Malta  
<http://www.um.edu.mt/library/>

Mexico: Instituto de Investigaciones Jurídicas, Universidad Nacional Autónoma de México  
<http://www.unam.mx>;  
Academia Mexicana de Derecho Internacional Privado y Comparado, México City;  
Biblioteca Loyola, Universidad Iberoamericana Tijuana  
<http://www.loyola.tij.uia.mx>

Netherlands: Library of the Ministry of Justice  
<http://www.rijksoverheid.nl/#ref-justitie>

Norway: Det juridiske fakultetsbibliotek, Universiteitsbiblioteket i Oslo  
<http://www.ub.uio.no>

Paraguay: Facultad de Derecho y Ciencias Sociales de la Universidad Nacional de Asunción  
<http://www.der.una.py/>

People's Republic of China: Ministry of Commerce (MOFCOM)  
<http://www.mofcom.gov.cn/index.shtml>

Poland: Biblioteka Sejmowa  
<http://libr.sejm.gov.pl/bibl/>

Portugal: Serviço de Biblioteca e Documentação Diplomática do Ministério dos Negócios Estrangeiros - Instituto Diplomático  
Gabinete de Documentação e Direito Comparado, Procuradoria Geral da República  
<http://www.gddc.pt/>

Republic of Korea: Library of the Korea National Diplomatic Academy  
<http://www.mofat.go.kr/ifanslib.html>

Romania: Biblioteca Națională  
<http://www.bibnat.ro/>

Russian Federation: Library of the Russian Academy of Foreign Trade  
<http://www.vavt.ru/>

Slovak Republic: Právnická fakulta Trnavskej Univerzity  
<http://www.truni.sk>

Slovenia: Univerza v Mariboru Pravna fakulteta  
<http://www.pf.uni-mb.si/sl/>

South Africa: Library of the Department of Justice, Directorate: Internal Affairs, Pretoria University of Johannesburg  
<http://www.uj.ac.za/EN/Library/Pages/Home.aspx>

Spain: Universidad San Pablo CEU  
<http://www.ceu.es>
Sweden: Riksdagsbiblioteket
<http://www.riksdagen.se/templates/R_SubStartPage____448.aspx>

Switzerland: Library of the Swiss Institute of Comparative Law
<http://isdc.ch>

Turkey: Banca ve Ticaret Hukuku Arastirma Enstitüsü, Hukuk Fakültesi, Ankara Üniversitesi
<http://bthae.ankara.edu.tr/?bil=bil_icerik&icerik_id=207&kat_id=12>

United Kingdom: Library of the Institute of Advanced Legal Studies
<http://ials.sas.ac.uk/>

United States of America: Arthur W. Diamond Law Library Columbia University in the City of New York
<http://www.law.columbia.edu/library>;
Underwood Law Library, Dedman School of Law, Southern Methodist University
<http://library.law.smu.edu/>

Uruguay: Facultad de Derecho de la Universidad de la República
<http://www.fder.edu.uy/contenido/biblioteca/index.html>