



ANNUAL REPORT - 2020

INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW
INSTITUT INTERNATIONAL POUR L'UNIFICATION DU DROIT PRIVE

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UNIDROIT

INTERNATIONAL INSTITUTE FOR THE UNIFICATION OF PRIVATE LAW

ANNUAL REPORT — 2020

2020 will make history as the year when the COVID-19 pandemic hit the world. While humanity has borne witness to an almost uninterrupted cycle of wars, health or humanitarian crises, in different parts of the world, a health crisis with such a sudden and almost simultaneous onset worldwide, with similar intensity and effects, is an ordeal possibly never seen before. In the first months of the pandemic, confusion and fear spread and affected all areas, changed government priorities, and severely restricted activities, travel, and even undermined communication for some time. Obviously, the eruption of the pandemic had an enormous impact on UNIDROIT. Remote work was established for several months, although the Institute never closed. In the heart of a dystopic Rome, with empty streets and silent roads, someone always opened the Institute in the morning and closed it in the evening. As a sign of resilience and to honour public service, UNIDROIT, throughout 2020, did not fail to convene any meeting of its institutional bodies (quite the contrary, two Governing Council meetings were held instead of one, and three Finance Committee sessions took place instead of two), all scheduled formal international meetings were celebrated, and working groups for the different projects met remotely. Notwithstanding the hardship brought about by the circumstances, the Institute intensified its work with the use of technology and was able to deliver at least as much as any other ordinary year.

This was also the year when UNIDROIT's highest Office was revived. On 1 September, Professor Maria Chiara Malaguti was appointed President, and the Institute was immediately energized with an injection of enthusiasm and a stream of ideas. The Secretariat welcomed her with relief. The future of the Institute, approaching its centenary, is looking bright following her able, audacious onward gaze. The arrival of our President was the personal upside of a year which had started with extremely sad news for the UNIDROIT community: it was indeed with profound sadness that, in March, we learnt of the passing of Mr Walter Rodinò. A Deputy Secretary-General, Secretary-General *ad interim*, and Director of the Library, Walter, a kind man above all, was the face that welcomed visitors and guests to the Institute for over 40 years. His passing leaves a massive void in the hearts of the UNIDROIT family, in Rome and around the world. UNIDROIT occupied a large part of his life, and his memory will always be honoured.

These twelve months have been a period of abundant harvest. After several years of hard work, as many as three instruments were finalized and adopted by the Governing Council: the final draft of the "Legal Guide on Agricultural Land Investment Contracts", co-written with the participation of IFAD and FAO, following a long and thorough public consultation process; the "Tripartite Legal Guide to Uniform Legal Instruments in the Area of International Commercial Contracts (with a focus on sales)", written jointly with our sister organisations the HCCH and UNCITRAL; and the "ELI/UNIDROIT Model European Rules of Civil Procedure", drafted together with the European Law Institute. These three instruments are the result of hard, collegiate work with other intergovernmental and international organisations, and constitute an example of institutional synergy and maximization of public resources.

Intense and productive efforts in the implementation and dissemination of our existing instruments were deployed, and, inevitably, affected by the situation generated by the pandemic. The Secretariat strived to keep the momentum generated by the Diplomatic Conference that approved the MAC Protocol. Although, since March, no missions were allowed and contacts with Governments limited, preparatory work to implement the Protocol continued at good pace, and strong support arrived with the signature of the MAC Protocol by the United States, whose Secretary of State visited Villa Aldobrandini for the event. The economic downturn caused by travel bans across the globe led to a severe crisis of the aviation sector, with many airlines (traditional borrowers under Cape Town Convention schemes) undergoing restructuring procedures. This situation implied a real test to the resilience and effectiveness of the Aircraft Protocol and the Cape Town system in general. The challenge was faced and the test passed, and, although economic turbulence remains in the sector, the endurance of rights protected under the umbrella of the Cape Town Protocol has proven to be extraordinary. This is all, indeed, outstanding news for a treaty, which has been subject to a stress test like few other international legal instruments ever before. It is now apparent that Cape Town adds legal certainty to secured transactions, and this should prove instrumental to assist in the post-pandemic recovery. The Cape Town system is in excellent shape, and we should see substantive progress in ratifications in the near future.

Measures adopted by governments to contain the pandemic produced severe interferences in contractual performance in international and domestic commercial contracts around the world. The situation caught many jurisdictions with inadequate legal instruments to manage an ordeal which potentially threatened the stability of their legal framework and court system. It was in this situation where the UNIDROIT Principles on International Commercial Contracts arose as a useful solution, with special regard to its flexible, innovative treatment of force majeure and hardship. The Secretariat published a note including a toolkit for the use of the Principles in the pandemic context, which attracted much attention and gained the spotlight of academics, legislators and international organisations of lawyers. This has all significantly raised awareness on the Principles, as well as proving their usefulness. Here, too, the future is looking bright.

2020 also saw the kick-off and rapid development of the projects included in the new Work Programme. Following a considerable amount of preparatory work and refinement of their scope, the projects on Best Practices for Effective Enforcement and Digital Assets and Private Law took off with extraordinary speed and interest from the international legal community. Technology has facilitated remote meetings, and projects have gained considerable velocity and efficiency thanks to the frequent convening of subgroups within each working group. This new methodology is no doubt here to stay, and has the potential of substantially reducing the cost and the time in the drafting of instruments. Excellent progress has also been made in the project to draft a Model Law on Factoring, and a new project, to be jointly conducted with UNCITRAL, to draft a Model Law on Warehouse Receipts was admitted into the Work Programme by the General Assembly following a recommendation by the Governing Council in its 99th session. As will be apparent to the reader, consistency and complementarity of all ongoing projects is a feature of the current Programme.

From a purely institutional standpoint, budgetary balance was observed and savings attained. The Institute used the funds saved in travel and missions to invest in infrastructure and, especially, in technology, setting the basis for a work model that is likely to be part of our practice in the years to come. Hard times can be faced defensively, trying to preserve what one has, hoping for the storm to pass. Alternatively, they can be seen as an opportunity to transform hardship into virtue and work harder than ever, unfurling all sails so the storm wind takes you farther, quicker allowing you to reach far beyond where one had even dared to dream of. 2020 brought us a massive storm; and I am prouder than ever to say that UNIDROIT rose to the challenge.

PROFESSOR IGNACIO TIRADO
Secretary-General

Contents

<i>Page One</i>	1
I. ADMINISTRATION AND ORGANISATION	7
A. INTERNAL GOVERNING BODIES	7
1. Presidency	7
2. Governing Council	7
3. Finance Committee	8
4. General Assembly	8
5. Secretariat	8
B. DIPLOMATIC CONFERENCES, STUDY GROUPS AND EXPERT COMMITTEES	8
1. Digital Assets and Private Law	8
2. Model Law on Factoring	9
3. Best Practices for Effective Enforcement	9
4. Model Law on Warehouse Receipts	9
5. Agricultural Land Investment Contracts	9
6. Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agricultural and Construction Equipment	9
C. RELATIONS WITH MEMBER STATES AND OTHER GOVERNMENTS	9
D. COORDINATION BETWEEN ORGANISATIONS INVOLVED IN THE FORMULATION OF PRIVATE LAW RULES	10
1. Hague Conference of Private International Law (HCCH)	10
2. United Nations Commission on International Trade Law (UNCITRAL)	10
3. UNIDROIT, UNCITRAL and the HCCH	11
E. COOPERATION WITH OTHER INTERNATIONAL AND REGIONAL ORGANISATIONS	11
1. United Nations	12
2. ICCROM	12
3. Asia Pacific Economic Cooperation (APEC)	12
4. Organization of American States (OAS)	13
5. World Bank Group and Kozolchyk National Law Center (NatLaw)	13
6. European Bank for Reconstruction and Development (EBRD)	14
7. International Bar Association (IBA)	14
8. International Law Association (ILA)	14
9. Other intergovernmental and non-governmental cooperations	14
F. COOPERATION WITH ACADEMIC INSTITUTIONS	15
1. Meetings and conferences	15
2. Cooperation agreements	16

II. LEGISLATIVE ACTIVITIES	17
A. WORK IN PROGRESS	17
1. International Interests in Mobile Equipment	17
a. Depository Functions of UNIDROIT	17
b. Protocol to the Cape Town Convention on Matters specific to Railway Rolling Stock (Luxembourg Rail Protocol)	18
c. Protocol to the Cape Town Convention on Matters specific to Space Assets (Space Protocol)	19
d. Protocol to the Cape Town Convention on Matters specific to Mining, Agricultural and Construction equipment (MAC Protocol)	19
2. Private Law and Agricultural Development	21
a. Legal Guide on Agricultural Land Investment Contracts	21
b. Legal structure of agricultural enterprises	22
3. Transnational Civil Procedure	22
a. ELI-UNIDROIT Model European Rules on Civil Procedure	22
b. Best Practices for Effective Enforcement	23
4. Model Law on Warehouse Receipts	24
5. UNIDROIT Principles of International Commercial Contracts	26
a. Formulation of Principles of Reinsurance Contracts	26
b. UNILEX database	26
6. International Sales Law - "Tripartite" UNCITRAL-HCCH-UNIDROIT Legal Guide	27
7. Digital Assets and Private Law	28
8. Model Law on Factoring	29
B. PROMOTION OF INSTRUMENTS ADOPTED BY UNIDROIT	30
1. UNIDROIT/FAO/IFAD Legal Guide on Contract Farming	30
2. Cape Town Convention and its Protocols	31
3. UNIDROIT Principles of International Commercial Contracts	33
a. Promotion of the UNIDROIT Principles 2016	33
b. Release of the UNIDROIT Secretariat's Note on the UNIDROIT Principles and the COVID-19 health crisis	35
4. International Protection of Cultural Property	35
a. 1995 UNIDROIT Convention on Stolen or Illegally exported Cultural Objects and UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects	35
b. The 1995 UNIDROIT Convention Academic Project	37
c. UNIDROIT and partners in the field of Cultural Property	37
d. Training and capacity-building workshops	38
III. LEGAL COOPERATION PROGRAMME AND NON-LEGISLATIVE ACTIVITIES	39
A. LEGAL COOPERATION PROGRAMME	39
1. Co-operation with partner organisations	39
2. Internship and Research Scholarship Programme	39
3. Interns and researchers	40
B. UNIDROIT ON INTERNET AND SOCIAL MEDIA IN 2020	40
C. DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION	41

D. UNIDROIT LIBRARY	41
E. PUBLICATIONS	42
F. UNIDROIT FOUNDATION	44
ANNEXE I	List of the documents published by the UNIDROIT Secretariat in 2020 on the implementation of its Work Programme 46
ANNEXE II	Instruments drawn up by UNIDROIT 49
ANNEXE III	Implementation of instruments based on Work conducted within UNIDROIT 62
ANNEXE IV	Depository Libraries for UNIDROIT Documentation 65

I. ADMINISTRATION AND ORGANISATION

A. INTERNAL GOVERNING BODIES¹

1. Presidency



In accordance with Article 6(2) of the UNIDROIT Statute, the Italian Government appointed Professor Maria Chiara Malaguti as President of UNIDROIT for a five-year term commencing on 1 September 2020. Professor Malaguti holds a Chair of International Law in the Faculty of Economics of the Catholic University of Milan, and is a well-known, highly regarded academic, with wide expertise in the fields of unification and harmonisation of international private law, and with substantial experience in intergovernmental organisations and international financial institutions.

2. Governing Council

In light of the COVID-19 pandemic, the Secretariat proposed that the 99th session of the Governing Council² be separated into two meetings. Mr Arthur Hartkamp was appointed First Vice President, and Mr Alexander Komarov Second Vice President in accordance with the special Rules of Procedure for both meetings that were established beforehand by consensus of the Council.

A first meeting was held in April/May via remote written procedure, and addressed the most urgent matters concerning the 2020-2022 Work Programme, including a recommendation to include the drafting, jointly with the United Nations Commission on International Trade Law (UNCITRAL), of a Model Law on Warehouse Receipts as a new project with high priority status, and to upgrade the level of priority of two projects (Best Practices for Effective Enforcement and Digital Assets and Private Law). For additional information on the 99th session (A), see the [Governing Council Report](#).

Professor Maria Chiara Malaguti, the then newly appointed President of UNIDROIT, chaired the second meeting held in hybrid format from 23 to 25 September 2020.

The Governing Council praised the work done by the Secretariat notwithstanding the difficult context created by the pandemic, and showed satisfaction at the UNIDROIT Foundation for its improved performance. When discussing the draft Budget for 2021, the Council commended the positive impact of cost-saving measures and management efficiencies.

The Council was also presented with a progress report on the work undertaken by the Secretariat in response to the COVID-19 pandemic: the preparation of a series of guidance documents regarding how COVID-19 affects a number of leading UNIDROIT instruments and how they can help overcome the challenges deriving from the pandemic and its economic consequences, as well as related outreach initiatives.

The Governing Council adopted three instruments during its 99th session:

¹ This report covers the activities of UNIDROIT from 1 January to 31 December 2020.

² Composition of the Governing Council (2019-2023): Stefania Bariatti (Italy), Hans-Georg Bollweg (Germany), Baiba Broka (Latvia), Yusuf Çalışkan (Turkey), Alfonso-Luis Calvo Caravaca (Spain), Eugenia G. Dacoronia (Greece), Bénédicte Fauvarque-Cosson (France), Eesa Allie Fredericks (South Africa), Henry D. Gabriel (United States of America), Arthur S. Hartkamp (Netherlands), In-Ho Kim (Republic of Korea), Hideki Kanda (Japan), Patrick Kilgariff (United Kingdom), Alexander S. Komarov (Russian Federation), Antti T. Leinonen (Finland), Ricardo Lorenzetti (Argentina), Niklaus D. Meier (Switzerland), Attila Menyhárd (Hungary), José Antonio Moreno Rodríguez (Paraguay), Monika Pauknerová (Czech Republic), Kathryn Sabo (Canada), Jorge Sánchez Cordero Davila (Mexico), Luc Schuermans (Belgium), Shi Jingxia (People's Republic of China), Carmen Tamara Ungureanu (Romania).

- the [ELI-UNIDROIT European Rules of Civil Procedure](#);
- the UNCITRAL, HCCH and UNIDROIT [Tripartite Legal Guide to Uniform Instruments in the Area of International Commercial Contracts \(with a focus on sales\)](#); and
- the [Legal Guide on Agricultural Land Investment Contracts](#).

For additional information on the 99th session (B) see the [Governing Council Report](#).

3. Finance Committee

The Finance Committee³ met remotely three times in 2020. The 88th session of the Finance Committee was originally called as a physical meeting, to be held at the seat of UNIDROIT on 19 March 2020. However, the outbreak of the COVID-19 virus, led the Secretary-General to adopt a remote written procedure to ensure the timely approval of the administrative documents. The 89th session was an extraordinary session called by the Chair on 25 June 2020 to discuss the impact of the outbreak of the COVID-19 on UNIDROIT's activities and on its finances, and to allow the Secretariat to provide the Finance Committee with an update regarding the measures taken to address the pandemic. The meeting was held via Zoom, as was the 90th session on 22 October 2020. The Committee made recommendations on various financial issues later submitted for consideration by the General Assembly.

4. General Assembly

The 79th session of the General Assembly of UNIDROIT was held via videoconference on 17 December 2020. Professor Jorge Sánchez Cordero Dávila (Mexico) - Member of the UNIDROIT Governing Council was appointed, by acclamation, as Chairperson of the General Assembly 2020-2021. The Secretary-General outlined the activities and accomplishments of the Organisation in 2020.

The General Assembly approved the recommended amendments to the Work Programme of the Organisation for the 2020-2022 triennium.

During the debate on financial issues, the General Assembly approved the Accounts for the 2019 financial year as well as the adjustments to the 2020 Budget. The General Assembly also adopted the draft Budget for 2021. For additional information see the 79th [General Assembly Report](#).

5. Secretariat

On 31 December 2020, the Secretariat was made up of 20 members, of which eight Professional staff and twelve General Services staff (administrative assistants, librarians, secretarial staff and technical support staff). The Secretariat was also assisted by three legal consultants.

B. DIPLOMATIC CONFERENCES, STUDY GROUPS AND EXPERT COMMITTEES

The following meetings were organised by the Institute in 2020:

1. Digital Assets and Private Law

Five meetings of the Exploratory Working Group were held between July and September 2020 (9 July, 22 July, 10 August, 24 August, and 2 September).

An Exploratory Workshop was organised on 17 – 18 September 2020 and the first meeting of the Working Group took place in a hybrid manner on 17 - 19 November 2020.

³ Composition of the Finance Committee (2018-2020): Brazil, Canada, China, France, Germany, India, Indonesia, Iran, Italy, Japan, Mexico, Republic of Korea, Russian Federation, Spain, Switzerland, the United Kingdom and the United States of America.

2. Model Law on Factoring

Working Group, first session (remote, 1 – 3 July 2020) and second session (remote, 14 – 16 December 2020).

Informal Conflict of Laws Group, first videoconference (4 August 2020) and second videoconference (26 October 2020).

3. Best Practices for Effective Enforcement

Working Group, first session (remote meeting, 30 November – 1 December 2020).

4. Model Law on Warehouse Receipts

Joint UNIDROIT/UNCITRAL Webinar on a Model Law on Warehouse Receipts Project (remote, 26 March 2020).

Working Group, first session (remote, 2 – 4 December 2020).

First meeting of the UNIDROIT Working Group on a Model Law on Warehouse Receipts Drafting Committee (remote, 22 December 2020).

5. Agricultural Land Investment Contracts

Drafting committee meeting for finalisation of the Legal Guide on Agricultural Land Investment Contracts (hybrid meeting, 2 – 3 March 2020).

6. Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agricultural and Construction Equipment

Preparatory Commission for the Establishment of the International Registry for MAC Equipment pursuant to the MAC Protocol, first session (remote, 21 – 22 May 2020) and second session (remote, 10 – 11 December 2020).

Preparatory Commission for the Establishment of the International Registry for MAC Equipment pursuant to the MAC Protocol, Regulations Working Group, first session (remote, 14 – 15 September 2020) and second session (remote, 24 November 2020).

Preparatory Commission for the Establishment of the International Registry for MAC Equipment pursuant to the MAC Protocol, Registrar Working Group, first session (remote, 4 November 2020).

C. RELATIONS WITH MEMBER STATES AND OTHER GOVERNMENTS

On 31 December 2020 UNIDROIT had 63 Member States: Argentina, Australia, Austria, Belgium, Bolivia, Brazil, Bulgaria, Canada, Chile, China, Colombia, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Egypt, Estonia, Finland, France, Germany, Greece, Holy See, Hungary, India, Indonesia, Iran, Iraq, Ireland, Israel, Italy, Japan, Latvia, Lithuania, Luxembourg, Malta, Mexico, Netherlands, Nicaragua, Nigeria, Norway, Pakistan, Paraguay, Poland, Portugal, Republic of Korea, Republic of Serbia, Romania, Russian Federation, San Marino, Saudi Arabia, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, Tunisia, Turkey, United Kingdom, United States of America, Uruguay and Venezuela.

The Secretariat continues consultations with several non-Member States with a view to their possible accession to the UNIDROIT Statute. Due to the limitations imposed by the COVID 19 pandemic, mission travel and visits to UNIDROIT's Seat by Government Officials were considerably fewer than in an ordinary year. Bilateral and multilateral exchanges with Government officials, plentiful both with Member and with non-Member States, took place through videoconference. Exceptionally, the following in-person meetings took place:

On 8 January 2020, the Ambassador of the State of Qatar in Italy, His Excellency Mr Abdulaziz Bin Ahmed Al Malki Al Jehani, visited UNIDROIT to deposit Qatar's instrument of accession to the Convention on International Interests in Mobile Equipment (Cape Town Convention) and to its Protocol on Matters specific to Aircraft Equipment (Aircraft Protocol).



From the 22nd to the 24th of February 2020, the UNIDROIT Secretary-General Ignacio Tirado attended various meetings organised by the Mexican government to present the 2020-2022 Work Programme and the progress made on a number of UNIDROIT instruments, such as the 1995 Convention on Stolen or Illegally Exported Cultural Objects and the work on legal issues related to Private art collections. He also presented the recent developments

regarding two Protocols to the Convention on International Interests in Mobile Equipment (Cape Town Convention) on Matters specific to Aircraft Equipment (Aircraft Protocol) and Mining, Agricultural and Construction Equipment (MAC Protocol). The Mexican officials expressed their intention to continue collaborating. More information on these events are available [here](#).



In June 2020, UNIDROIT signed a Memorandum of Understanding (MoU) with the Ministry of Commerce of the People's Republic of China on the sponsoring of China-funded Junior Professional Officers.

On 1st October 2020, the then Secretary of State Michael R. Pompeo signed the Protocol to the Cape Town Convention on Matters specific to Mining, Agricultural and Construction Equipment (MAC Protocol) at a ceremony held at UNIDROIT Headquarters in Rome. Mr Pompeo, jointly with Ambassador Kip



Tom of the United States Mission to the UN Agencies in Rome, was received by UNIDROIT President Maria Chiara Malaguti, UNIDROIT Secretary-General Ignacio Tirado, Deputy Secretary-General Anna Veneziano, and Principal Legal Officer and Treaty Depositary Marina Schneider. Secretary Pompeo, upon signing the Protocol, stated that "easier access to finance for critical global economic assets like this machinery will give real opportunity to troubled people all across the world, as the world climbs out of this economically challenging time."



D. COORDINATION BETWEEN ORGANISATIONS INVOLVED IN THE FORMULATION OF PRIVATE LAW RULES

1. Hague Conference of Private International Law (HCCH)

On 3 – 4 March 2020, Deputy Secretary-General Anna Veneziano represented UNIDROIT in the HCCH Council on General Affairs and Policy held in The Hague.

2. United Nations Commission on International Trade Law (UNCITRAL)

On 6 and 7 July 2020, UNIDROIT participated in the 53rd session of the UNCITRAL Commission as an observer. Deputy Secretary-General Anna Veneziano represented the Institute at the discussion on the adjustments and adoption of the draft Tripartite Legal Guide to Uniform Instruments in the Area of International Commercial Contracts (with a focus on sales). Secretary-General Ignacio Tirado spoke on the second day on matters concerning UNIDROIT and UNCITRAL common projects and

cooperation, including proposed joint work on warehouse receipts, and joint work on the legal taxonomy of digital assets.

On 14 February 2020, UNIDROIT, UNCITRAL and Confcámaras of Colombia co-sponsored a workshop on the [Implementation of Secured Transactions Reforms in Latin America](#) in Cartagena, Colombia, hosted by the Universidad de los Andes. The Secretary of UNCITRAL Anna Joubin-Bret, Secretary-General of UNIDROIT Ignacio Tirado and Mahesh Uttamchandani (Practice Manager, World Bank Group) opened the workshop in the course of which the Model Law on Secured Transactions, the Guide to Enactment and the Practice Guide as well as the Cape Town Convention on International Interests in Mobile Equipment, particularly the Mining, Agricultural and Construction Equipment Protocol (Pretoria MAC Protocol) were presented in a panel with participation of Deputy-Secretary General Anna Veneziano, Jae Sung Lee (UNCITRAL), John Wilson (WBG), and Néstor Santiago Arévalo Barrero (Ministry of Commerce, Industry and Tourism). The morning session was closed by a statement of the Colombian Minister of Justice Margarita Lenor Cabello. The afternoon was devoted to break-out sessions, *inter alia* on factoring (with participation of Governing Council Member Henry Gabriel) and on Contract Farming and Warehouse Receipt Financing, with participation of Deputy Secretary-General Anna Veneziano, Marek Dubovec (NatLaw) and Paula Zuleta (Colombian Ministry of Agriculture).



On 10 – 11 March 2020, UNCITRAL hosted the second session of [Joint Workshops](#), co-organised with UNIDROIT, to develop a legal taxonomy of key emerging technologies and their applications (including Artificial Intelligence, Data transactions, Digital Assets, and Distributed Ledger Technology).

On 26 March 2020, UNIDROIT and UNCITRAL co-organised a [webinar](#) to discuss with a broader expert audience the proposal of developing an international Model Law on Warehouse Receipts.

3. UNIDROIT, UNCITRAL and the HCCH

The customary co-ordination meeting between the Secretariats of the HCCH, UNCITRAL and UNIDROIT was organised by the HCCH and took place virtually on 28 May 2020, with the participation of the Secretary-Generals of HCCH and UNIDROIT, the Secretary of UNCITRAL, and other officers of the three organisations. The meeting discussed, among other things, the current joint projects and new topics for future cooperation among the three “sister” organisations. The next meeting was scheduled to take place in 2021, hosted by UNCITRAL.

On 22 September 2020, on the eve of the second meeting of the 99th Governing Council session, the UNIDROIT Secretariat hosted a hybrid international conference illustrating the contribution of HCCH, UNCITRAL and UNIDROIT to the harmonisation of the law of international sales and the Tripartite Legal Guide to Uniform Instruments in the Area of International Commercial Contracts (with a focus on sales), with participation, among others, of representatives of the three organisations, the experts who assisted in the drafting of the Guide and Governing Council Members as speakers and attendees. The video recording is available at UNIDROIT’S [YouTube channel](#). For more information see below page [27](#).

On 12-13 November 2020, UNIDROIT partnered with the HCCH, and UNCITRAL, to sponsor a conference entitled “International Commercial Litigation: Recent Developments and Future Challenges, organised by the Judicial Policy Research Institute (JPRI) and held in Seoul, Korea. The conference, which benefitted from the remote participation of Secretary-General Ignacio Tirado and Deputy Secretary-General Anna Veneziano, addressed a number of topical issues, including “Modernizing and Harmonizing International Commercial Contracts”, “Secured Transactions and Insolvency”, and “Recognition and Enforcement of Foreign Judgments”. The video recordings are available at JPRI’s [YouTube channel](#).

E. COOPERATION WITH OTHER INTERNATIONAL AND REGIONAL ORGANISATIONS

The Institute was represented at several meetings organised by other international organisations throughout the year, including: the European Bank for Reconstruction and Development (EBRD),

the European Law Institute (ELI), the Food and Agriculture Organization of the United Nations (FAO), the Intergovernmental Organisation for International Carriage by Rail (OTIF), the International Bar Association (IBA), the International Chamber of Commerce (ICC), the ICC Commission on Law and Practice of International Commercial Law, the International Centre for the Study of the Preservation and Restoration of Cultural Property (ICCROM), the International Fund for Agricultural Development (IFAD), the International Insolvency Institute, INTERPOL, the International Training Centre of the International Labour Organisation (ITC ILO), the Kozolchyk National Law Center (NatLaw), the National Law Center for Inter-American Free Trade, the Organization of American States (OAS), the Organisation for Economic Co-operation and Development (OECD), the Union Internationale des Avocats (UIA), the United Nations Economic Commission for Europe (UNECE), the United Nations Educational, Scientific and Cultural Organization (UNESCO), the World Bank Group and the World Customs Organization (WCO).



The following are only some representative examples of cooperation between the Secretariat and other international organizations:

1. **United Nations**

In a [letter](#) addressed to the Secretary-General of the United Nations, António Guterres, President Maria Chiara Malaguti and Secretary-General Ignacio Tirado reiterated UNIDROIT's commitment to the fundamental values of multilateralism on the occasion of the 75th anniversary of the UN.



2. **ICCROM**



The President also extended her congratulations to ICCROM on its 60th anniversary of Italy's accession to ICCROM, formally established through the ratification of the Headquarters Agreement. In joining the other authorities in her congratulatory message, Professor Malaguti recalled the longstanding collaboration between the two institutions which was formalised in a Memorandum of Understanding signed in 2015. She reiterated UNIDROIT's intention to maintain and strengthen such a collaboration in the future.

3. **Asia Pacific Economic Cooperation (APEC)**

APEC provides a valuable forum through which UNIDROIT can engage with 21 member economies across the Asia-Pacific region. UNIDROIT has enjoyed formal observer status with the APEC Economic Committee since 2016. In 2020, UNIDROIT continued to engage with the APEC forum, and has been granted formal Guest Status with the APEC Economic Committee for 2020 – 2022.

Between 15 – 17 February 2020 UNIDROIT participated in several APEC-related meetings in Putrajaya, Malaysia. On 15 February, Senior Legal Officer William Brydie-Watson presented on "UNIDROIT instruments that support access to credit" at a Workshop for APEC Member Economies on the World Bank's Ease of Doing Business Index. The presentation focused on how the Cape Town Convention and its Protocols (including the recently adopted MAC Protocol) could facilitate access to credit in the Asia-Pacific region.



4. Organization of American States (OAS)

On 13 July 2020, Deputy Secretary-General, Professor Anna Veneziano, participated as a panellist in a webinar organised by the OAS discussing mechanisms for the improvement of access to credit in a post COVID-19 economy. Fellow panellists included Valeria Piñeiro (Economist, Markets, Trade, and Institutions Division, International Food Policy Research Institute, Washington, D.C), Jeannette Tramhel (Senior Legal Officer, Department of International Law, Secretariat for Legal Affairs, OAS), and Marek Dubovec (Executive Director, NatLaw, Tucson, Arizona). Dante Negro (Director, Department of International Law, Secretariat for Legal Affairs, OAS) moderated the session and provided the closing remarks. The webinar addressed various topics of common interest, including the MAC Protocol to the Cape Town Convention, Warehouse Receipts and the impact of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming on access to credit. For additional information see the [video](#) of the webinar.



5. World Bank Group and Kozolchik National Law Center (NatLaw)



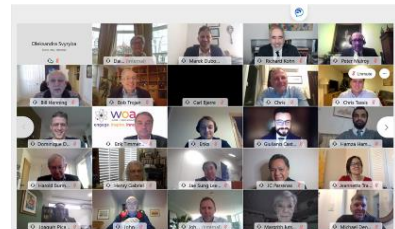
On 30 April 2020, Secretary-General Ignacio Tirado was invited to participate in an online forum entitled "Toward Coordinated Implementation of International Standards for Secured Transactions" co-organised by NatLaw and the World Bank Group. After an introduction by the organisers Elaine MacEachern (Sr. Credit Infrastructure Specialist, World Bank Group) and Marek Dubovec (Executive Director, NatLaw), both Professor Tirado and UNCITRAL

Secretary Anna Joubin-Bret provided their views as head of International Standard Setters. The following programme addressed the efforts to modernise secured transactions frameworks through effective implementation of international standards, including speakers from both governmental and intergovernmental agencies, as well as the private sector and academia.

On 8 December 2020, UNIDROIT participated in an international virtual forum organised by the World Bank Group and the NatLaw entitled "Toward a Coordinated Implementation of International Standards on Receivables Finance". The event was opened by Secretary-General Professor Ignacio Tirado, and brought together international standard-setters including UNCITRAL, government representatives, academics, international development organisations, and the largest global associations of factors and asset-based lenders. The event highlighted the importance of bringing together stakeholders to ensure coordinated development, promotion and implementation of



international standards, particularly the 2001 United Nations Convention on the Assignment of Receivables in International Trade and the UNIDROIT project to develop a [Model Law on Factoring](#). The global industry associations committed to work jointly towards developing a modern Model Law on Factoring and implementing existing UNCITRAL standards on secured transactions. Hamza Hameed (Legal Consultant at UNIDROIT) also participated in the event.



6. *European Bank for Reconstruction and Development (EBRD)*

On 14 October 2020, Secretary-General Ignacio Tirado participated in a Webinar organised by the European Bank for Reconstruction and Development (EBRD) focussing on the cost of possible missed opportunities to implement reform in the midst of the COVID-19 crisis. As the COVID-19 pandemic continues to trigger serious economic impacts in both the developed and emerging markets, the expert panel was invited to discuss the implications of the pandemic in terms of demographic and economic changes, the effects of a potential wave of insolvency, and the effects on the most vulnerable economic players, to provide arguments as to why legislators should seize the opportunity to introduce reforms. Secretary-General Tirado highlighted how, given the enhanced political will, this situation can be turned into an opportunity to undertake a thorough modernisation of existing legal frameworks, which is to be undertaken alongside investments both in capacity building and in improvement of the legal infrastructure, specially through IT upgrades.



7. *International Bar Association (IBA)*

On Tuesday 14 July 2020, Legal Officer Priscila Pereira de Andrade represented UNIDROIT at a webinar entitled "COVID-19: the Impact of lockdown on international agricultural trade" organised by the IBA Agricultural Law Committee and the Ukrainian Bar Association, and supported by the IBA European Regional Forum, the IBA International Commerce and Distribution Committee and the IBA International Trade and Customs Law Committee. The webinar was aimed at, among others, providing insight on the new challenges faced by agricultural business and the international regulation of trade.



On 3 September 2020, Secretary-General Ignacio Tirado spoke in a [webinar](#) organised by the IBA Arbitration Committee, IBA European Regional Forum, IBA International Commerce and Distribution Committee, IBA Litigation Committee and supported by the IBA Corporate and M&A Law Committee, on "COVID-19 and UNIDROIT Principles of International Commercial Contracts Solutions".

8. *International Law Association (ILA)*

On 23 October 2020, Deputy Secretary-General Anna Veneziano participated in the Annual International Law Weekend (ILW), sponsored by the American Branch of the ILA. She presented UNIDROIT's past contributions and future projects in a questions and answers panel entitled "The Challenge of Globalizing Private International Law", co-moderated by Professors Louise Ellen Teitz and Ronald Brand.

9. *Other intergovernmental and non-governmental cooperations*

On 12 – 13 February 2020 UNIDROIT participated in the third Conference on "[International Coordination of Secured Transaction Law Reforms: Advancing Reforms Globally and in Latin America](#)



[Through Enhanced Coordination](#)" in Cartagena, Colombia. Co-sponsored by NatLaw, the International Insolvency Institute, the hosting institution Universidad de los Andes, and Universidad Externado of Colombia, the Conference brought together representatives from UNCITRAL, UNIDROIT, OAS, EBRD, the World Bank, IFC and NatLaw as well as leading academics to advance the discussions of the prior two conferences (9 – 10 February 2017 at the University of

Pennsylvania Law School, and 16 – 17 October at Universidad Carlos III de Madrid).

F. COOPERATION WITH ACADEMIC INSTITUTIONS

1. Meetings and conferences

Several members of the Secretariat participated in numerous meetings and conferences at academic institutions. The following are only some representative examples:

On 31 January 2020, the Secretary-General and the Deputy Secretary-General participated in the International Advisory Board Meeting of the **Queen Mary – UNIDROIT Institute of Transnational Commercial Law**.



The 10th edition of the Master of Laws in International Trade Law, an LLM programme co-organised by the **International Training Centre of the International Labour Organization (ITC-ILO)**, the University of Turin and the University Institute of European Studies (IUSE) in cooperation with the Turin School of Development was inaugurated on 3 February 2020. UNIDROIT has actively participated in

previous editions and formally became a partner organisation in 2019, joining its historical partner UNCITRAL. UNIDROIT is now represented on the Scientific Committee by the Deputy Secretary-General Professor Anna Veneziano.

On 24 February 2020, Secretary-General Ignacio Tirado delivered a presentation entitled “Repensando en Derecho Transnacional” at a conference organised by the **Autonomous Technological Institute of Mexico (ITAM)** Academic Law Department.



On 11 – 12 March 2020, Legal Officer Philine Wehling delivered a lecture on “International Sources of Contract Law: the UNIDROIT Principles of International Commercial Contracts (UPICC)” via video-conference to a group of 23 postgraduate students from the ITC-ILO in Turin. The lecture was part of the 10th edition of the Master of Laws in International Trade Law programme.

On 23 April 2020, Legal Officer Priscila Pereira de Andrade remotely presented UNIDROIT’s work and instruments in the area of Private Law and Agricultural Development to a group of students of the School of Law, **University of Washington (UW)**. The lecture was part of the “Global Development Law & Policy Workshop”, organised by Professor Randi Hedin and held within the “Law of Sustainable Development Graduate Program”.



The [proceedings](#) of the seminar hosted in May 2019 by Professor Alfonso-Calvo Caravaca, Member of the UNIDROIT Governing Council at the **Universidad Carlos III de Madrid** on “UNIDROIT and the Codification of International Private Law”, were published in April 2020. To mark the occasion, presentations were made by Spanish experts and UNIDROIT Secretariat officers on UNIDROIT’s role in unifying international private law and the *lex mercatoria*, the UPICC, the Cape Town Convention and its Protocols and UNIDROIT’s work on Contract Farming and Agricultural Land Investment Contracts.

On 27 May 2020, the Secretary-General and Deputy Secretary-General of UNIDROIT delivered a webinar as part of the 8th Edition of the **LUISS School of Law** Master in Business and Company Law. Professor Ignacio Tirado delivered an introductory lecture on UNIDROIT and its working methods, and Professor Anna Veneziano delivered a lecture on the UNIDROIT Principles of International Commercial Contracts.



On 25 September 2020, **Roma Tre University** and UNIDROIT co-sponsored the 7th Edition of the Annual International Arbitration Lecture as part of the programme of the International Commercial and Investment Arbitration Theory and Practice Certificate, jointly organised by Roma Tre, the Italian Arbitration Association, the International Court of Arbitration, and the Chamber of Arbitration of Milan. The lecture, entitled “Flying Solo: From Arthur Andersen, to Codes of Conduct, to COVID-19 Virtual Hearings”, was delivered by Lucy Reed, President, ICCA Governing Board, and was opened by UNIDROIT President Professor Maria Chiara Malaguti and Professor Andrea Zoppini, Faculty of Law, Roma Tre University.

On 11 November 2020, Senior Legal Officer Carlo Di Nicola delivered a presentation via webinar to the LL.M. students at the **Commercial Law Centre University of Nottingham School of Law**. The presentation provided an overview of the Institute’s history, its membership, and its working methods, as well as a number of its instruments in the realm of international commercial law, including the UPICC.



2. **Cooperation agreements**

In 2020, UNIDROIT signed two cooperation agreements to expand and enhance cooperation and collaboration with academic institutions through the inclusion of UNIDROIT instruments and projects in their relevant academic programs, by means of the organisation of academic seminars, workshops, courses or events to be held at the University, UNIDROIT or online, and by promoting the UNIDROIT Internship and Research Scholarship Programme.

An agreement with the **Shanghai University of Political Science and Law (SHUPL)** was signed on 24 July 2020 and another was signed with the Faculty of Law of the **University of Hong Kong (HKU Law)** on 2 November 2020.

II. LEGISLATIVE ACTIVITIES

A. WORK IN PROGRESS

1. *International Interests in Mobile Equipment*

a. **Depository Functions of UNIDROIT**

- *Cape Town Convention and Aircraft Protocol*

UNIDROIT has been designated as the Depository of the Convention on International Interests in Mobile Equipment (Cape Town Convention) pursuant to Article 62(1) of the [Convention](#) and Article XXXVII(1) of [Aircraft Protocol](#), which both entered into force on 1 March 2006. As at 31 December 2020, there were 82 Contracting States and one Contracting Regional Economic Integration Organisation to the Convention and 79 Contracting States and one Contracting Regional Economic Integration Organisation to the Aircraft Protocol.

Between 1 January and 31 December 2020, Iceland, Qatar and Zambia deposited their instrument of accession to the Convention and to the Aircraft Protocol.

The Ambassador of the State of Qatar in Italy, His Excellency Mr Abdulaziz Bin Ahmed Al Malki Al Jehani, deposited Qatar's instrument of accession to the Cape Town Convention and to the Protocol on Matters specific to Aircraft Equipment on 8 January 2020, with the Secretary-General of UNIDROIT, Professor Ignacio Tirado. The Convention and the Aircraft Protocol entered into force for the State of Qatar on 1 May 2020.

- *Rail Protocol*

UNIDROIT has been designated Depository of the Luxembourg Protocol on matters specific to Railway Rolling Stock pursuant to Article XXXIV(1) of the [Rail Protocol](#). The Luxembourg Rail Protocol was adopted on 23 February 2007 at a Diplomatic Conference held in Luxembourg and has six Signatory States (France, Germany, Italy, Mozambique, Switzerland and United Kingdom), three Contracting States (Gabon, Luxembourg and Sweden) and one Contracting Regional Economic Integration Organisation (the European Union). The Protocol is not yet in force.

- *Space Protocol*

UNIDROIT has been designated Depository of the Protocol on matters specific to Space Assets pursuant to Article XLVIII(1) of the [Space Protocol](#). Adopted on 9 March 2012 at a Diplomatic Conference held in Berlin (Germany) the Space Protocol has four Signatory States (Burkina Faso, Germany, Saudi Arabia and Zimbabwe). The Protocol is not yet in force.

- *MAC Protocol*

UNIDROIT has been designated as Depository of the Protocol on matters specific to Mining, Agricultural and Construction Equipment pursuant to Article XXXVII(1) of the [MAC Protocol](#). Adopted on 22 November 2019 at a Diplomatic Conference held in Pretoria (South Africa) the MAC Protocol has five Signatory States (Congo, Gambia, Nigeria, Paraguay and the United States of America). The MAC Protocol has not yet entered into force.

On 1 October 2020, Secretary of State Michael R. Pompeo signed the MAC Protocol at a ceremony held at UNIDROIT Headquarters in Rome. Mr Pompeo was accompanied by Ambassador Kip Tom of the United States Mission to the UN Agencies in Rome, and received by UNIDROIT President Maria Chiara Malaguti, UNIDROIT Secretary-General Ignacio Tirado, Deputy Secretary-General Anna Veneziano, and Principal Legal Officer and Treaty Depository Marina Schneider.

b. Protocol to the Cape Town Convention on Matters specific to Railway Rolling Stock (Luxembourg Rail Protocol)

- *Group of Experts on Permanent Identification of Railway Rolling Stock*

At its eighty-second session, held on 25 – 28 February 2020, the United Nations Economic Commission for Europe (UNECE) Inland Transport Committee, upon recommendation of its Working Party on Rail Transport (SC.2) approved the establishment of a Group of Experts on the Unique Rail Vehicle Identification System created by the Luxembourg Rail Protocol (URVIS), called the "Group of Experts on Permanent Identification of Railway Rolling Stock" (GE_PIRRS) and its terms of reference. As the Luxembourg Rail Protocol does not determine the way the URVIS number can be permanently fixed on the rolling stock, the Group of Experts was tasked with providing recommendations to solve this challenge, in conformity with current industry practice and anticipating technological and other advances in the future. UNIDROIT joined OTIF and the Rail Working Group (RWG) in participating in the Group of Experts.

The Group of Experts met twice in 2020, on 2 – 4 September 2020 with participation of the Secretary-General and the Deputy Secretary-General (<https://unece.org/1st-session-45>), and on 14 – 15 December 2020 with participation of the Deputy Secretary-General ([2nd meeting](#)).

- *Activities of the Ratification Task Force and the Rail Working Group*

In the course of 2020, the Secretariat continued to actively cooperate with the Intergovernmental Organisation for the for International Carriage by Rail (OTIF), the Co-Chairs of the Preparatory Commission and the Rail Working Group in preparatory work for the setting up of the Supervisory Authority for the operation of the International Registry and in the organisation of promotional activities. The Secretariat continued also to cooperate with other global and regional organisations in order to maximise efforts towards dissemination of information on the advantages of implementing the Protocol.

- *Presentations, seminars and conferences*

On 6 February 2020, Secretary-General Ignacio Tirado delivered a presentation concerning the Luxembourg Rail Protocol to the Land Transport Working Party of the Council of the European Union in Brussels under the Croatian Presidency, at the invitation of the EU Commission DG MOVE.

A workshop addressing the implementation of Secured Transactions Reform, with discussion *-inter alia-* of the Luxembourg Rail Protocol, was organised in Lagos (Nigeria) by Aston University and in cooperation with the Rail Working Group, on 10 March 2020. Secretary-General Professor Tirado and Mr Howard Rosen (Chairman of the Rail Working Group), participated remotely together with Mr Soteri Gatera (UN ECA).



- *Documents on the economic benefits of the Luxembourg Rail Protocol and its impact on sustainable growth*

A number of documents concerning the economic and environmental impact of the Rail Protocol were released in 2020 by the Rail Working Group or prepared for the Rail Working Group:

OXERA, "The Luxembourg Rail Protocol - Its positive impact on the cost of financing railway rolling stock in the UK (A UK-specific update to the 2018 Oxera study)": <http://www.railworkinggroup.org/wp-content/uploads/docs/R0943.pdf>

OXERA, "The Luxembourg Rail Protocol - Its positive impact on the cost of financing railway rolling stock in Africa": <http://www.railworkinggroup.org/wp-content/uploads/docs/R0915.pdf>

RWG, "How the Luxembourg Rail Protocol to the Cape Town Convention will help to battle global climate change": <http://www.railworkinggroup.org/wp-content/uploads/docs/R0929.pdf>

c. Protocol to the Cape Town Convention on Matters specific to Space Assets (Space Protocol)

- *Presentations, seminars and conferences*

On 30 April 2020, Legal Consultant Mr Hamza Hameed delivered a lecture via videoconferencing to the students of the Advanced Master's LLM in Air and Space Law Programme at Leiden University. The lecture focussed on the usefulness of asset-based financing for the space sector and highlighted the provisions of the Space Protocol which facilitate this mechanism.



Between 12 – 14 October 2020, a virtual presentation on 'Asset-based financing for the space sector', focussing on the Space Protocol, was displayed as part of the 33rd IAA Symposium on Space Policy, Regulations and Economics at the 71st International Astronautical Congress 2020. This presentation was jointly delivered by Professor Anna Veneziano (Deputy Secretary-General), Hamza Hameed (Legal Consultant), Oliver Heinrich (Partner at BHO Legal) and Erik Pellander (Research Associate at BHO Legal).

d. Protocol to the Cape Town Convention on Matters specific to Mining, Agricultural and Construction equipment (MAC Protocol)

- *MAC Preparatory Commission*

Pursuant to [Resolution 1](#) of the Diplomatic Conference for the adoption of the MAC Protocol (Pretoria, 11 - 22 November 2019), a Preparatory Commission was established to act as Provisional Supervisory Authority until the Protocol enters into force.

The **Preparatory Commission** operates under the guidance of the Governing Council and General Assembly of UNIDROIT and has three key responsibilities: (i) Selection of a Registrar to operate the MAC Protocol International Registry; (ii) Establishment of a Supervisory Authority; and (iii) Preparation of the first edition of the International Registry Regulations. Customarily, the Preparatory Commission also plays an important role in encouraging States to sign, ratify and implement the Protocol.



- *Meetings of the MAC Preparatory Commission and Working Group*

In 2020, the Preparatory Commission met remotely twice. The first session of the MAC Preparatory Commission was held on 21-22 May 2020 and was attended by 38 participants from eight Member States of the Commission, one observing State, one observing Regional Economic Integration Organisation, three observing organisations, and *ex officio* observers from the Diplomatic Conference. The report for this session can be found [here](#).

To fulfil its mandate, the Preparatory Commission established two Working Groups at its first session to develop draft regulations for the International Registry for MAC equipment (Regulations Working Group which met twice in 2020), and to draft a request for proposals for the selection of a Registrar (Registrar Working Group which met once in 2020).

The second session of the MAC Preparatory Commission was held on 10 and 11 December 2020 and was attended by 33 participants from nine Member States of the Commission, two observing States, two observing organisations, and *ex officio* observers from the Diplomatic Conference. The report for this session can be found [here](#).

- *Presentations, seminars and conferences*

Despite the challenges created by the COVID-19 pandemic, UNIDROIT continued to promote the MAC Protocol at various international, regional, and national forums in 2020:

On 13 February 2020, the Pretoria MAC Protocol was presented in the context of the workshop on the Implementation of Secured Transactions Reforms in Latin America organised by UNCITRAL and UNIDROIT and co-sponsored with Confcámaras of Colombia in Cartagena, Colombia, hosted by the Universidad de los Andes (see above page 14), in a panel with participation of Deputy-Secretary General Anna Veneziano, Jae Sung Lee (UNCITRAL), John Wilson (WBG), and Néstor Santiago Arévalo Barrero (Ministry of Commerce, Industry and Tourism).

On 14 February 2020, UNIDROIT and UNCITRAL co-sponsored with Confcámaras of Colombia a workshop on the Implementation of Secured Transactions Reforms in Latin America in Cartagena, Colombia, hosted by the Universidad de los Andes and with participation as panellists of several experts from academia, private practice, government and international organisations. This conference featured a presentation on the MAC Protocol.

On 30 April 2020, the MAC Protocol was discussed as part of an online forum entitled “Toward Coordinated Implementation of International Standards for Secured Transactions” co-organised by the NatLaw and the World Bank Group.

In the context of the webinar organised by the OAS on 13 July 2020, discussing improvement of access to credit in a post-COVID economy, the Deputy Secretary-General addressed, among other issues, the potential benefits of the MAC Protocol to the Cape Town Convention on access to credit. For more information on this event see above page 13.

On 3 December 2020, UNIDROIT participated in a virtual forum organised by the NatLaw Center which examined the importance of, and opportunities created by the MAC Protocol in Latin America. The Forum featured presentations focusing on the potential of the MAC Protocol for Latin American States by Professor Ignacio Tirado (Secretary-General of UNIDROIT), Rafael Castillo-Tiriana (CEO Latin America and Emerging Markets at The Alta Group), Teresa Rodríguez de las Heras Ballell (Associate Professor in Commercial Law at Universidad Carlos III de Madrid), and Carlos Sierra (Partner at Sierra Abogados).



On 17 December 2020, UNIDROIT, in partnership with the U.S. Mission to the UN Agencies in Rome organised a panel discussion on ‘The Global Reach of the Cape Town Convention: The Potential for the Mining, Agriculture, and Construction (MAC) Sectors’. This panel was an official side-event to the 79th session of the UNIDROIT General Assembly taking place earlier that day. The event brought together over 90 legal experts, government representatives and industry stakeholders to discuss the potential of the Cape Town Convention and its MAC Protocol for the Mining, Agriculture, and Construction sectors in developing and developed countries alike. Opening remarks were delivered by Professor Ignacio Tirado, Secretary-General of UNIDROIT, followed by a panel discussion chaired by Professor Anna Veneziano, Deputy Secretary-General of UNIDROIT. The Panel featured remarks from Advocate Sandea De Wet (Chief State Law Advisor of the Department of International Relations and Cooperation of South Africa, and President of the Diplomatic Conference for the Adoption of the MAC Protocol), Mr Daryl Bouwkamp (Senior Director International Business Development and Government Affairs at Vermeer Corporation, and member of the MAC Working Group), and Professor Henry Gabriel (Professor of Law, Elon University and Member of the Governing Council of UNIDROIT). The panel was followed by a short Q&A session, after which closing remarks were delivered by Ambassador Kip Tom, U.S. Ambassador to the United Nations Agencies in Rome.



2. Private Law and Agricultural Development

a. Legal Guide on Agricultural Land Investment Contracts

- *Approval of the ALIC Guide*

In September 2020, the UNIDROIT Governing Council approved the [Final Draft of the ALIC Guide](#), subject to possible minor adjustments during the process leading to the approval by the partner Organisations (FAO and IFAD).

The ALIC Guide contains seven chapters and is to be used by legal counsels involved in the leasing of agricultural land (e.g. investment contracts, concessions, leases) in order to support the preparation, negotiation and implementation of agricultural land investment contracts that are consistent with the UN Guiding Principles on Business and Human Rights, the Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the context of National Food Security (VGGT), the Principles for Responsible Investment in Agriculture and Food Systems (CFS-RAI Principles) and other international instruments.

The Legal Guide, which is to build upon the success of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming and the UPICC – will not promote large-scale land acquisition but will acknowledge that leases of agricultural land continue to occur and that they present many challenges. In doing so, the ALIC Guide will seek to respond to the need for greater and more responsible agricultural investment by raising awareness about alternative investment models and by helping to ensure that stakeholders' rights, including those of legitimate tenure right holders, are both protected and respected.

- *Working Group*

A UNIDROIT Working Group for the preparation of a Legal Guide on Agricultural Land Investment Contracts was set up in 2016. The Working Group, chaired by Mr José Antonio Moreno Rodríguez, Member of the UNIDROIT Governing Council, was composed of contract law and international investment law experts, representatives of FAO and IFAD as partner organisations, and included observers from other multilateral organisations as well as representatives of agricultural producers and agribusiness. The Working Group held in total two informal meetings in 2016 and 2017, and four formal meetings in 2017 and 2018.

- *Consultations*

To inform the preparation of the final draft, consultation meetings took place in Beijing, São Paulo, Nairobi followed by consultations on Internet (see Annual Report 2019, pp. 21-22). The reports of the consultations held throughout the year of 2019 containing all the comments and recommendations received from the online consultation and the regional consultation events were submitted to the Working Group members in February 2020.

- *Drafting Committee meeting*

The UNIDROIT Secretariat organised, in coordination with FAO and IFAD, a drafting committee meeting on 2 – 3 March 2020. During this meeting, the Secretariat provided a brief overview of the outcome of the consultations and an update on the next steps for finalisation of the ALIC Guide. Members of the drafting committee, including experts from the ALIC Working Group, reviewed each comment and recommendation received on a chapter-by-chapter basis and decided how the various chapters of the ALIC Zero Draft should be accordingly adapted. A number of issues were discussed, such as: (a) terminology; (b) the framing of guidance; (c) the appropriate level of detail; (d) references to other instruments and sources; and (e) revisions of an editorial nature as to clarity of expression and user-friendliness. The Secretariat subsequently revised the ALIC Zero Draft in order to account for the decisions taken by the drafting committee on the basis of the feedback received throughout the consultation process.

b. Legal structure of agricultural enterprises

In the context of UNIDROIT's ongoing collaboration with FAO and IFAD, the project regarding "Legal structure of agricultural enterprises" was selected by the Governing Council at its 98th session to be developed during the 2020-2022 Work Programme. In 2020, the Secretariat conducted a stocktaking exercise and feasibility analysis with respect to the legal structure for investment in agriculture activities, in order to ascertain whether UNIDROIT could make a useful contribution in this field. The feasibility study highlighted that the project could, tentatively, work on the contractual and corporate aspects of the different business models envisaged in FAO's and IFAD's guidance documents, addressing questions to improve access to market, increase the size of agricultural enterprises, ease access to critical resources and insurance, as well as cover unfair commercial practices.

At its 99th session, the Governing Council took note of the feasibility study and authorised the Secretariat to conduct further research and preliminary consultations with a view to convene a colloquium in 2021 and subsequently establish a core expert group to define the potential scope, content, and form of such an instrument.

3. Transnational Civil Procedure

a. ELI-UNIDROIT Model European Rules on Civil Procedure

- *Approval of the ELI-UNIDROIT Model European Rules on Civil Procedure*



The "ELI-UNIDROIT Model European Rules of Civil Procedure" were approved in the second half of 2020 by both organisations as the finalised outcome of the project, which saw the involvement of over fifty experts from different European jurisdictions, observer organisations and additional advisers. The finalised instrument is composed of Model Rules and accompanying Comments and is preceded by a Preamble on the history and development of the project as well as its purpose, methodology and underlying principles. The Model Rules, which are divided into twelve Chapters covering the whole spectrum of civil procedure, aim at offering detailed guidance for further development of legal systems in this sector in Europe and beyond.

As regards the developments during 2020, in accordance with ELI's practice the draft of the black-letter Rules and Comments in English prepared in the course of 2019 by a Final Drafting Team was submitted in January 2020 to the scrutiny of two ELI Assessors in order to obtain their comments. UNIDROIT and ELI simultaneously circulated the draft to the ELI Senate, Advisory Committee, Membership Consultative Committee, as well as to the Members of the UNIDROIT Governing Council.

The revised version of the black-letter Rules and Comments in English resulting from the round of comments was submitted to the ELI Executive Council on 3 June 2020 for approval, accompanied by the French version of the black-letter Rules, as well as a separate document containing articulated replies to the issues raised by the ELI Assessors and other commentators.

The draft was approved by the ELI Council on 15 July 2020 and following ELI's rules of procedure also by the ELI Membership through electronic vote on 5 August 2020.

Finally, the ELI-UNIDROIT Model European Rules of European Civil Procedure were submitted to the UNIDROIT Governing Council, at its second meeting of its 99th session on 23 – 25 September 2020, when they were formally approved and authorised for publication.

- *Linguistic versions and publication*

The UNIDROIT Secretariat provided the translation of the comments of the French version of the ELI-UNIDROIT Model Rules. As to other linguistic versions, ELI and UNIDROIT agreed on a procedure for the joint approval of translations of the Model Rules.

ELI and UNIDROIT also signed a Memorandum of Understanding concerning the publication of the Rules, whereby the ELI undertook to provide for open-access publication by Oxford University Press in 2021.

- *Presentations, seminars and conferences*

The ELI-UNIDROIT Rules were featured in a number of conferences, lectures and other dissemination events. Those with the participation of UNIDROIT representatives included:

On 30 March 2020, the ELI-UNIDROIT project on European Rules of Civil Procedure was presented in a lecture at the IE Law School (Madrid), featured as part of the Jean Monnet Chair in European Civil Procedure, under the direction of Professor Marco de Benito. The lecture was conducted by the Deputy Secretary-General and Fernando Gascón Inchausti (Professor at Universidad Complutense, Madrid and Co-Reporter within the ELI-UNIDROIT Project), with active remote participation of enrolled students from various jurisdictions.



On 10 September 2020, the ELI-UNIDROIT Rules were presented at a webinar organised by ELI in the context of its virtual 9th Annual Conference. The Programme included an introduction by Co-Chairs Diana Wallis and Deputy Secretary-General Anna Veneziano, and presentations by Paul Oberhammer, Rolf Stürner and John Sorabji, followed by external discussant Marco De Benito.

The Rules were also presented on 25 September 2020, in an International Workshop webinar that was held in hybrid format as a closing event of the 99th session of the UNIDROIT Governing Council. The event was opened by President Maria Chiara Malaguti, Secretary-General Ignacio Tirado and ELI President Christiane Wendehorst, and featured three panels, respectively on: the History of the Project and an Introduction to the Rules, Party Disposition and Case Management, and the Rules as a Model for Supranational and National Legislators.



b. Best Practices for Effective Enforcement

- *Development of the project*

The project on Best Practices for Effective Enforcement (BPEE) was included in the 2020-2022 Work Programme by the General Assembly ([A.G. \(78\) 12](#), paras. 41 and 51, and [A.G. \(78\) 3](#)), confirming the recommendation of the Governing Council ([C.D. \(98\) 17](#), para. 245), upon a proposal of the World Bank, and as a continuation, and a refinement, of the scope of the “Principles of Effective Enforcement” project. While there was substantial agreement on the importance of the topic and on the legal, social and economic impact of the work to be conducted, the Secretariat was asked to produce a more refined scope of the project to be presented at the 99th session of the Governing Council in 2020.

During the first part of that session, held remotely in April/May 2020, Council Members commented on the revised Secretariat’s paper ([C.D. \(99\) A.3](#)), and authorised the setting up of an Exploratory Working Group to receive expert feedback on the questions raised ([C.D. \(99\) A.8](#), paras. 43-44).

In response to this mandate, the UNIDROIT Secretariat developed a Consultation Document containing a set of questions based on the comments received during the session. The document was designed to better define the most appropriate guidance for the future Working Group in determining the type of envisaged instrument and the scope of the project, and formed the basis for a first round of remote consultations with selected international experts and organisations. The Secretariat received answers and feedback from several individual experts in comparative civil procedure, secured transactions, insolvency, contract law, and technology as applied to law, and from a number of intergovernmental and international organisations.



In addition, on 21 September 2020 the Secretariat organised an Internal Consultation Workshop with participation of invited experts, relevant organisations and members of the Governing Council, which focused on issues of scope of the future instrument and the impact and relevance of technological developments for enforcement. The Workshop was opened by Secretary-General Ignacio Tirado and Nina Pavlova Mocheva (Senior Financial Sector Specialist, Finance, Competitiveness & Innovation Global Practice, World Bank Group), and featured one panel on issues of scope, chaired by Governing Council Member Kathryn Sabo, as well as a panel on the impact of new technologies on enforcement.

At the September meeting of its 99th session (23 – 25 September 2020), the Governing Council discussed the revised Secretariat's document including the outcome of the consultations (C.D. (99) B.3), approved the guidelines provided by the Secretariat regarding the proposed scope of the project, confirmed the high priority status assigned to the project, and authorised the establishment of a Working Group.



- *Working Group*

The first meeting of the Working Group on BPEE was held in Rome and on Zoom between 30 November and 2 December 2020, and was chaired by Governing Council Member Kathryn Sabo. The Working Group focused, for the most part, on the more precise determination of scope of the project, as well as on methodology and organisational issues, and discussed the impact of technology in enforcement.

For more information, see the documents ([Study LXXVIB – W.G.1 – Doc. 1 rev.](#); [Study LXXVIB – W.G.1 – Doc. 2](#)) and the [Report](#) of the first Working Group session.

- *Presentations, seminars and conferences*

On 12 November 2020, UNIDROIT's work in the field of transnational civil procedure, including the project on BPEE, was presented in the context of the conference co-sponsored by UNIDROIT, the HCCH, and UNCITRAL, on "International Commercial Litigation: Recent Developments and Future Challenges", organised by the Judicial Policy Research Institute (JPRI) and held in Seoul, Korea (see above page [11](#)). In particular, Deputy Secretary-General Professor Anna Veneziano participated in a panel moderated by Professor Hwang Hyun Suk, on "Recognition and Enforcement of Foreign Judgments", together with Justice David Goddard (New Zealand Court of Appeal) and Presiding Judge Jiyong Jang. The panel was followed by a discussion with the additional participation of Professor Gyoocho Lee and Judge Yoon Jong Kim.

4. Model Law on Warehouse Receipts

- *Development of the project*

The proposal of developing a joint instrument had originated from an invitation from UNCITRAL's Secretariat to consider joint work on warehouse receipts, pursuant to a resolution from its 52nd Commission Session in July 2019. UNCITRAL's invitation could be contextualised in the long-standing relationship between both institutions, their expertise on topics relevant to warehouse receipts, and the project's adequate fit within their current work programmes.

Warehouse receipts are titles to commodities stored in a warehouse. The holder of the title can exercise ownership over the commodities, and is therefore able to access financing by either transferring the commodities without the commodities moving out of the warehouse, or by way of establishing a security right over the title. This is a very important instrument from a practical standpoint, as it drastically enhances the possibility, especially of small businesses in the agricultural sector, to access finance.

It is envisaged that the Model Law shall consist of a set of black letter rules covering the private law aspects of a warehouse receipt system. Importantly, the Model Law will contemplate the issuance and transfer of electronic warehouse receipts, including through the use of electronic platforms, distributed ledger technology systems, and other technological mechanisms. It is anticipated that it will be a standalone instrument for adoption by States seeking to reform their national legislation. As all UNCITRAL and UNIDROIT instruments, the Model Law shall be compatible with both common law and civil law jurisdictions.

At the first meeting of the 99th session of the Governing Council held in April/May 2020, the Secretariat introduced the proposal to include the joint project to draft a Model Law on Warehouse Receipts as a new item in the 2020-2022 Work Programme. The Governing Council unanimously approved the project with high priority status for the 2020-2022 Triennial Work Programme ([C.D. \(99\) A.8](#)), followed by UNCITRAL's Commission at its 53rd session in September 2020 ([UN Doc. A/75/17](#)), and the UNIDROIT General Assembly at its 79th session in December 2020 ([A.G. \(79\) 10](#)).

- *Working Group*

Following approval of the project, a Working Group was established. It is composed of ten expert members from different legal systems and geographical regions, as well as six international organisations and eleven public and private sector stakeholders participating in the project as observers.⁴ It is chaired by Professor Eugenia Dacornia, Member of the UNIDROIT Governing Council.

The Working Group held its first session on 2 – 4 December 2020 in a hybrid format. The Group's discussions were guided by an Issues Paper which had been prepared by the Secretariat, in collaboration with several Working Group members, where the key elements that should be covered by the Model Law were analysed. These elements included the following: definitions; legal status and format of warehouse receipts; receipt details and form; transfer of warehouse receipts and their registration; execution and priority of security rights and liens; and rights and obligations of the warehouse operator. Furthermore, the Issues Paper provided a brief overview of the relevant international instruments that the Working Group should take into consideration for the preparation of the Model Law.

Following that first session, the Secretariat set up a Drafting Committee which prepared two suggested draft chapters for the future Model Law, namely Chapter II "Issue of a warehouse receipt", which also included draft provisions on the form and content requirements for a warehouse receipt, and Chapter IV "Transfer of warehouse receipts. Protected holders and other transferees. Warranties. Miscellaneous provisions regarding transfer". In addition, an informal subgroup on selected technological aspects was created, which collected information on the transfer of electronic warehouse receipts and, more broadly, on possible legislative approaches to addressing both paper and electronic receipts in the Model Law provisions. The two suggested draft chapters and the technology-specific information were to be presented to the Working Group at its second session held on 10 – 12 March 2021.

- *Presentations, seminars and conferences*



On 26 March 2020, UNIDROIT and UNCITRAL co-organised a [Webinar on a Model Law on Warehouse Receipt Project](#) to discuss the proposal of legislative joint work on warehouse receipts, with particular focus on the possible drafting of a Model Law. The Webinar gathered input from a multidisciplinary group of experts from various geographic regions representing academia, stakeholders, institutional actors, and international organisations active in the field. The [conclusions and recommendations of the Webinar](#) confirmed the desirability and potential benefits of a Model Law that would be endorsed at the international level.

⁴ The list of Working Group members and observers can be found at UNIDROIT, Work in Progress – Model Law on Warehouse Receipts, available at <https://www.unidroit.org/work-in-progress/model-law-on-warehouse-receipts>.

5. UNIDROIT Principles of International Commercial Contracts

a. Formulation of Principles of Reinsurance Contracts

- *Development of the project*

The continuation of the project on Principles of Reinsurance Contract (PRICL), which links reinsurance contracts with the UPICC, was approved by the UNIDROIT General Assembly in 2019 as part of the Work Programme 2020-2022, upon the recommendation of the Governing Council to accept the proposal by the PRICL Working Group for an extension of the subject matter covered by the project. The second part of the project will comprise the following topics: “Back-to-back-cover”; “Non-contractual liability clauses”, “Termination and recapture”, and “Limitation periods”. UNIDROIT’s continued participation was envisaged because of the strong connection between these topics and the UPICC.

Though 2020, full meetings of the PRICL Working Group were adjourned because of the COVID-19 pandemic, the Working Group members continued their activity, including the publication of private translations of the 2019 PRICL into Japanese, Polish, Spanish and Turkish. These translations can be found at <https://www.ius.uzh.ch/de/research/projects/pricl/Private-Translations.html>.

- *Presentations, seminars and conferences*



On 17 September 2020, AIDA Europe, in cooperation with the PRICL Project Group, the AIDA Reinsurance Working Party, and the AIDA Dispute Resolution Working Party set up a PRICL Mock Arbitration to examine the application of the PRICL in a live environment with arguments presented by the parties in front of a single arbitrator and with a legal opinion for the case, rendered

by an Advocate General. The documentation, as well as access to the recording, can be found at <https://aidainsurance.org/meetings/pricl-mock-arbitration-2020-09-17>.

On 9 October 2020, Secretary-General Ignacio Tirado provided a closing speech at the “II International Congress of Insurance Law”, which was co-hosted by ICADE Business School, the Faculty of Economics and Business Administration at Comillas Pontifical University and the Uria-Menendez Law firm (Madrid, Spain). Professor Tirado contributed with a presentation on Restatement over Reinsurance Contracts, where he illustrated the PRICL project.



- *Publications*

The presentations of the panel that was held on 10 May 2019 as a closing event to the 98th session of the UNIDROIT Governing Council were published in March 2020 in the Uniform Law Review, [volume 25](#).

b. UNILEX database

The UNILEX database, with Michael Joachim Bonell, Emeritus Professor, University of Rome I, as Editor-in-Chief, is accessible free of charge directly at www.UNILEX.info as well as on the UNIDROIT website. It has two sections, one on the UPICC (Co-Editor-in-Chief: Eleonora Finazzi-Agrò) and the other on the United Nations Convention on Contracts for the International Sale of Goods (CISG) (Co-Editor-in-Chief: Roberta Peleggi). They include analysed and classified case law and bibliographies, as well as the texts of the instruments. The collected decisions are accessible not only by date, court or arbitral tribunal, and article(s) of the instrument expressly referred to, but also by “issues” listed under each article in a systematic order, thereby making it possible to find out immediately if and, if so, how, a particular question concerning the application of the provision has already been decided by a court or arbitral tribunal. In the section on the UNIDROIT Principles the decisions are further classified according to, respectively, the type of contract to which they

relate, the nationality of the parties involved, as well as those cases where the UNIDROIT Principles were used to interpret a particular domestic law or international law.

Both sections are updated regularly and, as of 31 December 2020, the section on the UNIDROIT Principles contained a total of 524 cases (315 decided by domestic courts of 35 countries plus the Court of Justice of the European Communities, the Economic Court of the Commonwealth of Independent States (CIS), the International Centre for Settlement of Investment Disputes (ICSID), the Iranian-U.S. Arbitral Tribunal and the United Nations Compensation Commission, and 209 arbitral awards rendered by international arbitral tribunals); the section on the CISG contained a total of 956 cases decided by domestic courts of 40 countries and of 88 arbitral awards.

6. *International Sales Law - "Tripartite" UNCITRAL-HCCH-UNIDROIT Legal Guide*

- *Approval of the "Tripartite" UNCITRAL-HCCH-UNIDROIT Legal Guide*

2020 saw the finalisation and subsequent approval by all three sponsoring organisations of the "Tripartite" UNCITRAL-HCCH-UNIDROIT Legal Guide to Uniform Instruments in the Area of International Commercial Contracts (with a focus on sales). The Guide was the outcome of a project initiated by UNCITRAL and supported by the Secretariats of the three "sister" organisations, with participation of an International Expert Group composed of Professors Neil B. Cohen, Lauro Gama Jr., Hiroo Sono, Pilar Perales Viscasillas, and Stefan Vogenauer.

Following up on a round of consultations on a first completed draft of the Guide conducted at the end of 2019, the draft Tripartite Guide was re-submitted to the HCCH membership for discussion at the Council on General Affairs and Policy (CGAP) on 3 – 6 March 2020. The CGAP approved the first three Chapters of the Guide and invited the Permanent Bureau to continue its cooperation with UNCITRAL and UNIDROIT towards its finalisation, publication and promotion (see <https://assets.hcch.net/docs/70458042-f771-4e94-9c56-df3257a1e5ff.pdf>).

At the same time, the UNIDROIT Secretariat shared the draft with the Members of its Governing Council and received comments from some of them as well as from the Organization of American States, which were incorporated in the Draft.

The Tripartite Legal Guide was unanimously approved at the first meeting of the 99th session of the UNIDROIT Governing Council, subject to such minor amendments as might be introduced by the discussion which would take place at the UNCITRAL session in 2020 (see UNIDROIT C.D. (99) A.8, para. 38).

At its 53rd session held virtually in July 2020, the UNCITRAL Commission discussed the draft Tripartite Guide together with the proposed adjustments, as detailed in their document A/CN.9/1030, and deriving from: (a) consideration of comments received by the UNCITRAL Secretariat in advance of the session (particularly by the CISG Advisory Council); (b) insertion of a reference to the HCCH Convention of 2 July 2019 on the Recognition and Enforcement of Foreign Judgments in Civil or Commercial Matters; (c) insertion of a reference to the ICC Force Majeure and Hardship clauses 2020; (d) insertion of the contribution of OHADA on the OHADA Uniform Act on General Commercial Law; (e) formal corrections. All proposed adjustments were agreed upon by the three Secretariats. In recognising the usefulness of such a guidance instrument and the importance of the cooperation and coordination among HCCH, UNCITRAL and UNIDROIT, the UNCITRAL Commission authorised the Secretariat to publish the Guide with the proposed adjustments, recommending the addition of an executive summary.

The revised Tripartite Guide was presented at the second meeting of the 99th session of the Governing Council (B) that took note of the approval by UNCITRAL and authorised the publication of the Guide.

As a follow up to the approval of the Guide, UNCITRAL undertook to produce a consolidated text in English and translations in all other UN official languages.

- *Presentations, seminars and conferences*

On 22 September 2020, on the eve of the second meeting of the 99th Governing Council session, the UNIDROIT Secretariat hosted a [hybrid international conference](#) illustrating the contribution of HCCH, UNCITRAL and UNIDROIT to the harmonisation of the law of international sales and the Tripartite Legal Guide to Uniform Instruments in the Area of International Commercial Contracts (with a focus on sales).



The event featured opening addresses from the President and Secretary-General of UNIDROIT, the Secretary-General of the HCCH and the Secretary of UNCITRAL, and was followed by three panels, respectively chaired by HCCH Senior Legal Officer Ning Zhao, Governing Council Member Professor Henry Gabriel and UNIDROIT Secretary-General Professor Ignacio Tirado, with participation of the experts who contributed to the drafting of the Guide (Professors Neil B. Cohen, Lauro Gama Jr., Hiroo Sono, Pilar Perales Viscasillas, Stefan Vogenauer), as well as additional experts (Professor Michael Joachim Bonell, UNCITRAL Principal Legal Officer José Angelo Estrella Faria, and Governing Council Members Professors Bénédicte Fauvarque-Cosson and Jinxia Shi). The event was concluded by a discussion with UNIDROIT Governing Council Members and about 60 attendees participated live with a very interesting Q&A session.

The video of the conference is available in the UNIDROIT YouTube channel: [Playlist](#)

7. **Digital Assets and Private Law**

- *Development of the project*

The General Assembly, at its 78th session, approved the inclusion of the project in the Work Programme of the Institute for the 2020-2022 triennium as recommended by the Governing Council ([A.G. \(78\) 12](#), paras. 43 and 51, and [A.G. \(78\) 3](#) paras. 69-71). The General Assembly asked the Secretariat to further determine the scope of the project and present it for reconsideration at the next session of the Governing Council. To carry out the mandate received from the General Assembly, a second joint UNIDROIT and UNCITRAL workshop was convened at the UNCITRAL Secretariat in Vienna on 10 – 11 March 2020 with the aim of developing “a legal taxonomy of key emerging technologies and their applications”.

On the basis of the discussions during the first and second workshops (Rome, 6-7 May 2019, and Vienna, 10 – 11 March 2020, respectively) a document was submitted to the Governing Council at its first meeting of the 99th session ([C.D. \(99\) A.4](#), paras. 23-33) which set out the Secretariat’s proposal on a more refined and limited scope for this project. The Governing Council agreed to approve the scope and to upgrade the project to high priority, as well as to follow the amended proposed action by the Secretariat ([C.D. \(99\) A.8](#), paras. 57-58).

- *Exploratory Working Group*



Carrying out the mandate received from the Governing Council, the Secretariat set up an Exploratory Working Group, chaired by Professor Hideki Kanda, Member of the UNIDROIT Governing Council, which held five meetings between July and September 2020 and prepared a preliminary draft Issues Paper. Additionally, the Exploratory Working Group facilitated the organisation of an Exploratory Workshop on Digital Assets and Private Law which was held on 17 and 18

September 2020 in a hybrid manner.

The Secretariat presented the result of the deliberations of the Exploratory Working Group and the outcomes of the Exploratory Workshop at the second meeting of the 99th UNIDROIT Governing Council ([C.D. \(99\) B.4 rev.](#)). The UNIDROIT Governing Council confirmed approval the “Digital Assets and Private Law” project at a high priority, allowing the Secretariat to establish a Working Group ([C.D. \(99\) B Misc. 2](#), paras. 7 and 8).

- *Working Group*



Under the guidance of its Chair Professor Hideki Kanda, the Working Group held its first session on 17 – 19 November 2020. A further three sessions of the Working Group were planned for 2021. As part of intersessional work conducted between the Working Group's sessions, four Sub-Groups have also been set up: Sub-Group 1 is dealing with control and custody; Sub-Group 2 is dealing with control and transfer; Sub-Group 3 is dealing with secured transactions, and Sub-Group 4 which has two separate workstreams dealing with taxonomy and with private international law related matters, respectively.

The Governing Council also decided in favour of an "enhanced" structure for the project which would entail the setting up of a Steering Committee on Digital Assets and Private Law in addition to the establishment of a Working Group (C.D. (99) B Misc. 2, paras. 7 and 8). It is envisaged that the Steering Committee will be comprised of experts from different fields (both technical and legal) and is expected to act in a consultative capacity, to allow for wider participation, ensuring all sensitivities and domestic realities are considered, increase transparency, and provide invaluable context-specific feedback to the Working Group. The Steering Committee will be chaired by Professor Monika Pauknerová, member of the UNIDROIT Governing Council.

- *Presentations, seminars and conferences*

On 10 September 2020, Senior Legal Officer Carlo Di Nicola delivered a presentation on the UNIDROIT Digital Assets and Private Law project at the conference "Society, Law, Artificial Intelligence and Robotics" (SOLAIR). The [SOLAIR 2020](#) was a continuation of two successful conferences organised in September 2018 and in September 2019 and focused on the "Fundamental Rights and Values in the Digital Age". The conference was organised by the Institute of State and Law of the Czech Academy of Sciences in cooperation with the Government Office of the Czech Republic, the Ministry of Industry and Trade of the CR and Microsoft.

Professor Carmen Tamara Ungureanu, Member of the UNIDROIT Governing Council, presented the UNIDROIT project on Artificial Intelligence, Smart Contracts and DLT in the introduction to the [fourth International Conference](#) "Legal perspectives on the Internet", organised at the Faculty of Law "Alexandru Ioan Cuza" University of Iasi, Romania on 31 October 2020. She then published an [article](#) which is available online.

8. Model Law on Factoring

- *Development of the project*

Following approval of the inclusion of a project to prepare a Model Law on Factoring in the 2020-2022 triennial Work Programme at the 98th session of the UNIDROIT Governing Council, work commenced on this project in 2020.

As consistent with the Institute's established working methodology, the Factoring Model Law is being developed by a Working Group composed of international legal experts representing different legal systems. The Working Group is chaired by member of the Governing Council Professor Henry Gabriel.

- *Working Group*

The first session of the Working Group took place via videoconference between 1 and 3 July 2020. The Working Group was attended by 32 participants, comprising of (i) 9 Working Group Members, (ii) 18 observers from six international, regional and intergovernmental organisations, four industry associations and academia and (iii) 5 members of the UNIDROIT Secretariat. The report for the session can be found [here](#).

The second session of the Working Group took place via videoconference between 14 – 16 December 2020. The Working Group was attended by 30 participants, comprising of (i) 8 Working Group

Members, (ii) 18 observers from six international, regional and intergovernmental organisations, four industry associations and academia and (iii) 4 members of the UNIDROIT Secretariat. The report for the session can be found [here](#).

- *Presentations, seminars and conferences*

UNIDROIT's Model Law on Factoring Project was featured in a workshop on the "Implementation of Secured Transactions Reforms in Latin America" in Cartagena, at the Universidad de los Andes, with Confcámaras of Colombia on 14 February 2020. As mentioned above (page [11](#)) UNIDROIT and UNCITRAL co-sponsored the workshop, which saw the participation of several experts from academia, private sector, government and international organisations.



The Model Law was also a topic of focus at the international virtual forum organised by the World Bank Group and NatLaw on 8 December 2020 entitled "Toward a Coordinated Implementation of International Standards on Receivables Finance". Secretary-General Professor Ignacio Tirado opened the event, which brought together international standard-setters including UNCITRAL, government representatives, academics, international development organisations, and the largest global associations of factors and asset-based lenders. The event highlighted the importance of bringing together stakeholders to ensure coordinated development, promotion and implementation of international standards, particularly the UNIDROIT project to develop a Model Law on Factoring.

B. PROMOTION OF INSTRUMENTS ADOPTED BY UNIDROIT

Within the limits imposed by the pandemic, the Secretariat continued to do its utmost, in 2020, to promote the UNIDROIT Conventions and other instruments, by presenting them at conferences or by publishing related articles.

Annexe II provides an overview of the instruments drawn up, as well as the status of implementation of Conventions prepared by UNIDROIT and approved at diplomatic Conferences convened by UNIDROIT Member States. For the implementation of instruments based on work conducted within UNIDROIT, see Annexe III.

1. UNIDROIT/FAO/IFAD Legal Guide on Contract Farming

Co-authored by UNIDROIT, FAO and IFAD, the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming was published in 2015 in [English](#) and [French](#), and subsequently translated in [Spanish](#) (2017), [Portuguese](#) (2017) and [Chinese](#) (2018).

- *Presentations, seminars and conferences*

The UNIDROIT/FAO/IFAD Legal Guide on Contract Farming was presented and discussed at several events, including during one of the break-out sessions of the workshop held on 14 February 2020 in Cartagena (Colombia) hosted by the Universidad de los Andes and co-sponsored by UNIDROIT, UNCITRAL and Confcámaras of Colombia. The break-out session entitled "The impact of the Legal Guide on Contract Farming on the financing of agricultural production" was devoted to Contract Farming and Warehouse Receipt Financing, and saw the participation of Deputy Secretary-General Anna Veneziano, Marek Dubovec (NatLaw) and Paula Zuleta (Colombian Ministry of Agriculture).



In the context of the webinar organised by the OAS on 13 July 2020, discussing improvement of access to credit in a post-COVID economy, the Deputy Secretary-General addressed, among other issues, the impact of the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming on access to credit. For more information on this event see above pages [13](#) and [20](#).

- *Other implementation activities*

UNIDROIT and the Food and Agriculture Organization of the United Nations (FAO), and the International Chamber of Commerce Italy (ICC Italy), together with Professor Fabrizio Cafaggi (University of Trento, Italy), and in coordination with the International Fund for Agricultural Development (IFAD), are collaborating to prepare a joint document providing guidance on the impacts of the COVID-19 pandemic and similar disastrous events on the performance of food supply chain contracts — in particular contract farming arrangements.

In 2020, UNIDROIT and its partners undertook research and reached out to stakeholders and organisations active in the field (producers and producer organisations, extension service providers, and similar entities dealing primarily with agricultural producers, law firms and multinational companies) to gather information on the legal implications of the COVID-19 pandemic. The results showed that the pandemic and the related government actions to limit its spread could hinder contractual performance by parties; that contracts at the level of producers and producer organisations did not usually contain clauses on force majeure or change of circumstances/hardship balancing such risks; and that parties commonly aim to renegotiate their contractual relationships informally.

The guidance document being prepared aims to identify best practices and lessons learned from national legal frameworks and practice in order to strengthen the legal framework underpinning contract farming. It will include analysis, based on the UNIDROIT/FAO/IFAD Legal Guide on Contract Farming, on how contract clauses on force majeure, hardship/change of circumstances and remedies would apply in view of the COVID-19 pandemic. It will provide guidance for the design or adaptation of contractual arrangements to accommodate similar future pandemics, and will provide guidance for preparing flexible contracts allowing for the need for adjustments and cooperation in overcoming contractual performance difficulties, with a view to promoting a fair balance of risks between the contracting parties.

2. Cape Town Convention and its Protocols

- *Presentations, seminars and conferences*

During 2020 the Cape Town Convention and its Protocols were the focus of a number of conferences, seminars and lectures around the world. With particular regard to the activities in which UNIDROIT was directly involved, the following are representative examples.

Between 15–17 February 2020 UNIDROIT participated in several APEC-related meetings in Putrajaya, Malaysia. On 15 February Senior Legal Officer William Brydie-Watson presented on “UNIDROIT instruments that support access to credit” at a Workshop for APEC Member Economies on the World Bank’s Ease of Doing Business Index. The presentation focused on how the Cape Town Convention and its Protocols could facilitate access to credit in the Asia-Pacific region.

On 12 November 2020, the Cape Town Convention and its Protocols were presented in the context of the conference co-sponsored by UNIDROIT, HCCH and UNCITRAL on “International Commercial Litigation: Recent Developments and Future Challenges”, organised by the Judicial Policy Research Institute (JPRI) and held in Seoul, Korea.

- *Cape Town Convention Academic Project - New Website*

The Cape Town Convention Academic Project (CTCAP), a joint undertaking of UNIDROIT and the University of Cambridge, with the Aviation Working Group as founding sponsor, launched a new website in April 2020: <http://ctcap.org/>⁶.



The **6th Workshop of the Economic Assessment of International Commercial Law Reform Project** was held on 8 September at the UNIDROIT Headquarters in Rome.⁷ Due to the COVID-19 pandemic the meeting was held in hybrid format, with 10 participants present at the Institute, 25 remote participants and over 30 remote observers.

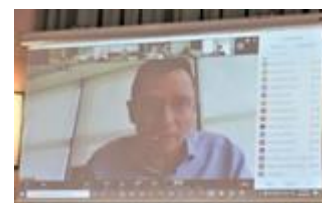
The project is managed under the auspices of the Cape Town Convention Academic Project, in partnership with the UNIDROIT Foundation, and the Aviation Working Group. Its primary purpose is to develop a framework for conducting economic analyses of international commercial law reform. The project involves stakeholders from all segments of the law reform process, including international and national policy makers and standards setters, academic experts, international economists and officials of intergovernmental organisations.



The **4th Workshop for project on Best Practices in the Field of Electronic Registry Design and Operation** took place at the UNIDROIT Headquarters in Rome on 9 September 2020.⁸ Due to the COVID-19 pandemic the meeting was held in hybrid format, with 9 participants present at the Institute, 42 remote participants, and over 20 remote observers.



This project is run under the auspices of the Cape Town Convention Academic Project, in partnership with the UNIDROIT Foundation, Aviareto, and the Aviation Working Group, with the aim of providing guidance on the design and operation of electronic registries. To assess best practice, this project has identified Critical Performance Factors (CPFs) against which electronic registries can be measured.



The **ninth Annual conference of the Cape Town Convention Academic Project** took place at the UNIDROIT Headquarters in Rome between 10 - 11 September 2020.⁹ Due to the COVID-19 pandemic the meeting was held in hybrid format, with 16 participants present at the Institute and over 400 registered remote participants. The Conference was organised by the Cape Town Convention Academic Project, which is a partnership between UNIDROIT and the

⁵ See also <https://www.unidroit.org/89-news-and-events/2844-new-website-for-the-cape-town-convention-academic-project>.

⁶ See also <https://www.unidroit.org/89-news-and-events/2844-new-website-for-the-cape-town-convention-academic-project>.

⁷ See also at <https://www.unidroit.org/89-news-and-events/2927-6th-workshop-for-the-economic-assessment-of-international-commercial-law-reform-project-held-in-rome-and-on-zoom>.

⁸ See also <https://www.unidroit.org/89-news-and-events/2928-4th-workshop-for-project-on-best-practices-in-the-field-of-electronic-registry-design-held-in-rome-and-on-zoom>.

⁹ See also <https://www.unidroit.org/89-news-and-events/2933-9th-annual-cape-town-convention-academic-conference-held-in-rome-zoom>.



University of Cambridge. The Conference was sponsored by [RNC Legal](#), [Sierra](#), [Blakes](#), [Holland & Knight](#), and [Clifford Chance](#).

This year's conference was opened by UNIDROIT's newly elected President, Professor Maria Chiara Malaguti, followed by the Directors of the Cape Town Academic Project, Professor Ignacio Tirado, Professor Louise Gullifer, and Professor Jeffrey Wool. Among other themes, the Conference sought to address how the Cape Town Convention System was reacting to the current COVID-19 crisis.

On day one, Professor Ignacio Tirado (UNIDROIT) addressed the EU insolvency system and the Cape Town Convention, commented by Dr Felix Steffek (University of Cambridge); Kenneth Gray and Mark Craggs (Norton Rose Fulbright) spoke of the Cape Town Convention and the Aircraft Protocol, the Corporate Insolvency and Governance

Act of the United Kingdom and other restructuring laws, commented by Professor Louise Gullifer (University of Cambridge) and Professor Edward Janger (Brooklyn Law School); Professor Jeffrey Wool (Harris Manchester College) and Miguel Ruelas (Abogados Sierra) presented on Judicial Breach of the Cape Town Convention, commented by Dr Rumiana Yotova (University of Cambridge); and Baris Mesci (Istanbul Kültür University) delivered a presentation on the issue of Applicable Law in Cape Town Convention and its Aircraft Protocol.

Day two featured a panel on Compliance with the Cape Town Convention in the context of COVID-19 and an update of the Cape Town Convention Compliance Index with Professor Jeffrey Wool and Jasmine Jin (Blake, Cassels, & Graydon, Toronto), commented by Professor Ignacio Tirado (UNIDROIT); a presentation by Peter Watson (Allen & Overy) on a Judicial guide to the Cape Town Convention; and a panel on the MAC International Registry featuring Rob Cowan (Aviareto), Ole Böger (Ministry of Justice, Germany), Marek Dubovec (NatLaw), Gavin McCosker (Australian Financial Security Authority).

3. UNIDROIT Principles of International Commercial Contracts

a. Promotion of the UNIDROIT Principles 2016

- *Recognition of the UNIDROIT Principles by the International Association of Lawyers*

On July 15, 2020, the International Association of Lawyers (UIA), which brings together through its bar associations and individual members over two million lawyers from more than 110 countries, recognised the UPICC as an excellent option for consideration by international lawyers and clients. UIA

President Jerome Roth signed a Resolution at a virtual signing ceremony attended by lawyers and in-house counsel from multiple nations. The [UIA Resolution](#) was countersigned by Secretary-General Professor Ignacio Tirado.



- *Presentations, seminars and conferences*

In the course of 2020, the UPICC were presented at a number of seminars, conferences and lectures to interested academic and business circles, the following being representative examples with participation of the UNIDROIT Secretariat:



On 11 – 12 March 2020, Legal Officer Philine Wehling delivered a lecture on "International Sources of Contract Law: the UNIDROIT Principles of International Commercial Contracts (UPICC)" via videoconference to a group of 23 postgraduate students from the ITC-ILO in Turin. The lecture was part of the 10th edition of the Master of Laws in International Trade Law programme.

On 27 May 2020, Deputy Secretary-General Anna Veneziano delivered a lecture in the framework of the Luiss B&C Law Masters Course on the Regulation of International Commerce, on “The UNIDROIT Principles as a tool for contractual drafting and adjudication”.



On 25 June 2020, Secretary-General Ignacio Tirado participated in an International Webinar entitled “The UNIDROIT Principles in the case law of the Supreme Court and Court of Appeals”, organised by the *Centro de Estudios de Derecho, Economía y Política* (CEDEP) in Asunción, Paraguay. The Webinar also saw the participation of Alberto Martínez Simón, President of the Supreme Court of Justice of Paraguay, and José Antonio Moreno Rodríguez, UNIDROIT Governing Council Member, presented by Francisco Segura (Professor of Civil Law) and Carolina Silvero (CEDEP Executive Director). The organisers informed the Secretariat that the webinar was attended by some 1800 registered participants and was also followed live on Facebook by 650 people.

On 3 September 2020, Secretary-General Ignacio Tirado spoke in a [webinar](#) organised by the IBA Arbitration Committee, IBA European Regional Forum, IBA International Commerce and Distribution Committee, IBA Litigation Committee and supported by the IBA Corporate and M&A Law Committee, on “COVID-19 and UNIDROIT Principles of International Commercial Contracts Solutions”.

On 19 October 2020, Deputy Secretary-General Anna Veneziano participated in a lecture organised by the University of Vienna and UNCITRAL in the context of the Virtual Anniversary Lectures for the 40th anniversary of CISG, speaking about the contribution of the CISG to the development of international contract law. The event was opened by José Angelo Estrella Faria (Principal Legal Officer and Head of the Legislative Branch of the International Trade Law Division, UNCITRAL), and saw a presentation by Ingeborg Schwenzer (Counsel & Arbitrator, Dean of Swiss International Law School) followed by contributions from Governing Council Members Alexander Komarov and Jingxia Shi, as well as from Pilar Perales Viscasillas (Chair of Commercial Law at University Carlos III of Madrid).

On 12 November 2020, the UNIDROIT Principles were presented in the context of the conference co-sponsored by UNIDROIT, HCCH, and UNCITRAL on “International Commercial Litigation: Recent Developments and Future Challenges”, organised by the Judicial Policy Research Institute (JPRI) and held in Seoul, Korea (see above page [11](#)). In particular, Deputy Secretary-General Professor Anna Veneziano participated in a panel moderated by Justice Tae-ak Rho, on “Modernizing and Harmonizing International Commercial Contracts”, together with Hiroo Sono (Professor at Hokkaido University, Japan) and João Ribeiro-Bidaoui (First Legal Officer, HCCH). The panel was followed by a discussion with the additional participation of Professor Hong-Sik Chung (Chung-Ang Univ. School of law) and Judges Injun Hwang and Haemin Lee.

On 9 December 2020, UNIDROIT co-sponsored with Rome Tre University a [webinar](#) entitled “*Efficienza e solidarietà nei Principi UNIDROIT: un dialogo fra teoria e prassi*”, the aim of which was to foster a dialogue between theory and practice in the application of the UPICC, particularly in times of crisis. The webinar was opened by President Maria Chiara Malaguti and, among other participants, featured the contribution of Secretary-General Ignacio Tirado as moderator and Deputy Secretary-General Anna Veneziano as panelist.

- *Other initiatives*

On 10 January 2020, Deputy Secretary-General Anna Veneziano attended a meeting organised by the Commission Law and Practice of International Commercial Law - ICC Italia, for the presentation of the ICC Model Clauses on Force Majeure and Hardship 2020, with participation, among others, of ICC Italia Secretary-General Maria Beatrice Deli and Commission member and reporter Fabio Bortolotti.

- *Publications*

Anna Veneziano, Eleonora Finazzi Agrò (2020), *The Use of the UNIDROIT Principles to Interpret and Supplement National Law: an Italian Perspective*. In: (eds): Pietro Galizzi; Giacomo Rojas Elgueta; Anna Veneziano, *The Multiple Uses of the UNIDROIT Principles of International Commercial Contracts: Theory and Practice*. Contratti e commercio internazionale, 5-16, Milano: Giuffrè Francis Lefebvre;

Carmen Tamara Ungureanu discussed the UNIDROIT Principles in an *article on force majeure*, published in Romanian, available at: <http://pub.law.uaic.ro/ro/volume-publicate/2020/anale-uaic-tomul-lxvi-stiine-juridice-2020-nr.-i/drept-privat/carmen-tamara-ungureanu-fora-major-si-executarea-contractelor-de-comer-internaional>

b. Release of the UNIDROIT Secretariat's Note on the UNIDROIT Principles and the COVID-19 health crisis

On 30 July 2020, the UNIDROIT Secretariat published a [Note on the UNIDROIT Principles of International Commercial Contracts and the COVID-19 health crisis](#). The Note, which benefited from the assistance of Professor Michael Joachim Bonell and detailed comments by Professor Marcel Fontaine, as well as further comments by Professor Bénédicte Fauvarque-Cosson, Christopher Seppala, Federico Parise Kuhnle and Professor Don Wallace, was aimed at offering guidance, in the context of the outbreak of COVID-19, as to how the Principles could help address the main contractual disruptions caused by the pandemic directly as well as by the measures adopted as a consequence thereof. The Note analysed in particular whether parties may invoke COVID-19 as an excuse for non-performance, and if so, based on which concepts and under what conditions (force majeure, hardship). The analysis also covered the scenario, likely to be common in practice, where performance is still possible, but has become substantially more difficult and/or onerous under the circumstances. The Principles offer parties and interpreters a much-needed flexibility in such an extreme context, constituting an efficient tool to offer nuanced solutions that can help preserve valuable contracts for the parties. Especially in mid-to-long term contracts, and in view of the – apparently – temporary nature of the impediment, mechanisms that allow for an adequate renegotiation and proportionate allocation of losses could ultimately help preserve the contract and maximise value for the jurisdiction(s) involved. While the Note was not meant to express an official position of UNIDROIT on the use or interpretation of the UNIDROIT Principles, it was presented as a document for public discussion and elicited much interest and debate.

4. International Protection of Cultural Property

a. 1995 UNIDROIT Convention on Stolen or Illegally exported Cultural Objects and UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects

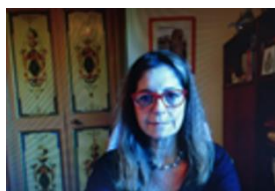
- *Status*

On 31 December 2020, there were 49 Contracting States of the UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (*cf.* Annexe II). Côte d'Ivoire ratified the Convention on 23 December 2020. Other instruments of ratification/accession are to be deposited with the Depositary and procedures are in progress in other countries.

- *25th anniversary of the 1995 Convention*

UNIDROIT celebrated the 25th anniversary of its 1995 Convention with an International Conference held in Rome and online on 8 and 9 May 2020. The Conference garnered a lot of interest and, despite the pandemic, the hybrid format of the conference allowed the participation of over 300 participants from all over the world, and an array of prominent speakers and statements delivered by many States party and non-party to the Convention. UNIDROIT is working at the publication of the contributions. The [video](#) of the conference available on UNIDROIT's [YouTube Channel](#).





The Conference was an event to take stock of the achievements of the Convention's implementation thus far, raise awareness on the importance of uniform laws for the restitution and return of cultural property, reinforce synergies between the Convention and other international instruments, as well as to explore future steps in the projects aimed at promoting a favourable legal framework for the successful implementation of the Convention's vocation, such as – prominently – the 1995 UNIDROIT Convention Academic Project (UCAP).

Following the words of welcome of President Maria Chiara Malaguti and General Riccardi of the Command of the Carabinieri Unit for the Protection of Cultural Heritage representing Minister Dario Franceschini (Minister for Cultural Heritage and Activities and for Tourism), the opening session featured speeches from UNIDROIT's many partners in the fight against the illicit trafficking of cultural property (such as Webber Ndoro (Director General, ICCROM), H.E. Salim AlMalik (Director General, ICESCO), Ernesto Ottone Ramírez (Assistant Director-General for Culture, UNESCO), Corrado Catesi (Works of Art Unit Coordinator, INTERPOL), Alberto Garlandini (President ICOM).

The rich [programme](#) of the Conference featured a Keynote Speech on the Impact of Uniform Laws on the Protection of Cultural Property by Professor Toshiyuki Kono (Executive Vice President and Distinguished Professor, Kyushu University - President ICOMOS), and three sessions. Session I focussed on Cultural Objects - Protection and Circulation and was coordinated by Manlio Frigo (Full Professor of International Law, Milan Università degli Studi - Of Counsel BonelliErede), Session II on the Interplay and Interdependence of Rules and Cultural Instruments and moderated by Marina Schneider (Principal Legal Officer and Treaty Depositary, UNIDROIT), whereas Session III focussed on Challenges and Future Steps and was moderated by Ignacio Tirado (Secretary-General, UNIDROIT). This session gave an opportunity to discuss the proactive role that civil society can and should play as well as the benefits for all of an enhanced and constructive collaboration with the art market. Joanna van der Lande (Antiquities Dealer's Association) and Martin Wilson (Chief General Counsel at Phillips London)



explained how the market evolved in the last 25 years and how important the means to enforce the law are. Bennahi Lemrabott (Minister of Culture, Handicrafts and Relations with the Parliament of Mauritania) attended the Conference, together with Nami Salihy (Director of Heritage of Mauritania) to announce his country's decision to accede to the 1995 Convention.

Other institutions and universities had chosen to celebrate the 25th anniversary of the UNIDROIT Convention by means of conferences (often in conjunction with the 50th anniversary of the 1970 Convention). On 24 and 25 November 2020, the **National Heritage Board of Latvia** organised an international online Conference entitled "Opportunities and Challenges of Art and Antiques Market Management". The aim of the Conference was to promote understanding among private representatives of art and antiques trade about their role in the protection of cultural objects, as well as to promote cooperation between public administration and business in the field of cultural objects by strengthening and developing the management of art and antiques market. Marina Schneider, Principal Legal Officer and Treaty Depositary at UNIDROIT, took part in the opening session.



Some other events had to be cancelled or postponed to a later date due to the COVID-19 pandemic and the closure of borders. Such events include, for example, the annual conference of the Maastricht Centre for Arts and Culture, Conservation and Heritage (MACCH), which was originally

scheduled in March 2020 in conjunction with the European Fine Arts Fair (TEFAF), entitled “Trust and Transparency in the World of Art and Heritage and its Practices”; the Second Brazilian Conference on Law and Art, to be held in João Pessoa from 20 to 22 May 2020 (but a [thematic edition of the Brazilian Journal of International Law](#), dedicated to Art Law and Cultural Heritage Law and celebrating the 25 years of the 1995 UNIDROIT Convention and the 50 years of the 1970 UNESCO Convention was published in 2020); as well as the “Symposium on New Directions in the Fight against Cultural Property Crime”, co-organized by the Criminal Justice Center of Queen Mary University in London, the Department of Sociology of the University of Virginia, the Division of Social Sciences of Mount Saint Mary College and the Centre for Heritage of the University of Kent, to be held on 13 and 14 July 2020 and postponed to 2021.

- *UNIDROIT celebrated the first International Day against Illicit Trafficking in Cultural Property*

14 November – date of adoption of the 1970 UNESCO Convention – has been chosen as the International Day against Illicit Trafficking in Cultural Property. UNIDROIT joined UNESCO and others to promote international frameworks for the prevention of theft and looting, and the return of illegally traded cultural objects, in parallel with other advances in the fight against illicit trafficking. A [video](#) was prepared and shared on the UNIDROIT YouTube Channel.

b. The 1995 UNIDROIT Convention Academic Project

UNIDROIT was invited to deliver lectures on its instruments relating to cultural property in many universities and such activities are developed within the framework of the UNIDROIT Convention Academic Project (UCAP).

In 2020, the [Law Schools Global League](#) (LSGL), an association of 31 top law schools from all five continents, joined UCAP as institutional partner and discussions on joint projects are underway.

c. UNIDROIT and partners in the field of Cultural Property

UNIDROIT continued to collaborate closely with UNESCO and other organisations such as INTERPOL, UNODC, WCO, ICCROM and ICOM and developed new collaborations.

UNIDROIT has strengthened its links with the judiciary in particular in Latin America, and was invited to a webinar organised on 16 June 2020 by the [EL PAaCTO](#) programme (**Europe Latin America Programme of Assistance against Transnational Organised Crime**) on the theme “Illicit Trafficking in Cultural Property in Latin America” and attended by representatives of the public prosecutors’ offices of eleven partner countries of the programme (Argentina, Bolivia, Chile, Costa Rica, Ecuador, El Salvador, Mexico, Panama, Paraguay, Peru and Uruguay), as well as by the Public Prosecutor’s Office of Spain. The Secretary-General of UNIDROIT and Jorge Sánchez Cordero, Member of the UNIDROIT Governing Council, participated in the online meeting. UNIDROIT contributed to a research and analysis paper entitled “[Diagnosis of the situation and tools in the fight against offences related to illicit trafficking in cultural property](#)”, including the 1995 UNIDROIT Convention.



On Tuesday 28 July 2020, UNIDROIT participated in an international virtual Conference on “Combating Illicit Trafficking of Cultural Property and its Restitution” organised by the **Islamic World Educational, Scientific and Cultural Organization (ICESCO)**, in cooperation with the Ministry of Tourism and Antiquities in the Arab Republic of Egypt, discussing mechanisms for the fight against trafficking in cultural property.

UNIDROIT was invited to attend the Eighth Session of the Subsidiary Committee of the Meeting of States Parties to the UNESCO 1970 Convention (online meeting, 27 – 28 October 2020). UNIDROIT also participated in the [international online Conference “Cultural Heritage and Multilateralism: Regional and International Strategies for the Protection of Cultural Heritage”](#) (16 to 18 November 2020) hosted by the German Federal Foreign Office in partnership with UNESCO, the European Union and the Council of Europe. Professor Maria Chiara Malaguti, President of UNIDROIT, participated on 17 November in the high-level roundtable celebrating the 50th anniversary of the 1970 UNESCO Convention together with Ghada Fathi Waly (Executive Director, UNODC); Kunio Mikuriya (Secretary General WCO) and Alberto Garlandini (President ICOM). Professor Malaguti presented the role of UNIDROIT in the fight against the illicit trafficking, highlighted some recent major achievements and gave UNIDROIT’s vision for the major challenges that need to be addressed in this field. On 18 November, Marina Schneider, Principal Legal Officer and Treaty Depositary at UNIDROIT, participated in the session entitled “Mechanisms to fight trafficking in cultural property”.



d. Training and capacity-building workshops

UNIDROIT was invited to participate in various capacity-building workshops or other expert meetings organised in 2020, including:

- a workshop organised in Kingston, Jamaica, from 2 to 5 March 2020 by the Jamaican National Commission for UNESCO, the Ministry of Culture, Gender, Entertainment and Sport of Jamaica and the UNESCO Cluster Office for the Caribbean to discuss the 1995 Unidroit Convention and the 1970 UNESCO Convention in view of accession. Government authorities as well as experts from the cultural sector, police and customs officials, lawyers and museum directors from the Caribbean Small Island Developing States met to open a dialogue with UNESCO and Unidroit representatives and exchange best practices on the means of preventing the illicit trafficking of cultural property and of submitting or receiving international restitution claims;
- a sub-regional expert meeting organised by the UNESCO Regional Bureau for Science and Culture in Europe entitled “Fighting the illicit traffic of cultural property: Impact-assessment meeting on seven years of cooperation in South-East Europe” held in Venice on 20 and 21 October 2020;
- the 20th specialisation course for officers of the “Comando Carabinieri Tutela Patrimonio Culturale” organised on 23 November 2020;
- an online event entitled “Capacity Building Meeting on Activities and Cooperation Against Illicit Trafficking of Cultural Property” organised on 14 December 2020 by the Ministry of Culture and Tourism of Turkey within the framework of the 2020-2021 Term Presidency of the South East Europe Cooperation Process (SEEC).



III. LEGAL COOPERATION PROGRAMME AND NON-LEGISLATIVE ACTIVITIES

A. LEGAL COOPERATION PROGRAMME

The broad aim of the legal co-operation programme is to promote relations between UNIDROIT and Member and non-Member States with a view to achieving the statutory aims of the Organisation. Above all, the Programme is designed for countries lacking sufficient resources to participate in the legal harmonisation process, particularly developing countries and countries in economic transition. It essentially focuses on the efforts undertaken to implement and disseminate the work of the Institute, and provides training and research opportunities for high-level jurists, by means of a Scholarship Programme. For the Organisation's institutional relations with Governments (visits and seminars in Member and non-Member countries), see *supra* p. 9.

1. Co-operation with partner organisations

The UNIDROIT Secretariat maintains close institutional co-operation links with a broad range of organisations with an international or regional mandate. This includes the exchange of information and consultations with respect to topics of common interest, particularly in the framework of the Institute's legislative activities (see *supra* p. 17).

2. Internship and Research Scholarship Programme

Since it was first launched in 1993, the Internship and Research Scholarship Programme has enabled the Institute to host over 400 scholars from more than 66 countries with a view to their conducting in-depth research on their chosen subject, in line with UNIDROIT's activities involving uniform law, in the Institute Library for an average of two months.

In 2020, donations were received from the UNIDROIT Foundation, the Ministry of Commerce of the People's Republic of China (MOFCOM), the UNIDROIT Alumni Association, the FeldensMadruga Law Firm (Brazil), as well as from Members of the UNIDROIT Governing Council.

The implementation of the Internship and Research Scholarship Programme in 2020 was significantly impacted by the outbreak of the COVID-19 pandemic. Due to travel restrictions for international students and professionals, several interns and scholars expected to conduct research at the Institute's Library in 2020 have deferred their visits to 2021. At the same time, the Library welcomed a higher number of independent researchers based in Italy.

Visiting Scholars

Under the Legal Co-operation Programme, the Secretariat has hosted three scholars from three different countries in 2020:

Ms JAVADI FARAHZADI Sanaz (Iran), Doctoral Researcher, Fribourg University - Research on: "Contract Farming Geographical Indications and Upgrading of Small-Scale Producers in Global Agriculture Value Chains" - UNIDROIT General Fund Scholarship - Invited researcher (6 July - 4 September 2020);

Ms KROLIKOWSKA Katarzyna (Poland), PhD, Warsaw University, Lecturer, Kozminski University and Warsaw University - Research on: "Elaborating a legal regime for the digital assets as collaterals" - Visiting scholar - (28 September - 23 October 2020)

Ms KOSTOULA Theodora (Greece), PhD candidate, European University Institute, Florence - Research on: "Information technology, digital assets and insolvency and current UNIDROIT legislative projects" - Invited scholar - Recipient of the Sir Roy Goode UNIDROIT Scholarship - (5 October 2020 - 2 July 2021).

3. *Interns and researchers*

The following students were welcomed as *Interns* collaborating on current projects within the UNIDROIT Secretariat:

Ms Gabriella Boger Prado (Brazil, FeldensMadruga Scholarship), Mr Valentin Penne, Mr Vincenzo Scuderoni, Ms Jeanne Crampette (France), Mr Lucas Adomeit (Germany), Ms Meliti Manouka (Greece), Ms Flaminia d'Orso, Ms Giulia Poli, Mr Giovanni Fazioli - *remotely*, Mr Carlo Venturi (Italy), Mr Javier Saldana Palacios - *remotely* (Mexico), Ms Guo Shuang - *remotely*, Ms TianShu Liu, Mr Yuan Duo (PRC), Mr Bryan Jing Rong Lim (Singapore), Ms Laura Hottelier (Switzerland), Ms Nihad Agadazi (Togo), Mr Muhammed Altuntas, Ms Cemre Cise Kadioglu - *remotely* (Turkey), Mr Jack Brett (UK), Ms Michelle H. Lee - *remotely* (USA).

The following persons were welcomed as *Researchers* in the UNIDROIT Library:

Ms Analia Soledad Gomez Bohle (Argentina), Ms Angelika Layr (Austria), Ms Diane Clark-Lamey, Mr Franck Gloglo (Canada), Mr Camilo Isai Saldias Robles (Chile), Mr Faidon Varesis (Greece), Ms Mahsa Farshbaf Khoshnazar, Mr Younes Aghapour Sabbagh (Iran), Mr Emanuele Stabile, Mr Philipp Fabbio, Mr Vincenzo Fucci, Ms Roberta Peleggi, Mr Marco Bottin, Mr Andrea Colli, Mr Marcello Iovane, Mr Fausto Caggia, Ms Ludovica Di Lullo, Ms Vincenza Clelia Castaldo, Ms Marilena Montanari, Mr Valerio Brizzolari, Ms Giuliana Scognamiglio, Mr David Fabio Esborraz, Ms Arianna Camillacci, Mr Andrea Cenciarelli, Mr Marco Arzu, Ms Claudia Candelmo, Ms Anna Masutti, Mr Giuseppe Mazziotti, Mr Massimiliano Vinci, Mr Francesco Amatucci, Ms Clizia Franceschini, Mr Federico Zanzi (Italy), Ms Mahnoor Malik (Pakistan), Ms Nina Mirkovic (Serbia), Mr Antonio J. Quesada Sanchez (Spain), Ms Nadia Khan (UK).

B. UNIDROIT ON INTERNET AND SOCIAL MEDIA IN 2020

UNIDROIT website - <http://www.unidroit.org>

The UNIDROIT website was first created in the 1990s. In 2012, the Secretariat began work on the creation of a new, more user-friendly website. The new website became operative on 10 January 2014. The time has come to again review the website completely, as the fast development of technology and the need to make the website ever more attractive make the preparation of an up-to-date website necessary, bearing in mind that the architecture of the website and its aesthetic appearance must always serve its contents.

The Secretariat is in the process of scanning and up-loading historic UNIDROIT documents. While the new projects are fully accessible on the website, many of the older studies, and also administrative documents (Governing Council or General Assembly), are still accessible only on paper.

The website is monitored with Google Analytics. Most users of the UNIDROIT website were in the United States (10.36%), France (8.87%), the United Kingdom (5.65%) and Italy (5.44%). The first Latin American country to be listed was Mexico in 9th position with 2.63% of the users, the first Asian country was India, in 5th position (3.82% of the users), and the first African country Morocco, in 28th position (0.77%). Continent by continent, of the first 100 countries from which users came, 34 were European (Russia and Ukraine included), 7 were Euroasian, 19 Asian, 16 American (North and South), 15 African, 6 from the Middle East, and 2 from Oceania. One was unaccounted for.

The page most consulted was the English page on the UNIDROIT Principles 2016 (7.41% of the pages consulted, but it should be noted that individual chapters are recurrently listed separately), followed by the page "About UNIDROIT" (3.49%), the page on contracts (2.96%), the Cape Town Convention (2.46%), the page on research and internships (1.84%), the status of the Cape Town Convention (1.83%), the MAC Protocol (1.53%), the UNIDROIT Principles 2010 (1.43%), the Aircraft Protocol (1.31), the 1995 Cultural Property Convention (1.12%), the page on the Member States of the organisation (1.10%) and the page on the Model Clauses for Use of the Principles (1.07%). The ALI-UNIDROIT Principles of Transnational Civil Procedure came in 16th position (0.93%), but the work-in-progress on Transnational Civil Procedure (ELI-UNIDROIT Regional Rules) came only in 52nd position (0.26%). The agricultural land investment online consultation came in 41st position (0.32% of users). Most pages consulted were in English. The French pages start in twelfth position with the

general welcome page (1.30%). All in all, as in past years, the pages consulted were varied and included older materials, such as the 1973 Wills Convention (20th position, 0.78% of users), and the 1964 Convention relating to a Uniform Law on the International Sale of Goods (ULIS) (28th position, 0.52%). The 1988 Convention on International Factoring came in 22nd position (0.64%) and the 1988 Convention on International Financial Leasing in 60th position (0.23%). The Model Franchise Disclosure Law in English came in 47th position (0.30%). The 2009 Geneva Intermediated Securities Convention was in 44th position with 0.31% of users. Publications came in 25th position, with 0.58% of users, and the Uniform Law Review in 53rd position (0.26%).

UNIDROIT on Social Media

UNIDROIT launched its social media program during the Institute's 90th anniversary celebrations in April 2016. The purpose of the program is to promote the Institute's work to a wider audience in an innovative, efficient and cost-effective manner. UNIDROIT currently maintains accounts on LinkedIn (2016), Facebook (2016), Twitter (2018) and YouTube. At the start of 2019 UNIDROIT relaunched its presence on YouTube in order to promote videos of expert presentations made at the Institute by international legal experts and visiting scholars, as well as to share promotional videos about UNIDROIT instruments and events.

The three key performance indicators for the Institute's social media program are: (i) number of followers; (ii) the "reach" of the social media program (the total number of people that see UNIDROIT social media content); and (iii) the number of referrals to the UNIDROIT website. Since its launch, the UNIDROIT social media program has exceeded expectations on all three key performance indicators. In 2020, the Institute had more than 12,500 followers on LinkedIn, 3,900 followers on Facebook, and 1,000 followers on Twitter. In relation to the Institute's "reach" on social media, over the past twelve months UNIDROIT content was delivered 133,166 times to people on Facebook, while it was displayed on news feeds 412,512 times on LinkedIn, and around 211,800 times on Twitter.

UNIDROIT participates in a Social Media roundtable organised by the US Mission to the UN Agencies in Rome which brings together the social media officers of the largest Rome-based international agencies to share knowledge and coordinate promotional campaigns. This has allowed UNIDROIT to benefit from the expertise and experience of larger organisations which have entire teams dedicated to digital communication.

C. DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

Before the age of websites, UNIDROIT asked Member States to appoint specific libraries or other official institutions to act as Depository Libraries for UNIDROIT documentation and to indicate what materials they would be interested in storing (only documents, or also publications, the Uniform Law Review, etc.). With the adoption of the policy to post all documentation on the website, the interest in Depository Libraries waned, but some States are still keen to maintain them. The list of Member States on the website indicates also if Depository Libraries have been appointed.

At the end of December 2020, 54 libraries in 48 Member States have been designated depositories for UNIDROIT documentation. For a list of Depository Libraries see Annexe IV.

D. UNIDROIT LIBRARY

The loss of Walter Rodinò

It is with profound sadness that UNIDROIT had to mourn the loss of Mr Walter Rodinò, who passed away on the morning of 26 March 2020, surrounded by the affection of his loved ones. While his career saw him as former Deputy Secretary-General and Secretary-General ad interim, his memory and legacy is best served by recalling him as the heart of the UNIDROIT Library, where he served as Director. He was the face that welcomed visitors and guests to the Institute for over 40 years, and the main reading



room that bears his name since 2016 remains the heart of our academic and institutional life. His passing leaves a massive void in the hearts of all his colleagues, both past and present. Our thoughts go out to his wife Teresa, his daughter Paola, his sons Luca and David and his entire family.

Library cooperation and networking

In 2020 the UNIDROIT Library continued its cooperation strategy with other Roman and foreign libraries. In particular, first steps have been taken to create a future collaboration between the UNIDROIT Library and Library of the IILA (Organizzazione Internazionale Italo-Latinoamericana).

Library management software upgrading

The Library's software management system Aleph500 was upgraded to the latest version Aleph 23.4.3 without any interruption of services for the Institute's professional staff or the researchers in the Library.

Digitisation

On the occasion of the Governing Council's 97th session, Rome, 2 - 4 May 2018, it was agreed to proceed with the proposed in-house digitisation project, as an attractive opportunity to make the collection of a large library, such as the UNIDROIT Library, accessible in digital form. In 2020, therefore, work progressed on the digitisation project of the Library. In 2020, 281 monographs (115 titles of the Gorla collection, furthermore 166 titles regarding, in particular, the 'Chiamenti collection' and monographs regarding comparative law and unification of laws, were digitally prepared and added to the Library's electronic collection. 136 scanned articles were added to the Library's online collection. Special attention was paid to the digitisation of the historical 'Gorla Collection' in order to preserve one of the Library's rarest and most valuable historical collections, from the 17th to the early part of the 19th Century.

Update of Law Reviews List

In 2020, work continued on the upgrade of the Library's the list of law reviews. The links of those publications that are also available online have been included in the relevant bibliographic data sheet in the online catalogue. In particular, a large number of articles available in the databases the Library is subscribed to, had been added to the bibliographical information of the Library's online catalogue, and can now be easily consulted by readers.

Acquisitions, donations, exchanges

In 2020, the Library's holdings increased by 901 titles, of which 489 were purchased outright, 118 were obtained on an exchange basis, while 294 further titles were received as gifts for a total value of € 11.251,00. The expansion of the Library's holdings has been hampered by steady increases in the price of publications and a chronic lack of resources. In 2020, as in previous years, the Library received donations in kind from the Max-Planck-Institute of Foreign Private and Private International Law in Hamburg.

Visitors

The Library continued, following strong sanitary measures due to the pandemic, to attract readers from all over the world. Among the 683 visitors welcomed in 2020, the 32 foreign guests came from 25 different countries.

E. PUBLICATIONS

The Uniform Law Review

The *Uniform Law Review*, published by Oxford University Press (OUP), is available both on paper and online. Subscriptions can be to either or to both. Data on subscriptions indicate that subscriptions to print (i.e. paper) copies have decreased. At the same time, subscriptions to online copies have increased, the greatest increase being to collection subscriptions, i.e. subscriptions

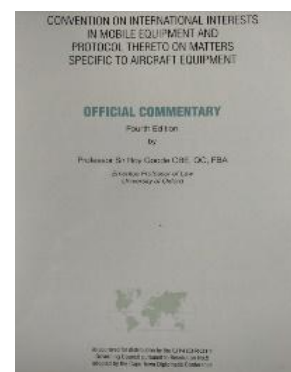
through the OUP collection of journals available online. In 2020 there were 1,863 collection customers with access to the journal via the OUP collection, and 76 customers subscribing outside the collection. In addition, the OUP has a special arrangement for developing countries, which offers subscriptions to journals online at a low rate, at times cost-free.

The visits to the Uniform Law Review pages on the OUP site which include at least one article downloaded, have increased steadily. In 2020 there were an average of 3,241 visits per month. The geographical breakdown of the access to Uniform Law Review by region in 2020 was the following: Europe (37%), North America (15%), East and South East Asia (11%), Africa (9%), Central and South Asia (8%), Oceania (5%), Central and South America (2%), and West Asia (2%). 11% of access could not be tracked to its origin.

Monographs

- *Official Commentaries on the Cape Town Convention on International Interests in Mobile Equipment and its Protocols.*

The Official Commentaries on the Cape Town Convention and its protocols are authored by Sir Roy Goode, the Institute acting as agent for the author. Until 2019, Sir Roy Goode donated his royalties to the UNIDROIT Foundation. In 2019 it was decided that the royalties should instead be used to fund the newly instituted *Sir Roy Goode UNIDROIT Scholarship*. The Scholarship provides one outstanding post-graduate (doctoral) law student, lawyer, academic or government official with the opportunity to research in the UNIDROIT Library and work with the UNIDROIT Secretariat for a period of 6-9 months on a topic related to one of the Institute's high priority legislative projects or existing instruments. The *Sir Roy Goode Scholarship* will provide a unique opportunity to gain valuable insights into the methods of private uniform law making.



The fourth edition of the Official Commentary on the Convention on Interests in Mobile Equipment and the Protocol thereto on Matters Specific to Aircraft Equipment was printed in April 2019. The draft Official Commentary on the Convention on International Interests in Mobile Equipment and Protocol thereto on Matters specific to Mining, Agricultural and Construction Equipment has been sent out for comments and is expected to be published early in 2021. The fourth edition of the Aircraft Official Commentary exists also in an electronic, pdf version, with internal and external links. This was prepared in 2020 following an agreement between the Institute and Aviareto, the Registrar of the Cape Town Convention and Protocols, as the latter wanted an electronic version for the users of the Registry. Access to this electronic version is, for the time being, limited to users of the Registry, it is not accessible to anyone else.

- *UNIDROIT Principles of International Commercial Contracts 2016*

To facilitate distribution of the Principles in Latin America, the Spanish version of the fourth, 2016 edition of the *Principles of International Commercial Contracts* was published also in Chile, Colombia, Mexico and Paraguay, by the *Ediciones Universitarias de Valparaíso* (Chile); the *Editorial Ibañez* and the *Centro de Estudios de Derecho Comparado* (Bogotá, Colombia); the *Centro Mexicano de Derecho Uniforme A.C.* and *Instituto de investigaciones jurídicas UNAM* (Mexico City, Mexico); and the *Centro de estudios de derecho, economía y política (Cedep)* and *Intercontinental Editora* (Asunción, Paraguay).

In 2020, the UNIDROIT Principles were published in languages other than the official languages of the Institute:

- The Japanese translation, prepared by Mr Takashi Uchida, Professor at Waseda University and Emeritus Professor at the University of Tokyo, was published in early 2020;
- The Russian translation, prepared by Mr Alexander Komarov, Member of the UNIDROIT Governing Council, was published late in 2020; and

- The Turkish translation, prepared by a team led by Mr Ergun Özsunay, Emeritus Professor of Civil Law, Comparative Law and EU Private Law, Istanbul University Faculty of Law, was published late in December 2020.

- *The Future: Electronic publications*

Following the positive reaction of the users of the Aviareto electronic Registry to the electronic version of the Official Commentary on the Cape Town Convention and Aircraft Protocol, the possibility of preparing electronic versions for sale also of the other UNIDROIT publications was taken up. Considering the nature of the publications, their utility for practicing lawyers and other users would be greatly enhanced by a linked, electronic version. This would be the case not only for users of the four Official Commentaries, but also of publications such as the UNIDROIT Principles, which have a considerable number of internal cross-references, and the Guides prepared specifically with practicing lawyers in mind.

F. UNIDROIT FOUNDATION

Throughout 2020, the UNIDROIT Foundation (<http://www.unidroitfoundation.org>) continued to support both the substantive and non-legislative work of UNIDROIT.

UNIDROIT Alumni Association



In January 2020, with the support of the UNIDROIT Foundation, UNIDROIT launched the **UNIDROIT Alumni Association**, a platform dedicated to the distinguished guests, which have been welcomed to the UNIDROIT Library as part of UNIDROIT's research scholarship, internship, and independent research programmes. Learn more about the Alumni Association at: <https://unidroitfoundation.org/education-and-research/unidroit-alumni-association/>

The Annual Membership fee is 50 euros for lawyers, jurists, academics, and others, and 25 Euros for young professionals and students (under the age of 35 on 1 January 2020).

Proceeds from the UNIDROIT Alumni Association will go towards supporting the UNIDROIT Internship and Research Scholarship Programme to allow more young professionals and students from developing countries to come to Rome and undertake their legal research.

UNIDROIT COVID-19 Essay Competition

In July 2020, supported by the law firm Stibbe, and facilitated by the UNIDROIT Foundation, UNIDROIT organised the UNIDROIT COVID-19 Essay Competition inviting contributions examining the impact and usefulness of UNIDROIT instruments either during the COVID-19 pandemic, or in the post COVID-19 economy.

A webinar was subsequently organised to celebrate the winners of the competition on 11 March 2021. Watch the webinar at <https://www.youtube.com/watch?v=n64vLPwxc98&t>.



The 6th Workshop of the Economic Assessment of International Commercial Law Reform Project was held on 8 September at the UNIDROIT Headquarters in Rome. Due to the COVID-19 pandemic the meeting was held in hybrid format, with 10 participants present at the Institute, 25 remote participants and over 30 remote observers. The project is managed under the auspices of the [Cape Town Convention Academic Project](#), in partnership with the [UNIDROIT Foundation](#), and the [Aviation Working Group](#). Its primary purpose is to develop a framework for conducting economic analyses of international commercial law reform. The project involves stakeholders from all segments of the law reform process, including international and national policy makers and standards setters, academic experts, international economists and officials of intergovernmental organisations. Building on the outcomes of the fifth workshop in April 2019, the 6th workshop further explored the relationship between the

framework's variables and how the framework is to be applied during different phases of a commercial law reform project. Participating experts also discussed the content of the practical guide being developed alongside the framework. A report for the Workshop will be published in the coming months.



The 4th Workshop for project on Best Practices in the Field of Electronic Registry Design and Operation took place at the UNIDROIT Headquarters in Rome on 9 September. Due to the [COVID-19 pandemic](#) the meeting was held in hybrid format, with 9 participants present at the Institute, 42 remote participants, and over 20 remote observers. It is run under the auspices of the [Cape Town Convention Academic Project](#), in partnership with the [UNIDROIT Foundation](#), [Aviareto](#), and the [Aviation Working Group](#), with the aim of providing guidance on the design and operation of electronic registries. To assess best practice, this project has identified Critical Performance Factors (CPFs) against which electronic registries can be measured. The project brings together international stakeholders with expertise in the design, operation and evaluation of different types of electronic registries, including government representatives, officials from regional and intergovernmental organisations, policy makers, private sector experts and academics. The fourth workshop built upon earlier workshops in 2018 and 2019, and provided an opportunity to evaluate the project's progress, share international experiences, and identify common problems associated with the design and operation of electronic registries. A report for the Workshop will be published in the coming months.



ANNEXE I

LIST OF THE DOCUMENTS PUBLISHED BY THE UNIDROIT SECRETARIAT IN 2020 ON THE IMPLEMENTATION OF ITS WORK PROGRAMME

The following documents relating to the implementation of the Institute's Work Programme were published in 2020 in English and French unless otherwise stated:

STUDY LVIIIA – MODEL LAW ON FACTORING

Factoring Model Law Working Group - First session (remote, Rome, 1–3 July 2020)

- W.G.1 – Doc. 1 Annotated draft Agenda
- W.G.1 – Doc. 2 Issues paper
- W.G.1 – Doc. 3 rev. 1 Background research Report
- W.G.1 – Doc. 4 rev. 1 Report

Factoring Model Law Working Group – Second session (remote, Rome, 14–16 December 2020)

- W.G.2 – Doc. 1 Annotated draft Agenda
- W.G.2 – Doc. 2 Issues paper
- W.G.2 – Doc. 3 Preliminary drafting suggestion for the Model Law on Factoring

STUDY LXV – LEGAL COOPERATION PROGRAMME

Scholarships: Impl. 31 – Research Scholarships Programme. Implementation report for 2020

STUDY LXXVIB – BEST PRACTICES FOR EFFECTIVE ENFORCEMENT

Working Group - First session (hybrid) (Rome, 30 November–2 December 2020)

- W.G.1 – Doc. 1 rev. Draft Agenda
- W.G.1 – Doc. 2 Issues Paper
- W.G.1 – Doc. 3 Draft Paper: Impact of Technology on Enforcement (prepared by Prof. Teresa Rodríguez de las Heras Ballell)

STUDY LXXXB – PREPARATION OF AN INTERNATIONAL GUIDANCE DOCUMENT ON AGRICULTURAL LAND INVESTMENT CONTRACTS

First Regional Consultation (Beijing, 9 July 2019)

- Doc. 7 Report – Raising awareness and seeking feedback from China and Asia

Second Regional Consultation (São Paulo, 9 August 2019)

- Doc. 8 Report – Raising awareness and seeking feedback from Brazil and Latin America

Third Regional Consultation (Nairobi, 23 October 2019)

- Doc. 9 Report – Raising awareness and seeking feedback from Kenya and Africa

Online Consultations

- Doc. 10 Report of the Online Consultations – Raising awareness and seeking feedback

Doc. 11 Consolidated Report - Raising awareness and seeking feedback

Drafting Committee Meeting (Rome, 2–3 March 2020)

Inf. 1 Annotated draft Agenda
Inf. 2 List of participants

Draft UNIDROIT/FAO/IFAD Legal Guide on Agricultural Land Investment Contracts (ALIC)

STUDY LXXXII – DIGITAL ASSETS AND PRIVATE LAW

Digital Assets and Private Law Working Group – First session (remote) (Rome, 17–19 November 2020)

W.G.1 – Doc. 1 Annotated draft Agenda
W.G.1 – Doc. 2 Issues paper
W.G.1 – Doc. 3 Summary Report of the Exploratory Workshop
W.G.1 – Doc. 4 Summary Report of the First session of the Working Group

Exploratory Working Group on Digital Assets and Private Law

First session (9 July 2020) – Report (Not available)
Second session (22 July 2020) – Report (Not available)
Third session (10 August 2020) – Report (Not available)
Fourth session (24 August 2020) – Report (Not available)
Fifth session (2 September 2020) – Report (Not available)

STUDY LXXXIII – MODEL LAW ON WAREHOUSE RECEIPTS

UNIDROIT-UNCITRAL Webinar on Warehouse Receipts (26 March 2020)

Agenda
Summary Report
List of participants

First Session of the Working Group (hybrid) (Rome, 2–4 December 2020)

W.G.1 – Doc. 1 Work Plan
W.G.1 – Doc. 2 Annotated Draft Agenda
W.G.1 – Doc. 3 Issues Paper
W.G.1 – Doc. 4 Background Paper

CURRENT WORK ON UNIDROIT'S DEPOSITORY FUNCTIONS

STUDY LXXIIK – PROTOCOL ON MATTERS SPECIFIC TO MINING, AGRICULTURAL AND CONSTRUCTION EQUIPMENT (MAC PROTOCOL)

DC13/IR – ESTABLISHMENT OF THE INTERNATIONAL REGISTRY FOR MINING, AGRICULTURAL AND CONSTRUCTION EQUIPMENT

Preparatory Commission for the establishment of the International Registry for MAC Equipment pursuant to the MAC Protocol – First session (remote) (Rome, 21–22 May 2020)

MACPC1 - Doc. 1 Annotated draft Order of Business

MACPC1 - Doc. 2	Provisional Rules of Procedure
MACPC1 - Doc. 3	Preliminary draft baseline Regulations for the International Registry for the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agricultural and Construction Equipment
MACPC1 - Doc. 4	Regulations and procedures for the Aircraft International Registry
MACPC1 - Doc. 5	Draft Rail Regulations for the International Registry
MACPC1 - Doc. 6	Draft Space Regulations for the International Registry
MACPC1 - Doc. 7	MAC Protocol
MACPC1 - Doc. 8	MAC Diplomatic Conference Final Resolutions
MACPC1 - Doc. 9	Final Report

Regulations Working Group – First session (remote) (14–15 September 2020)

W.G.1 - Doc. 1	Annotated draft Order of Business
W.G.1 - Doc. 2	Issues paper
W.G.1 - Doc. 3	Summary Report

Registrar Working Group – First session (remote) (4 November 2020)

W.G.1 - Doc. 1	Annotated draft Order of Business
W.G.1 - Doc. 2	Issues paper
W.G.1 - Doc. 3	Draft request for proposals (RFPs) for the International Registry for MAC Equipment (private and confidential)
W.G.1 - Doc. 4	Summary Report

Regulations Working Group – Second session (remote) (24 November 2020)

W.G.2 - Doc. 1	Annotated draft Order of Business
W.G.2 - Doc. 2	Issues paper
W.G.2 - Doc. 3	Preliminary draft baseline Regulations for the International Registry for the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agricultural and Construction Equipment
W.G.2 - Doc. 4	Summary Report

Preparatory Commission for the establishment of the International Registry for MAC Equipment pursuant to the MAC Protocol – Second session (remote) (Rome, 10–11 December 2020)

MACPC2 - Doc. 1	Annotated draft Order of Business
MACPC2 - Doc. 2	Rules of Procedure for the Preparatory Commission
MACPC2 - Doc. 3	Research on potential candidates for Supervisory Authority
MACPC2 - Doc. 4	Preliminary draft baseline Regulations for the International Registry for the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agricultural and Construction Equipment
MACPC2 - Doc. 5	Note on registration criteria
MACPC2 - Doc. 6	Summary Report
MACPC2 - Doc. 7	Background research and preliminary assessment regarding the suitability of the World Trade Organization (WTO) and the Multilateral Investment Guarantee Agency (MIGA) for the role of Supervisory Authority of the International Registry to be established under the Mining, Agriculture and Construction (MAC) Protocol of the Cape Town Convention

ANNEXE II

INSTRUMENTS DRAWN UP BY UNIDROIT / INSTRUMENTS ÉLABORÉS PAR UNIDROIT

- 1964 Convention relating to a Uniform Law on the International Sale of Goods (ULIS) / *Convention portant loi uniforme sur la vente internationale des objets mobiliers corporels (LUVI)* ^{10*}
- 1964 Convention relating to a Uniform Law on the Formation of Contracts for the International Sale of Goods (ULFIS) / *Convention portant loi uniforme sur la formation des contrats de vente internationale des objets mobiliers corporels (LUFC)* *
- 1970 International Convention on the Travel Contracts (CCV) / *Convention internationale relative au contrat de voyage (CCV)*
- 1973 Convention providing a Uniform Law on the Form of an International Will / *Convention portant loi uniforme sur la forme d'un testament international*
- 1983 Convention on Agency in the International Sale of Goods / *Convention sur la représentation en matière de vente internationale de marchandises*
- 1988 UNIDROIT Convention on International Financial Leasing / *Convention d'UNIDROIT sur le crédit-bail international*
- 1988 UNIDROIT Convention on International Factoring / *Convention d'UNIDROIT sur l'affacturage international*
- 1994 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 1995 UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects / *Convention d'UNIDROIT sur les biens culturels volés ou illicitement exportés*
- 1998 Guide to International Master Franchise Arrangements / *Guide sur les accords internationaux de franchise principale*
- 2001 Convention on International Interests in Mobile Equipment / *Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2001 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Aircraft Equipment / *Protocole portant sur les questions spécifiques aux matériels d'équipement aéronautiques à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2002 Master Franchise Disclosure Law / *Loi type sur la divulgation des informations en matière de franchise*
- 2004 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 2004 ALI/UNIDROIT Principles of Transnational Civil Procedure / *Principes ALI/UNIDROIT de procédure civile transnationale*
- 2007 Luxembourg Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Railway Rolling Stock / *Protocole de Luxembourg portant sur les questions spécifiques au matériel roulant ferroviaire à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2007 Guide to International Master Franchise Arrangements, 2nd edition / *Guide sur les accords internationaux de franchise principale, 2ème édition*
- 2008 UNIDROIT Model law on Leasing / *Loi type d'UNIDROIT sur la location et la location-financement*
- 2009 UNIDROIT Convention on Substantive Rules for Intermediated Securities / *Convention d'UNIDROIT sur les règles matérielles relatives aux titres intermédiés*

^{10*} The ULIS and ULFIS Conventions have been denounced by Belgium, Germany, Italy, Luxembourg, the Netherlands and San Marino. Under the 1969 Vienna Convention on the Law of Treaties they have not ceased to exist and they are still in force between Gambia, Israel and the United Kingdom / *Les Conventions LUVI et LUFC ont été dénoncées par l'Allemagne, la Belgique, l'Italie, le Luxembourg, les Pays-Bas et Saint-Marin. En vertu de la Convention de Vienne sur le droit des traités de 1969, elles n'ont pas cessé d'exister et restent en vigueur entre la Gambie, Israël et le Royaume-Uni.*

- 2010 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 2011 UNESCO-UNIDROIT Model Provisions on State Ownership of Undiscovered Cultural Objects / *Dispositions modèles UNESCO-UNIDROIT définissant la propriété de l'Etat sur les biens culturels non découverts*
- 2012 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Space Assets / *Protocole portant sur les questions spécifiques aux biens spatiaux à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2013 Principles on the Operation of Close-out Netting Provisions / *Principes concernant l'applicabilité des clauses de résiliation-compensation*
- 2013 Model Clauses for Use by Parties of the UNIDROIT Principles of International Commercial Contracts / *Clauses types sur l'utilisation des Principes d'UNIDROIT relatifs aux contrats du commerce international par les parties*
- 2016 Principles of International Commercial Contracts / *Principes relatifs aux contrats du commerce international*
- 2016 UNIDROIT/FAO/IFAD Legal Guide on Contract Farming / *Guide juridique sur l'agriculture contractuelle UNIDROIT/FAO/FIDA*
- 2017 UNIDROIT Legislative Guide on Intermediated Securities / *Guide législatif d'UNIDROIT sur les titres intermédiés*
- 2019 Protocol to the Convention on International Interests in Mobile Equipment on Matters specific to Mining, Agriculture and Construction Equipment / *Protocole portant sur les questions spécifiques aux matériels d'équipement miniers, agricoles et de construction à la Convention relative aux garanties internationales portant sur des matériels d'équipement mobiles*
- 2020 UNCITRAL-HCCH-UNIDROIT Legal Guide to uniform instruments in the area of international commercial contracts (with a focus on sales) / *Guide juridique CNUDCI-HCCH-UNIDROIT sur les instruments uniformes dans le domaine des contrats du commerce international (notamment de vente)*

STATUS OF IMPLEMENTATION ^{11(*)} OF CONVENTIONS DRAWN UP BY UNIDROIT AND APPROVED AT DIPLOMATIC CONFERENCES CONVENED BY MEMBER STATES OF UNIDROIT /

ÉTAT DE MISE EN ŒUVRE ^(*) DES CONVENTIONS PRÉPARÉES PAR UNIDROIT ET APPROUVÉES À DES CONFÉRENCES DIPLOMATIQUES CONVOQUÉES PAR DES ÉTATS MEMBRES D'UNIDROIT

**INTERNATIONAL CONVENTION ON THE TRAVEL CONTRACT (CCV)
CONVENTION INTERNATIONALE RELATIVE AU CONTRAT DE VOYAGE (CCV)**

Adoption:	Place: Brussels / <i>Lieu: Bruxelles</i> Date: 23-04-1970
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 24-02-1976 Conditions: 5 ratifications (art. 36)
Contracting States / Etats contractants	6
Statut / État	https://www.unidroit.org/status-ccv-1970 https://diplomatie.belgium.be/sites/default/files/downloads/iii12.pdf
Depositary / Dépositaire:	Government of Belgium / <i>Gouvernement belge</i> (art. 34)

^{11(*)} Based on information available to the Secretariat as of 31 December 2020 / *Ce document est basé sur les informations dont dispose le Secrétariat au 31 décembre 2020.*

The UNIDROIT Secretariat may assist States with technical consultations for the ratification of, or the accession to its instruments, as well as for the preparation of legislation based on those instruments / *Le Secrétariat d'UNIDROIT peut apporter son assistance technique aux États en vue de la ratification de ses instruments, ou de l'adhésion à ceux-ci, ainsi que pour l'élaboration de législations basées sur ces instruments.*

UNIDROIT website page /
Page internet d'UNIDROIT

<https://www.unidroit.org/instruments/transport/ccv>
<https://www.unidroit.org/fr/instruments/instruments-transport/instruments-voyage-ccv>

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESSION / ADHÉSION	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES	EFFECT OF / EFFET DE DENU(ON)CIATION
Argentina / Argentine	–	25-11-76	25-02-77	–	14-01-10
Belgium / Belgique	23-04-70	11-04-73	24-02-76	–	04-10-94
Cameroon / Cameroun	–	16-04-75	24-02-76	D: Art. 13(1)	–
Côte d'Ivoire	23-04-70	–	–	–	–
Dahomey	–	28-03-75	24-02-76	–	–
Haute-Volta	27-04-70	–	–	–	–
Italy / Italie	23-04-70	04-07-79	04-10-79	R: Art. 40(1)(a)	–
Lebanon / Liban	23-04-70	–	–	–	–
Morocco / Maroc	23-04-70	–	–	R: Art. 40(1)(a)-(b)	–
Niger	23-04-70	–	–	–	–
Philippines	23-04-70	–	–	–	–
Portugal	23-04-70	–	–	–	–
Rep. of China / Rép. de Chine	30-12-71	16-08-72	24-02-76	–	–
San Marino / Saint-Marin	23-04-70	16-04-09	16-07-09	R: Art. 40(1)(a)	–
Togo	25-03-71	24-11-75	24-02-76	–	–
Vatican City / Cité du Vatican	23-04-70	–	–	–	–

CONVENTION PROVIDING A UNIFORM LAW ON THE FORM OF AN INTERNATIONAL WILL
CONVENTION PORTANT LOI UNIFORME SUR LA FORME D'UN TESTAMENT INTERNATIONAL

Adoption: Place / Lieu: Washington
Date: 26-10-1973

Entry into force: Yes/Oui ≈ Date: 09-02-1978
Entrée en vigueur: Conditions: 5 ratifications (Art. XI)

**Contracting States /
États contractants** 13

Statut / État <https://www.unidroit.org/status-successions>
<https://www.state.gov/wp-content/uploads/2019/05/226-Wills-status-table-5.3.2019.pdf>

Depositary / Dépositaire: Government of the United States of America /
Gouvernement des États-Unis d'Amérique

UNIDROIT website page / <https://www.unidroit.org/instruments/international-will>
Page internet d'UNIDROIT <https://www.unidroit.org/fr/instruments/testaments-instruments>

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. DÉCL. ou RÉSERVES
Australia / Australie	–	10-09-14	10-03-15	–
Belgium / Belgique	17-05-74	21-04-83	21-10-83	–
Bosnia-Herzegovina / Bosnie-Herzégovine *	–	15-08-94	15-08-94	–
Canada for / pour	–	24-01-77		D: Art. XIV
Manitoba			09-02-78	
Newfoundland / Terre Neuve			09-02-78	
Ontario			15-09-78	
Alberta			01-12-78	
Saskatchewan			08-10-82	
Prince Edward Island / Ile du Prince Edouard			22-03-95	
New Brunswick / Nouveau Brunswick			05-12-97	
Nova Scotia / Nouvelle Ecosse			27-05-01	
British Colombia / Colombie britannique			31-03-14	
Croatia / Croatie *	–	18-05-94	18-05-94	
Cyprus / Chypre	–	19-10-82	19-04-83	–
Ecuador / Équateur	26-07-74	03-04-79	03-10-79	D
France	29-11-74	01-06-94	01-12-94	–
Holy See / Saint-Siège	02-11-73	–	–	–
Iran	27-10-73	–	–	–
Italy / Italie	–	16-05-91	16-11-91	D: Arts. I, II, III
Laos	30-10-73	–	–	–
Libya / Libye	–	04-08-77	09-02-78	–
Niger	–	19-05-75	09-02-78	–
Portugal	–	19-11-75	09-02-78	–

Russian Fed. / <i>Féd. de Russie</i>	17-12-74	–	–	D: Art. XIII
Sierra Leone	27-10-73	–	–	–
Slovenia / <i>Slovénie</i> *	–	20-08-92	20-08-92	–
United Kingdom / <i>Royaume-Uni</i>	10-10-74	–	–	–
United States of America / <i>Etats-Unis d'Amérique</i>	27-10-73	–	–	–

* Dates of notification of succession to the Convention by Bosnia-Herzegovina, Croatia and Slovenia. The former Socialist Federal Republic of Yugoslavia deposited an instrument of accession to the Convention on 9 August 1977. / *Dates de notification de succession à la Convention par la Bosnie-Herzégovine, la Croatie et la Slovénie. L'ancienne République fédérale socialiste de Yougoslavie avait déposé un instrument d'adhésion le 9 août 1977.*

CONVENTION ON AGENCY IN THE INTERNATIONAL SALE OF GOODS CONVENTION SUR LA REPRÉSENTATION EN MATIÈRE DE VENTE INTERNATIONALE DE MARCHANDISES

Adoption:	Place: Geneva / <i>Lieu: Genève</i> Date: 17-02-83
Entry into force:	No / <i>Non</i>
Entrée en vigueur:	Conditions: 10 ratifications (art. 33)
Contracting States / États contractants	/
Statut / État	https://www.unidroit.org/status-agency https://www.eda.admin.ch/dam/eda/fr/documents/aussenpolitik/voelkerrecht/autres-conventions/divers/Cvim-parties_fr.pdf
Depositary:	Government of Switzerland
Dépositaire:	Gouvernement suisse (art. 21)
UNIDROIT website page / Page internet d'UNIDROIT	https://www.unidroit.org/instruments/agency https://www.unidroit.org/fr/instruments/representation

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESSION / ADHÉSION	ENTRY INTO FORCE/ENTRÉE EN VIGUEUR	DECL. or RESERV. DÉCL. ou RÉSERVES	observations
Chile / <i>Chili</i>	17-02-83	–	–	–	–
France	25-10-84	07-08-87	–	–	–
Holy See / <i>Saint-Siège</i>	17-02-83	–	–	–	–
Italy / <i>Italie</i>	09-04-84	16-06-86	–	–	–
Mexico / <i>Mexique</i>	–	22-12-87	–	Art. 27, 29	–
Morocco / <i>Maroc</i>	17-02-83	–	–	–	–
Netherlands / <i>Pays-Bas</i>	–	02-02-94	–	–	02-02-95 (*)
South Africa / <i>Afrique du sud</i>	–	27-01-86	–	–	–
Switzerland / <i>Suisse</i>	17-02-83	–	–	–	–

(*) Application extended to Aruba / *Application étendue à Aruba.*

UNIDROIT CONVENTION ON INTERNATIONAL FINANCIAL LEASING CONVENTION D'UNIDROIT SUR LE CRÉDIT-BAIL INTERNATIONAL

Adoption:	Place / <i>Lieu</i> : Ottawa Date: 28-05-88
Entry into force:	Yes / <i>Oui</i> Date: 01-05-95
Entrée en vigueur:	Conditions: 3 ratifications (art. 16.1)
Contracting States / États contractants	10
Statut / État	https://www.unidroit.org/status-leasing-conv-1988 https://treaty-accord.gc.ca/details.aspx?id=104674
Depositary / Dépositaire:	Government of Canada / <i>Gouvernement du Canada</i> (art. 25.1)

UNIDROIT website page /
Page internet d'UNIDROIT

<https://www.unidroit.org/instruments/leasing/convention-leasing>
<https://www.unidroit.org/fr/instruments/credit-bail/credit-bail-convention-fr>

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESSION / ADHÉSION	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. DÉCL. ou RÉSERVES
Belarus / Bélarus	–	18-08-98	01-03-99	–
Belgium / Belgique	21-12-90	–	–	–
Czech Rep. / Rép. tchèque	16-05-90	–	–	–
Finland / Finlande	30-09-90	–	–	–
France	07-11-89	23-09-91	01-05-95	Art. 20
Ghana	28-05-88	–	–	–
Guinea / Guinée	28-05-88	–	–	–
Hungary / Hongrie	–	07-05-96	01-12-96	–
Italy / Italie	13-12-90	29-11-93	01-05-95	–
Latvia / Lettonie	–	06-08-97	01-03-98	–
Marschall Islands / Iles Marshall	19-10-11	19-10-11	01-05-12	–
Morocco / Maroc	04-07-88	–	–	–
Nigeria / Nigéria	28-05-88	25-10-94	01-05-95	–
Panama	31-12-90	26-03-97	01-10-97	–
Philippines	28-05-88	–	–	–
Russian Federation / Féd. de Russie	–	03-06-98	01-01-99	Art. 20
Slovak Rep. / Rép. slovaque	16-05-90	–	–	–
Tanzania / Tanzanie	28-05-88	–	–	–
Ukraine	–	05-12-06	01-07-07	–
United States of America / États-Unis d'Amérique	28-12-90	–	–	–
Uzbekistan / Ouzbékistan	–	06-07-00	01-02-01	–

UNIDROIT CONVENTION ON INTERNATIONAL FACTORING CONVENTION D'UNIDROIT SUR L'AFFACTURAGE INTERNATIONAL

Adoption:

Place / Lieu: Ottawa
Date: 28-05-88

Entry into force:

Yes / Oui Date: 01-05-95

Entrée en vigueur:

Conditions: 3 ratifications (art. 14.1)

Contracting States / États contractants

9

Statut / État

<https://www.unidroit.org/status-1988-factoring>
<https://www.treaty-accord.gc.ca/details.aspx?lang=eng&id=104673&t=637574452955155017>
Government of Canada / Gouvernement du Canada (art. 23.1)

Depository / Dépositaire:

UNIDROIT website page /
Page internet d'UNIDROIT

<https://www.unidroit.org/instruments/factoring>
<https://www.unidroit.org/fr/instruments/affacturage>

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESSION / ADHÉSION	ENTRY INTO FORCE ENTRÉE EN VIGUEUR	DECL. or RESERV. DÉCL. ou RÉSERVES
Belgium / Belgique	21-12-90	18-03-10	01-10-10	Art. 18
Czech Rep. / Rép. tchèque	16-05-90	–	–	–
Finland / Finlande	30-11-90	–	–	–
France	07-11-89	23-09-91	01-05-95	Art. 18
Germany / Allemagne	21-12-90	20-05-98	01-12-98	–
Ghana	28-05-88	–	–	–
Guinea / Guinée	28-05-88	–	–	–
Hungary / Hongrie	–	07-05-96	01-12-96	–
Italy / Italie	13-12-90	29-11-93	01-05-95	–
Latvia / Lettonie	–	06-08-97	01-03-98	Art. 18
Morocco / Maroc	04-07-88	–	–	–
Nigeria / Nigéria	28-05-88	25-10-94	01-05-95	–
Philippines	28-05-88	–	–	–
Russian Fed. / Féd. de Russie	22-08-14	22-08-14	01-03-15	–
Slovak Rep. / Rép. slovaque	16-05-90	–	–	–
Tanzania / Tanzanie	28-05-88	–	–	–
Ukraine	–	05-12-06	01-07-07	–
United Kingdom / Royaume-Uni	31-12-90	–	–	–
United States of America / États-Unis d'Amérique	28-12-90	–	–	–

UNIDROIT CONVENTION ON STOLEN OR ILLEGALLY EXPORTED CULTURAL OBJECTS
CONVENTION D'UNIDROIT SUR LES BIENS CULTURELS VOLÉS OU ILLICITEMENT EXPORTÉS

Adoption:	Place / <i>Lieu</i> : Rome Date: 24-06-1995
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 01-07-1998 Conditions: 5 ratifications (Art. 12)
Contracting States / États contractants	49 https://www.unidroit.org/status-cp
Statut / État	
Depositary / Dépositaire:	Italian Government / <i>Gouvernement italien</i>
UNIDROIT website page / Page internet d'UNIDROIT	https://www.unidroit.org/instruments/cultural-property/1995-convention https://www.unidroit.org/fr/instruments/biens-culturels/convention-de-1995

STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECLARATIONS / DÉCLARATIONS
Afghanistan	–	23-09-05	01-03-06	Art. 16
Algeria / <i>Algérie</i>	–	09-04-15	01-10-15	Art. 16
Angola	–	19-06-14	01-12-14	Arts. 16, 17
Argentina / <i>Argentine</i>	–	03-08-01	01-02-02	Art. 16
Azerbaijan / <i>Azerbaïdjan</i>	–	06-06-03	01-12-03	Art. 16
Bolivia / <i>Bolivie</i>	29-06-96	13-04-99	01-10-99	Art. 16
Bosnia and Herzegovina / <i>Bosnie Herzégovine</i>	–	08-05-17	01-11-17	Art. 16
Botswana	–	28-08-17	01-02-18	Art. 16
Brazil / <i>Brésil</i>	–	23-03-99	01-09-99	Art. 16
Burkina Faso	24-06-95	02-10-18	01-04-19	Arts. 16, 17
Cambodia / <i>Cambodge</i>	24-06-95	11-07-02	01-01-03	Arts. 3(5), 16
China / <i>Chine</i>	–	07-05-97	01-07-98	Arts. 3(5), 16
Colombia / <i>Colombie</i>	–	14-06-12	01-12-12	–
Côte d'Ivoire	24-06-95	23-12-20	02-06-21	Arts. 16, 17
Croatia / <i>Croatie</i>	24-06-95	20-09-00	01-03-01	Arts. 16, 17
Cyprus / <i>Chypre</i>	–	02-03-04	01-09-04	–
Denmark / <i>Danemark</i>	–	01-01-11	01-07-11	Arts. 14(1), 16, 17
Ecuador / <i>Équateur</i>	–	26-11-97	01-07-98	Arts. 3(5), 16
El Salvador	–	16-07-99	01-01-00	Art. 16
Finland / <i>Finlande</i>	01-12-95	14-06-99	01-12-99	Arts. 13(3), 16
France	24-06-95	–	–	–
Gabon	–	12-05-04	01-11-04	–
Georgia / <i>Géorgie</i>	27-06-95	–	–	–
Ghana	–	20-09-19	01-03-20	Arts. 16, 17
Greece / <i>Grèce</i>	–	19-07-07	01-01-08	Arts. 3(5), 13(3), 16
Guinea / <i>Guinée</i>	24-06-95	–	–	–
Guatemala	–	03-09-03	01-03-04	Arts. 3(5), 16
Honduras	–	27-08-13	01-02-14	–
Hungary / <i>Hongrie</i>	24-06-95	08-05-98	01-11-98	Arts. 3(5), 16
Iran (Islamic Rep. of / <i>Rép. islamique d'Iran</i>)	–	22-06-05	01-12-05	Art. 16
Italy / <i>Italie</i>	24-06-95	11-10-99	01-04-00	Arts. 13(3), 16
Lao People's Democratic Republic / <i>Rép. dém. Populaire lao</i>	–	18-05-17	01-11-17	Art. 16
Latvia / <i>Lettonie</i>	–	08-02-19	01-08-19	Art. 16
Lithuania / <i>Lituanie</i>	24-06-95	04-04-97	01-07-98	Art. 16
Montenegro / <i>Monténégro</i>	–	08-07-19	01-01-20	Art. 16
Myanmar	–	20-06-18	01-12-18	Arts. 3(5), 16, 17
Netherlands / <i>Pays-Bas</i>	28-06-96	–	–	Arts. 3(5), 13(3)
New Zealand / <i>Nouvelle-Zélande</i>	–	16-11-06	01-05-07	Art. 16
Nigeria / <i>Nigéria</i>	–	10-12-05	01-06-06	–
North Macedonia / <i>Macédoine du nord</i>	–	22-08-13	01-02-14	Art. 16
Norway / <i>Norvège</i>	–	28-08-01	01-03-02	Arts. 13(3), 14, 16
Pakistan	27-06-96	–	–	–
Panama	–	26-06-09	01-12-09	Arts. 3(5), 16
Paraguay	13-06-96	27-05-97	01-07-98	Arts. 16, 17
Peru / <i>Pérou</i>	28-06-96	05-03-98	01-09-98	Art. 16
Portugal	23-04-96	19-07-02	01-01-03	Arts. 16, 17
Romania / <i>Roumanie</i>	27-06-96	21-01-98	01-07-98	Arts. 16, 17
Russian Fed. / <i>Féd. de Russie</i>	29-06-96	–	–	–
Senegal / <i>Sénégal</i>	29-06-96	–	–	–
Slovakia / <i>Slovaquie</i>	–	16-06-03	01-12-03	Art. 16
Slovenia / <i>Slovénie</i>	–	08-04-04	01-10-04	Art. 16
South Africa / <i>Afrique du sud</i>	–	09-01-18	01-07-17	Arts. 16, 17

Spain / Espagne	–	21-05-02	01-11-02	Arts. 3 (5), 13(3), 16
Sweden / Suède	–	28-06-11	01-12-11	Arts. 13(3), 16, 17
Switzerland / Suisse	26-06-96	–	–	–
Syrian Arab Rep. / Rép. arabe syrienne	–	27-04-18	01-10-18	Arts. 16, 17, D
Tunisia / Tunisie	–	02-03-17	01-09-17	Art. 16
Zambia / Zambie	24-06-95	–	–	–

CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES

Adoption:	Place: Cape Town / <i>Lieu</i> : Le Cap Date: 16-11-2001
Entry into force: Entrée en vigueur:	Yes / <i>Oui</i> ≈ Date: 01-03-2006 Conditions: 3 ratifications (Art. 49(1))
Contracting States / États contractants	82
Status / État	https://www.unidroit.org/english/conventions/mobile-equipment/181219-ctc-print-ef.pdf
Regional economic integration organisations / Organisations régionale d'intégration économique	1
Depositary / Dépositaire:	UNIDROIT
UNIDROIT website page / Page internet d'UNIDROIT	https://www.unidroit.org/instruments/security-interests/cape-town-convention https://www.unidroit.org/fr/instruments/garanties-internationales/convention-du-cap

STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
Afghanistan	–	25-07-06	01-11-06	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Albania/ Albanie	–	30-10-07	01-02-08	D: Arts. 39(1)(a)-(b), 54(2)
Angola	–	30-04-06	01-08-06	D: Arts. 39(1)(a), 40, 54(2)
Argentina / Argentine	–	10-04-18	01-08-18	D: Art. 39(1)(a)-(b), 39(4), 53, 54(2)
Australia / Australie	–	26-05-15	01-09-15	D: Art. 39(1)(a), 53, 54(2), 55
Bahrain / Bahrein	–	27-11-12	01-03-13	D: Arts. 39(1)(a)-(b), 40, 54(2)
Bangladesh	–	15-12-08	01-04-09	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Belarus / Bélarus	–	28-06-11	01-01-12	D: Art. 54(2)
Bhutan	–	04-07-14	01-11-14	D: Arts. 39(1)(a), 54(2)
Brazil / Brésil	–	30-11-11	01-03-12	D: Arts. 39(1)(a)-(b), 39(4), 53, 54(2)
Burkina Faso	–	12-12-14	01-01-18	D: Art. 54(2)
Burundi	16-11-01	–	–	–
Cameroon / Cameroun	–	19-04-11	01-08-11	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
Canada	31-03-04	21-12-12	01-04-13	D: Arts. 39(1)(a)-(b), 39(4), 52*, 53*, 54(2), 60
Cape Verde / Cap Vert	–	29-09-07	01-01-08	D: Arts. 39(1)(a), 40, 53, 54(2)
Chile / Chili	16-11-01	–	–	–
China / Chine	16-11-01	03-02-09	01-06-09	D: Arts. 39(1)(a)-(b), 40, 50, 53, 54(1)-(2), 55
Colombia / Colombie	–	19-02-07	01-06-07	D: Arts. 39(1)(a), 54(2)
Congo	16-11-01	25-01-13	01-05-13	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Costa Rica	–	26-08-11	01-12-18	D: Arts. 53, 54(2)
Côte d'Ivoire	–	09-02-15	01-07-16	D: Arts. 39(1)(a)*, 40*, 52*, 53*, 54(2)*
Cuba	16-11-01	28-01-09	01-05-09	D: Art. 54(2)
Democratic Republic of the Congo / Rép. démocratique du Congo	–	06-05-16	01-09-16	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Denmark / Danemark	–	26-10-15	01-02-16	D: Arts. 39(1)(a)-(b), 40, 52, 54(2), 55
Egypt / Égypte	–	10-12-14	01-04-15	D: Arts. 39(1)(a)*, 53, 54(2)*
Eswatini	–	17-11-16	01-09-17	D: Art. 54(2)
Ethiopia / Éthiopie	16-11-01	21-11-03	01-04-04	D: Arts. 39(1)(a), 40, 54(2)
Fiji / Fidji	–	05-09-11	01-01-12	D: Art. 54(2) *
France	16-11-01	–	–	–
Gabon	–	16-04-10	01-08-17	D: Art. 54(2)
Germany / Allemagne	16-11-01	–	–	D
Ghana	16-11-01	20-12-18	01-04-19	D: Arts. 39(1)(a)-(b), 53, 54(2), 60(1)
Iceland / Islande	–	23-06-20	01-10-20	D: Arts. 39(1)(a)-(b), 40, 53, 54(2), 55
India / Inde	–	31-03-08	01-07-08	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Indonesia / Indonésie	–	16-03-07	01-07-07	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)

Ireland / <i>Irlande</i>	–	29-07-05	01-11-05	D: Arts. 39(1)(a)-(b), 54(2)
Italy / <i>Italie</i>	06-12-01	–	–	–
Jamaica / <i>Jamaïque</i>	16-11-01	–	–	–
Jordan / <i>Jordanie</i>	16-11-01	31-08-10	01-12-10	D: Arts. 39(1)(a), 54(2)
Kazakhstan	–	21-01-09	01-10-11 ³	D: Arts. 39(1)(a)*-(b)*, 39(4)*, 40*, 53*, 54(2)*
Kenya	16-11-01	13-10-06	01-02-07	D: Arts. 39(1)(a)*-(b), 40, 53, 54(2)
Kuwait / <i>Koweït</i>	–	31-10-13	01-02-14	D: Art. 54(2)
Latvia / <i>Lettonie</i>	–	08-02-11	01-06-11	D: Art. 39(1)(a)*, 40*, 54(2)
Lesotho	16-11-01	–	–	–
Luxembourg	–	27-06-08	01-10-08	D: Arts. 53, 54(2)
Madagascar	–	10-04-13	01-08-13	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malawi	–	16-01-14	01-05-14	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malaysia / <i>Malaisie</i>	–	02-11-05	01-03-06	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Malta / <i>Malte</i> **	–	01-10-10	01-02-11	D: Arts. 39(1)(a), 39(4), 40, 53, 54(2)
Mexico / <i>Mexique</i>	–	31-07-07	01-11-07	D: Arts. 39(1)(a)-(b), 50, 53, 54(2), 60
Moldova	–	26-06-15	01-06-19	D: Art. 54(2)
Mongolia / <i>Mongolie</i>	–	19-10-06	01-02-07	D: Arts. 39(1)(a)-(b), 53, 54(2)
Mozambique	–	30-01-12	01-11-13	D: Arts. 39(1)(a), 40, 54(2)
Myanmar	–	03-12-12	01-04-13	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
Namibia / <i>Namibie</i>	–	23-07-18	01-11-18	D: Arts. 39(1)(a), 39(4), 54(2), 55
Netherlands / <i>Pays-Bas</i> ⁴	–	17-05-10	–	D: Arts. 39(1)(a)-(b), 52, 53, 54(2)
Caribbean part/ <i>Partie caraïbe</i>	–	–	01-10-10	–
Aruba	–	–	01-09-10	–
Curaçao	–	–	01-10-10	–
Sint Maarten	–	–	01-10-10	–
New Zealand / <i>Nouvelle-Zélande</i>	–	20-07-10	01-11-10	D: Arts. 39(1)(a), 52, 53, 54(2), 55
Nigeria / <i>Nigéria</i>	16-11-01	16-12-03	01-04-04	D: Arts. 39(1)(a)*, 40*, 53*, 54(2)*
Norway / <i>Norvège</i>	–	20-12-10	01-04-11	D: Arts. 39(1)(a)-(b), 40, 54(2), 55
Oman	–	21-03-05	01-07-05	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Pakistan	–	22-01-04	01-05-04	D: Arts. 39(1)(a)-(b), 39(4), 40, 52, 53, 54(2)
Panama	11-09-02	28-07-03	01-04-04	D: Arts. 39(1)(a)-(b), 39(4), 50, 53, 54(2)
Paraguay	–	19-12-18	01-04-19	D: Arts. 39(1)(a)-(b), 39(4), 53, 54(2)
Qatar	–	08-01-20	01-05-20	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Romania / <i>Roumanie</i>	–	30-03-18	01-07-18	D: Arts. 39(1)(b), 54(2)
Russian Federation / <i>Fédération de Russie</i>	–	25-05-11	01-09-11	D: Arts. 39(1)(a)-(b), 53, 54(2)
Rwanda	–	28-01-10	01-05-10	D: Arts. 39(1)(a), 40, 52, 53, 54(2)
San Marino / <i>Saint-Marin</i>	–	09-09-14	01-01-15	D: Arts. 39(1)(a)-(b), 39(4), 40, 53, 54(2)
Saudi Arabia / <i>Arabie saoudite</i>	12-03-03	27-06-08	01-10-08	D: Art. 54(2)
Senegal / <i>Sénégal</i>	02-04-02	09-01-06	01-05-06	D: Arts. 39(1)(a)-(b), 40, 52, 53, 54(2)
Seychelles	–	13-09-10	¹	–
Sierra Leone	–	26-07-16	01-11-16	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Singapore / <i>Singapour</i>	–	28-01-09	01-05-09	D: Arts. 39(1)(a)-(b), 39(4), 53, 54(2)
South Africa / <i>Afrique du sud</i>	16-11-01	18-01-07	01-05-07	D: Arts. 39(1)(a)-(b), 40, 54(2)
Spain / <i>Espagne</i>	–	28-06-13	01-03-16 ¹	D: Arts. 39(1)(a)-(b)*, 40*, 52, 53*, 54(2)
Sudan / <i>Soudan</i>	16-11-01	–	–	–
Sweden / <i>Suède</i>	–	30-12-15	01-04-16	D: Arts. 39(1)(a)-(b), 39(4), 40, 54(2)
Switzerland / <i>Suisse</i>	16-11-01 (<i>ad ref.</i>)	–	–	–
Syrian Arab Republic / <i>République arabe syrienne</i>	–	07-08-07	¹	–
Tajikistan / <i>Tadjikistan</i>	–	31-05-11	01-09-11	D: Art. 54(2)
Togo	–	25-01-10	01-04-12 ³	D: Arts. 39(1)(a)*-(b)*, 40*, 53*, 54(2)*
Tonga	16-11-01	–	–	–
Turkey / <i>Turquie</i>	16-11-01	–	–	–
Ukraine	09-03-04	31-07-12	01-11-12	D: Arts. 39(1)(a)-(b), 40, 50, 54(2)
United Arab Emirates / <i>Emirats arabes unis</i>	–	29-04-08	01-08-08	D: Arts. 50, 53, 54(2)
United Kingdom / <i>Royaume-Uni</i>	16-11-01	27-07-15–	01-11-15	D: Arts. 39(1)(a)*-(b)*, 39(4)*, 52*, 53*, 54(2)*
United Rep. of Tanzania / <i>Rép.-Unie de Tanzanie</i>	16-11-01	30-01-09	01-05-09	D: Art. 54(2)
United States of America / <i>États-Unis d'Amérique</i>	09-05-03	28-10-04	01-02-05	D: Arts. 39(1)(a)-(b), 54(2)
Uzbekistan / <i>Ouzbékistan</i>	–	31-01-18	01-05-18	D: Arts. 53, 54(2)
Viet Nam	–	17-09-14	01-01-15	D: Arts. 39(1)(a)-(b), 40, 53, 54(2)
Zambia / <i>Zambie</i>	–	07-09-20	01-01-21	D: Arts. 39(1)(a)-(b), 54(2)
Zimbabwe	–	13-05-08	¹	–
Regional economic integration organisations / Organisations régionale d'intégration économique				
European Union / <i>Union européenne</i>	–	28-04-09	01-08-09	D: Arts. 48(2), 55

¹ Subject to Article 49(1) / *Sous réserve de l'article 49(1)*.

² Regional Economic Integration Organisation / *Organisation régionale d'intégration économique* (Art. 48).

³ This State has provided UNIDROIT with information about its laws and policies in relation to the Convention: see www.unidroit.org/english/conventions/mobile-equipment/informationcontractingstates.htm // *Cet Etat a fourni à UNIDROIT des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par la Convention: voir www.unidroit.org/french/conventions/mobile-equipment/informationcontractingstates.htm*

⁴ The Kingdom of the Netherlands deposited its instrument of accession to the Convention on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion à la Convention le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)."*

* Affected by withdrawal and/or subsequent declaration / *Fait l'objet d'un retrait ou d'une déclaration subséquente.*

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT
ON MATTERS SPECIFIC TO AIRCRAFT EQUIPMENT**

**PROTOCOLE PORTANT SUR LES QUESTIONS SPÉCIFIQUES AUX MATÉRIELS D'ÉQUIPEMENT
AÉRONAUTIQUES A LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

Adoption:	Place: Cape Town / <i>Lieu: Le Cap</i> – Date: 16-11-2001
Entry into force:	Yes / <i>Oui</i> ≈ Date: 01-03-2006
Entrée en vigueur:	Conditions: 8 ratifications (Art. XXVIII(1))
Contracting States / États contractants	79
Regional economic integration organisations / Organisations régionale d'intégration économique	1
Status / État	https://www.unidroit.org/english/conventions/mobile-equipment/181219-ctc-ac-print-ef.pdf
Depositary / Dépositaire:	UNIDROIT
UNIDROIT website page / Page internet d'UNIDROIT	https://www.unidroit.org/instruments/security-interests/aircraft-protocol https://www.unidroit.org/fr/instruments/garanties-internationales/protocole-aeronautique

STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
Afghanistan	–	25-07-06	01-11-06	D: Arts. XXIX, XXX(1), (2), (3)
Albania/ <i>Albanie</i>	–	30-10-07	01-02-08	D: Arts. XIX, XXX(1)
Angola	–	30-04-06	01-08-06	D: Art. XXX(1), (2), (3)
Argentina / <i>Argentine</i>	–	10-01-18	01-08-18	D: Arts. XIX, XXX(1), (2), (3)
Australia / <i>Australie</i>	–	26-05-15	01-09-15	D: Art. XXX(1), (3)
Bahrain / <i>Bahrein</i>	–	27-11-12	01-03-13	D: Art. XXX(1), (2), (3)
Bangladesh	–	15-12-08	01-04-09	D: Arts. XXIX, XXX(1), (2), (3)
Belarus / <i>Bélarus</i>	–	27-09-11	01-01-12	–
Bhutan	–	04-07-14	01-11-14	–
Brazil / <i>Brésil</i>	–	30-11-11	01-03-12	D: Arts. XIX, XXX(1), (2), (3)
Burkina Faso	–	08-09-17	01-01-18	–
Burundi	16-11-01	–	–	–
Cameroon / <i>Cameroun</i>	–	19-04-11	01-08-11	–
Canada	31-03-04	21-12-12	01-04-13	D: Arts. XXIX*, XXX(1), (2), (3)
Cape Verde / <i>Cap-Vert</i>	–	26-09-07	01-01-08	D: Art. XXX(1), (2), (3)
Chile / <i>Chili</i>	16-11-01	–	–	–
China / <i>Chine</i>	16-11-01	03-02-09	01-06-09	D: Arts. XIX, XXIX, XXX(1), (2), (3)
Colombia / <i>Colombie</i>	–	19-02-07	01-06-07	D: Art. XXX(1), (2), (3)
Congo	16-11-01	–	–	–
Costa Rica	–	08-08-18	01-12-18	–
Côte d'Ivoire	–	01-03-16	01-07-16	D: Arts. XIX(1)*, XXIX*, XXX(1)*, (2)*, (3)*
Cuba	16-11-01	28-01-09	01-05-09	–

Democratic Republic of the Congo / <i>Rép. démocratique du Congo</i>	-	06-05-16	01-09-16	-
Denmark / <i>Danemark</i>	-	26-10-15	01-02-16	D: Art. XXIX, XXX(1), (3), (5)
Egypt / <i>Égypte</i>	-	10-12-14	01-04-15	D: Arts. XIX(1)*, XXX(1)*,(2)*,(3)*
Eswatini	-	26-05-17	01-09-17	-
Ethiopia / <i>Éthiopie</i>	16-11-01	21-11-03	01-03-06	D: Art. XXX(1), (2), (3)
Fiji / <i>Fidji</i>	-	30-05-12	01-09-12	D: Art. XXX(1), (2), (3)
France	16-11-01	-	-	-
Gabon	-	04-04-17	01-08-17	-
Germany / <i>Allemagne</i>	16-11-01	-	-	D
Ghana	16-11-01	20-12-18	01-04-19	D: Art. XXX(1), (2), (3)
Iceland / <i>Islande</i>	-	23-06-20	01-10-20	D: Art. XXX(1), (2), (3)
India / <i>Inde</i>	-	31-03-08	01-07-08	D: Art. XXX(1), (2), (3)
Indonesia / <i>Indonésie</i>	-	16-03-07	01-07-07	D: Art. XXX(1), (2), (3)
Ireland / <i>Irlande</i>	-	23-08-05	01-03-06	D: Art. XXX(1), (2)
Italy / <i>Italie</i>	06-12-01	-	-	-
Jamaica / <i>Jamaïque</i>	16-11-01	-	-	-
Jordan / <i>Jordanie</i>	16-11-01	31-08-10	01-12-10	D: Art. XXX(1), (2), (3)
Kazakhstan	-	01-06-11	01-10-11	D: Art. XXX(1), (2), (3)
Kenya	16-11-01	13-10-06	01-02-07	D: Art. XXX(1), (2), (3)
Kuwait / <i>Koweït</i>	-	31-10-13	01-02-14	-
Latvia / <i>Lettonie</i>	-	08-02-11	01-06-11	D: Art. XXX(1)
Lesotho	16-11-01	-	-	-
Luxembourg	-	27-06-08	01-10-08	D: Art. XXX(1), (2), (3)
Madagascar	-	10-04-13	01-08-13	D: Art. XXX(1), (2), (3)
Malawi	-	16-01-14	01-05-14	D: Art. XXX(1), (2), (3)
Malaysia / <i>Malaisie</i>	-	02-11-05	01-03-06	D: Art. XXX(1), (2), (3)*
Malta / <i>Malte</i> ²	-	01-10-10	01-02-11	D: Art. XXX(1)
Mexico / <i>Mexique</i>	-	31-07-07	01-11-07	D: Arts. XIX, XXX(1), (3)
Moldova	-	19-02-19	01-06-19	D: Art. XXX(1)
Mongolia / <i>Mongolie</i>	-	19-10-06	01-02-07	D: Art. XXX(1), (2), (3)
Mozambique	-	18-07-13	01-11-13	D: Art. XXX(1), (2), (3)
Myanmar	-	03-12-12	01-04-13	D: Arts. XXIX, XXX(1), (2),(3)
Namibia / <i>Namibie</i>	-	23-07-18	01-11-18	D: Art. XXX(1)
Netherlands / <i>Pays-Bas</i> ³	-	17-05-10	-	D: Arts. XXIX, XXX(1), (2)
New Zealand / <i>Nouvelle-Zélande</i>	-	20-07-10	01-11-10	D: Arts. XXIX, XXX(1), (3), (5)
Nigeria	16-11-01	16-12-03	01-03-06	D: Art. XXX(1)*, (2)*, (3)*
Norway / <i>Norvège</i>	-	20-12-10	01-04-11	D: Art. XXX(1), (3), (5)
Oman	-	21-03-05	01-03-06	D: Arts. XXIX, XXX(1), (2), (3)
Pakistan	-	22-01-04	01-03-06	D: Arts. XXIX, XXX(1), (2), (3)
Panama	11-09-02	28-07-03	01-03-06	D: Art. XXX(1), (2), (3)
Paraguay	-	19-12-18	01-04-19	D: Arts. XIX, XXX(1), (2), (3)
Qatar	-	08-01-20	01-05-20	D: Art. XXX(1), (2), (3)
Romania / <i>Roumanie</i>	-	30-03-18	01-07-18	D: Art. XXX(1)
Russian Federation / <i>Fédération de Russie</i>	-	25-05-11	01-09-11	D: Arts. XXX(1)*, (3)
Rwanda	-	28-01-10	01-05-10	D: Arts. XXIX, XXX(1), (2), (3)
San Marino / <i>Saint-Marin</i>	-	09-09-14	01-01-15	D: Art. XXX(1), (2), (3)
Saudi Arabia / <i>Arabie saoudite</i>	12-03-03	27-06-08	01-10-08	-
Senegal / <i>Sénégal</i>	02-04-02	09-01-06	01-05-06	D: Arts. XXIX, XXX(1), (2), (3)
Sierra Leone	-	26-07-16	01-11-16	D: Art. XXX(1), (2), (3)
Singapore / <i>Singapour</i>	-	28-01-09	01-05-09	D: Art. XXX(1)*, (3)
South Africa / <i>Afrique du sud</i>	16-11-01	18-01-07	01-05-07	D: Art. XXX(1), (2), (3)
Spain / <i>Espagne</i>	-	27-11-15	01-03-16	D: Arts. XIX, XXIX, XXX(1)
Sudan / <i>Soudan</i>	16-11-01	-	-	-
Sweden / <i>Suède</i>	-	30-12-15	01-04-16	D: Art. XXX(1)
Switzerland / <i>Suisse</i>	16-11-01 (ad ref.)	-	-	-
Tajikistan / <i>Tadjikistan</i>	-	31-05-11	01-09-11	D: Art. XXX(1), (2), (3)
Togo	-	01-12-11	01-04-12	D: Art. XXX(1), (2), (3)
Tonga	16-11-01	-	-	-
Turkey / <i>Turquie</i>	16-11-01	-	-	D: Art. XXX(1), (2), (3)
Ukraine	03-03-04	31-07-12	01-11-12	D: Arts. XIX, XXX(1), (3)
United Arab Emirates / <i>Emirats arabes unis</i>	-	29-04-08	01-08-08	D: Arts. XIX, XXIX, XXX(1),(2),(3)
United Kingdom / <i>Royaume-Uni</i>	16-11-01	27-07-15	01-11-15	D: Arts. XXIX*, XXX(1)*, (2)*, (3)*
United Rep. of Tanzania / <i>Rép.-Unie de Tanzanie</i>	16-11-01	30-01-09	01-05-09	D: Art. XXX(1)*,(2)*,(3)*
United States of America / <i>États-Unis d'Amérique</i>	09-05-03	28-10-04	01-03-06	D: Arts. XIX(1), XXX(1)
Uzbekistan / <i>Ouzbékistan</i>	-	31-01-18	01-05-18	D: Art. XXX(1), (2), (3)

Viet Nam	-	17-09-14	01-01-15	D: Arts. XIX, XXX(1), (2), (3)
Zambia / <i>Zambie</i>	-	07-09-20	01-01-21	-

**Regional economic integration
organisations / Organisations
régionale d'intégration
économique**

European Union / ¹ <i>Union européenne</i>	-	28-04-09	01-08-09	D: Arts. XXVII(2), XXX(5)
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¹ Regional Economic Integration Organisation / *Organisation régionale d'intégration économique* (Art. XXVII).

² This State has provided UNIDROIT with information about its laws and policies in relation to the Aircraft Protocol: see www.unidroit.org/english/conventions/mobile-equipment/information-contractingstates.htm // *Cet État a fourni à UNIDROIT des informations concernant la situation au regard de sa législation et des politiques applicables aux matières couvertes par le Protocole aéronautique: voir www.unidroit.org/french/conventions/mobileequipment/information-contractingstates.htm*

³ The Kingdom of the Netherlands deposited its instrument of accession to the Aircraft Protocol on 20 July 2010 for the Netherlands Antilles (Curaçao, Sint Maarten, Bonaire, Sint Eustatius and Saba) and Aruba. As from 10 October 2010, following a modification of the internal constitutional relations within the Kingdom of the Netherlands, the reference to the "Netherlands Antilles" is to be replaced by "Curaçao, Sint Maarten and the Caribbean part of the Netherlands (the islands of Bonaire, Saba and Sint Eustatius)" / *Le Royaume des Pays-Bas a déposé son instrument d'adhésion au Protocole aéronautique le 20 juillet 2010 pour les Antilles néerlandaises et Aruba. A compter du 10 octobre 2010, suite à une réforme des relations constitutionnelles au sein du Royaume des Pays-Bas, la référence aux "Antilles néerlandaises" doit être remplacée par "Curaçao, Sint Maarten et la partie caraïbe des Pays-Bas (les îles de Bonaire, Saba et Sint Eustatius)"*

* Affected by withdrawal and/or subsequent declaration / *Fait l'objet d'un retrait ou d'une déclaration subséquente.*

**LUXEMBOURG PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE
EQUIPMENT ON MATTERS SPECIFIC TO RAILWAY ROLLING STOCK**

**PROTOCOLE DE LUXEMBOURG PORTANT SUR LES QUESTIONS SPÉCIFIQUES AU MATÉRIEL ROULANT
FERROVIAIRE À LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES
PORTANT SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

Adoption:	Place / <i>Lieu</i> : Luxembourg Date: 23-02-2007
Entry into force:	No / <i>Non</i>
Entrée en vigueur:	Conditions: 4 ratifications (Art. XXIII(1))

Contracting States / États contractants	3
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Regional economic integration organisations / Organisations régionale d'intégration économique	1
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Status / État

<https://www.unidroit.org/status-2007luxembourg-rail>

Depositary / Dépositaire:	UNIDROIT
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UNIDROIT website page / Page internet d'UNIDROIT	https://www.unidroit.org/instruments/security-interests/rail-protocol https://www.unidroit.org/fr/instruments/garanties-internationales/protocole-ferroviaire
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STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
France	03-03-17	-	-	-
Gabon	23-02-07	04-04-17	-	-
Germany / <i>Allemagne</i>	21-11-12	-	-	D
Italy / <i>Italie</i>	23-02-07	-	-	D
Luxembourg	23-02-07	31-01-12	-	-
Mozambique	15-11-16	-	-	-
Sweden / <i>Suède</i>	27-06-17	02-07-18	-	D
Switzerland / <i>Suisse</i>	23-02-07	-	-	-
United Kingdom / <i>Royaume-Uni</i>	26-02-16	-	-	-

**Regional economic integration
organisations / Organisations
régionale d'intégration
économique**

European Union /
Union européenne

10-12-09

18-12-14

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D

**UNIDROIT CONVENTION ON SUBSTANTIVE RULES FOR INTERMEDIATED SECURITIES
CONVENTION D'UNIDROIT SUR LES RÈGLES MATÉRIELLES RELATIVES AUX TITRES INTERMÉDIÉS**

Adoption: Place: Geneva / *Lieu: Genève*
Date: 09-10-09

Entry into force: No / *Non*
Entrée en vigueur: Conditions: 3 ratifications (art. 42.1)

Contracting States /
Etats contractants /

Statut / État <https://www.unidroit.org/status>

Depositary / Dépositaire: UNIDROIT

UNIDROIT website page / <https://www.unidroit.org/instruments/capital-markets/geneva-convention>
Page internet d'UNIDROIT <https://www.unidroit.org/fr/instruments/marches-financiers/geneva-convention>

STATE / ÉTAT	SIGNATURE	RATIFICATION ACCESSION / ADHÉSION	ENTRY INTO FORCE ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
Bangladesh	09-10-09	—	—	—

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON
MATTERS SPECIFIC TO SPACE ASSETS
PROTOCOLE PORTANT SUR LES QUESTIONS SPÉCIFIQUES AUX BIENS SPATIAUX A LA CONVENTION
RELATIVE AUX GARANTIES INTERNATIONALES PORTANT SUR
DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

Adoption: Place / *Lieu:* Berlin
Date: 09-03-2012

Entry into force: No / *Non*
Entrée en vigueur: Conditions: Art. XXXVIII

Contracting States /
États contractants /

Statut / État <https://www.unidroit.org/status-2012-space>

Depositary / Dépositaire: UNIDROIT

UNIDROIT website page / <https://www.unidroit.org/instruments/security-interests/space-protocol>
Page internet d'UNIDROIT <https://www.unidroit.org/fr/instruments/garanties-internationales/protocole-spatial>

STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
Burkina Faso	09-03-12	—	—	—
Germany / <i>Allemagne</i>	21-11-12	—	—	—
Saudi Arabia / <i>Arabie saoudite</i>	09-03-12	—	—	—
Zimbabwe	09-03-12	—	—	—

**PROTOCOL TO THE CONVENTION ON INTERNATIONAL INTERESTS IN MOBILE EQUIPMENT ON
MATTERS SPECIFIC TO MINING, AGRICULTURE AND CONSTRUCTION EQUIPMENT**

**PROTOCOLE PORTANT SUR LES QUESTIONS SPÉCIFIQUES
AUX MATÉRIELS D'ÉQUIPEMENT MINIERS, AGRICOLES ET DE CONSTRUCTION
À LA CONVENTION RELATIVE AUX GARANTIES INTERNATIONALES PORTANT
SUR DES MATÉRIELS D'ÉQUIPEMENT MOBILES**

Adoption: Place / *Lieu*: Pretoria
Date: 22-11-2019

Entry into force: No / *Non*
Entrée en vigueur: Conditions: Art. XXV

**Contracting States /
États contractants** /

Statut / État <https://www.unidroit.org/mac-protocol-status>

Depositary / Dépositaire: UNIDROIT

**UNIDROIT website page /
Page internet d'UNIDROIT** <https://www.unidroit.org/instruments/security-interests/mac-protocol-2019>
<https://www.unidroit.org/fr/instruments/garanties-internationales/protocole-mac>

STATE / ÉTAT	SIGNATURE	RATIFICATION / ACCESS. / ADHÉS.	ENTRY INTO FORCE / ENTRÉE EN VIGUEUR	DECL. or RESERV. / DÉCL. ou RÉSERVES
Congo	22-11-19	–	–	–
Gambia / <i>Gambie</i>	22-11-19	–	–	–
Nigeria / <i>Nigéria</i>	22-11-19	–	–	–
Paraguay	22-11-19	–	–	–
United States of America / <i>États- Unis d'Amérique</i>	01-10-20	–	–	–

ANNEXE III

IMPLEMENTATION OF INSTRUMENTS BASED ON
WORK CONDUCTED WITHIN UNIDROIT ^{12(*)}**A. INTERNATIONAL INSTRUMENTS IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS OR CONVENTIONS**

1. **Convention on the Contract for the International Carriage of Goods by Road (CMR)**, adopted in Geneva in 1956 under the auspices of the Economic Commission for Europe of the United Nations. The Convention, which entered into force in 1961, is based on the draft Convention on the Contract for the International Carriage of Goods by Road (CMR) which was transmitted by UNIDROIT to the Economic Commission for Europe of the United Nations in 1952.

Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-B-11&chapter=11&clang=en

2. **UNESCO Convention of 1954 for the Protection of Cultural Property in the Event of Armed Conflict**, which entered into force in 1956. The Convention is based on the draft UNIDROIT completed in 1951.

Status: <http://www.unesco.org/eri/la/convention.asp?KO=13637&language=E&order=alpha>

3. **International Convention of 1961 for the Protection of Performers, Producers of Phonograms and Broadcasting Organizations**, adopted under the auspices of ILO, UNESCO and WIPO and which entered into force in 1964. The Convention is very largely based on the preliminary draft Convention for the Protection of Interpreting and Performing Artists, as well as of Manufacturers of Phonographic Records and other phonograms and on the preliminary draft Convention for the Protection of Radio Broadcasts.

Status: https://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XIV-3&chapter=14&clang=en

4. **Hague Convention of 1958 concerning the Recognition and Enforcement of Decisions relating to Maintenance Obligations towards Children**, which entered into force in 1962. This Convention was prepared on the basis of the draft Convention on the Recognition and Enforcement abroad of Maintenance Obligations, a first draft of which had been drawn up by UNIDROIT in 1938 and work on which was completed in 1949 when it was transmitted to the Department for Social Affairs of the United Nations. After being revised by a United Nations committee of experts, the draft was recommended to States under a Resolution of the Economic and Social Council at its XVIIth session for use as a model in the drawing up of bilateral conventions or uniform laws for separate adoption by each State.

Status: <https://www.hcch.net/en/instruments/conventions/status-table/?cid=38>

5. **European Convention of 1962 on the Liability of Hotel-keepers concerning the Property of their Guests**, adopted under the auspices of the Council of Europe and which entered into force in 1967. The Convention is based on the draft uniform law on the hotelkeeper's liability for damage to or destruction or theft of his guests' property, the drafting of which was completed by UNIDROIT in 1934.

Status: <https://www.coe.int/en/web/conventions/full-list/-/conventions/treaty/041/signatures>

6. **Benelux Treaty of 1955 on Compulsory Insurance against Civil Liability in respect of Motor Vehicles** and

European Convention on Compulsory Insurance against Civil Liability in respect of Motor Vehicles, adopted in 1959 under the auspices of the Council of Europe, which entered into force in 1969. These two instruments are based on the draft uniform rules on the compulsory insurance of motorists, the drafting of which was completed by UNIDROIT in 1937.

Status of the 1959 Convention:

https://www.coe.int/en/web/conventions/full-list/-/conventions/treaty/029/signatures?p_auth=S5RsyC98

7. **European Convention on Establishment of 1955**, adopted under the auspices of the Council of Europe and which entered into force in 1965. The Convention is based on the draft Convention on

^{12(*)} Based on information available to the Secretariat as of 31 December 2020.

the reciprocal treatment of nationals as between Member States of the Council of Europe, the drafting of which was completed by UNIDROIT in 1951.

Status: <https://www.coe.int/en/web/conventions/full-list/-/conventions/treaty/019/signatures?>

8. **Protocol No. 1 concerning the Rights in rem in Inland Navigation Vessels** annexed to the 1965 Convention on the Registration of Inland Navigation Vessels which was adopted under the auspices of the Economic Commission for Europe of the United Nations. The Protocol is based on the draft Convention concerning rights in rem in boats in inland navigation, the drafting of which was completed by UNIDROIT in 1960. The Convention and Protocol No. 1 entered into force in 1982.

Status of Protocol No. 1:

https://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XII-4&chapter=12&clang=en

9. **Protocol No. 2 concerning Attachment and Forced Sale of Inland Navigation Vessels** annexed to the 1965 Convention on the Registration of Inland Navigation Vessels, adopted under the auspices of the Economic Commission for Europe of the United Nations. The Protocol is based on the draft Protocol on attachment and forced sale of inland navigation vessels, the drafting of which was completed by UNIDROIT in 1962. Protocol No. 2 entered into force in 1982.

Status of Protocol No. 2:

https://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XII-4&chapter=12&clang=en

10. **United Nations Convention on Contracts for the International Sale of Goods**, adopted at Vienna in 1980 and which entered into force in 1988. This Convention is based on the Convention relating to a Uniform Law on the Formation of Contracts for the International Sale of Goods (ULFIS) and the Convention relating to a Uniform Law on the International Sale of Goods (ULIS), adopted at The Hague at a diplomatic Conference in 1964.

Status: https://treaties.un.org/pages/ViewDetails.aspx?src=IND&mtdsg_no=X-10&chapter=10&lang=en

11. **Convention on the Contract for the International Carriage of Passengers and Luggage by Road (CVR)**, adopted in Geneva in 1973 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention on the Contract for the International Carriage of Passengers and Luggage by Road (CVR), the drafting of which was completed by UNIDROIT in 1969. The Convention entered into force in 1994.

Status: https://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-B-26&chapter=11&clang=en

12. **Additional Protocol to the Convention on the Contract for the International Carriage of Goods by Road (CMR) concerning the Electronic Consignment Note (e-CMR)**, adopted in Geneva in 2008 and entered into force on 5 June 2011. The Additional Protocol is based on a joint proposal submitted by UNIDROIT and UNCITRAL.

Status: https://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-B-11-b&chapter=11&clang=en

B. INTERNATIONAL INSTRUMENTS NOT YET IN FORCE ADOPTED UNDER THE AUSPICES OF OTHER ORGANISATIONS AND BASED ON UNIDROIT DRAFTS

1. **Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN)**, adopted in Geneva in 1973 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention relating to the Limitation of the Liability of Owners of Inland Navigation Vessels (CLN), the drafting of which was completed by UNIDROIT in 1970.

Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-D-1&chapter=11&clang=en

2. **Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN)**, adopted in Geneva in 1976 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft Convention on the Contract for the International Carriage of Passengers and Luggage by Inland Waterway (CVN), the drafting of which was completed by UNIDROIT in 1972.

Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-D-2&chapter=11&clang=en

3. **European rules for investment funds**, which were in 1972 recommended by the Committee of Ministers of the Council of Europe as a model law for the Member States of the Council of Europe. The rules are based on the preliminary draft model law on investment funds, UNIDROIT's drawing up of which was completed in 1969.

4. **European Convention providing a Uniform Law on Arbitration**, adopted in 1966 under the auspices of the Council of Europe. The Convention is based on the preliminary draft uniform law on arbitration in private law matters in international relations, UNIDROIT's drawing up of which was completed in 1954.
Status: https://www.coe.int/en/web/conventions/full-list/-/conventions/treaty/056/signatures?p_auth=92tvxnflU
 5. **European Convention of 1973 on Civil Liability for Damage caused by Motor Vehicles**, adopted under the auspices of the Council of Europe. The Convention is based on the draft of a uniform law on the civil liability of motorists, UNIDROIT's drawing up of which was completed in 1938.
Status: https://www.coe.int/en/web/conventions/full-list/-/conventions/treaty/079/signatures?p_auth=92tvxnflU
 6. **United Nations Convention on International Multimodal Transport of Goods**, adopted in Geneva in 1980. The origin of the Convention is to be found in the draft Convention on Contracts for the Combined International Carriage of Goods, UNIDROIT's drawing up of which was completed in 1965. The UNIDROIT draft also provided one of the bases for the draft Convention on the International Combined Carriage of Goods (TCM), drawn up at a round table convened by UNIDROIT at the request of the Economic Commission for Europe of the United Nations in 1969 and 1970, which was itself revised at meetings convened jointly by the Intergovernmental Maritime Consultative Organisation (IMCO) and the Economic Commission for Europe of the United Nations.
Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-F-1&chapter=11&clang=en
 7. **Convention on Civil Liability for Damage caused during Carriage of Dangerous Goods by Road, Rail and Inland Navigation Vessels (CRTD)**, adopted in Geneva in 1989 under the auspices of the Economic Commission for Europe of the United Nations. The Convention is based on the draft articles for a Convention on civil liability for damage caused during carriage of dangerous goods by road, rail and inland navigation vessels, UNIDROIT's drawing up of which was completed in 1986.
Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XI-B-30&chapter=11&clang=en
 8. **United Nations Convention on the Liability of Operators of Transport Terminals in International Trade**, adopted in Vienna in April 1991. The Convention is based on the preliminary draft Convention on Operators of Transport Terminals, UNIDROIT's work on which was completed in 1983.
Status: https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=X-13&chapter=10&clang=en
- C. EUROPEAN DIRECTIVE BASED ON A PRELIMINARY DRAFT UNIDROIT CONVENTION**
- Council Directive 93/7/EEC of 15 March 1993 on the return of cultural objects unlawfully removed from the territory of a Member State.**
- D. EUROPEAN DIRECTIVE BASED ON A UNIDROIT CONVENTION**
- Directive 2014/60/EU of the European Parliament and of the Council of 15 May 2014 on the return of cultural objects unlawfully removed from the territory of a Member State and amending Regulation (EU) No 1024/2012 (Recast).**
- E. UNIFORM RULES PUBLISHED BY THE INTERNATIONAL CHAMBER OF COMMERCE AND BASED ON A DRAFT UNIDROIT CONVENTION**
- Uniform Rules for a Multimodal Transport Document** first published by the International Chamber of Commerce in 1973 and subsequently revised. The origin of the Rules is the same as that of the United Nations Convention on International Multimodal Transport of Goods (see above Section B. 6.).
- F. INTERNATIONAL INSTRUMENTS BASED ON PRELIMINARY STUDIES PREPARED BY UNIDROIT**
1. **European Convention on Products Liability in regard to Personal Injury and Death of 27 January 1977.**
 2. **Resolution (78)3 on Penalty Clauses in Civil Law** adopted by the Committee of Ministers of the Council of Europe on 20 January 1978.

ANNEXE IV

DEPOSITORY LIBRARIES FOR UNIDROIT DOCUMENTATION

- Argentina:** Corte Suprema de Justicia de la Nación, Secretaría de Investigación de Derecho comparado
<http://www.csjn.gov.ar>
- Australia:** National Library of Australia
<http://www.nla.gov.au/>
- Austria:** Universität Wien, Universitäts Bibliothek, Fachbereichsbibliothek Rechtswissenschaften
<http://bibliothek.univie.ac.at/fb-rewi/>
- Belgium:** Federale Overheidsdienst Justitie
<http://just.fgov.be/>
- Bolivia:** Biblioteca Central de la Cancillería de la República
<http://www.cancelleria.gob.bo>
- Brazil:** Serviço de Biblioteca e documentação - SBD, Universidad de São Paulo, Faculdade de Direito
<http://www.usp.br/bibliotecadireito/biblioteca.htm>
- Bulgaria:** Bulgarian National Library "St. Cyrill and St. Methodius"
http://nationallibrary.bg/wp/?page_id=1417&lang=en
- Canada:** Nahum Gelber Law Library, McGill University
<http://www.mcgill.ca/library>
 University of British Columbia Law Library
<http://www.library.ubc.ca/law/>
- Chile:** Academia Diplomática
<https://minrel.gob.cl/>
- Colombia:** Biblioteca Luis Angel Arango
<http://www.banrepcultural.org/bogota/biblioteca-luis-angel-arango>
- Czech Republic:** Library of the International Law Department, Ministry of Industry and Trade
<https://www.mpo.cz/>
 Charles University in Prague
<https://www.cuni.cz/UKENG-1.html>
- Egypt:** Documentation Center, General Directorate of International and Cultural Co-operation, Ministry of Justice, Cairo
www.jp.gov.eg
- Estonia:** Eesti Rahvusraamatukogu
<https://www.nlib.ee/>
- Finland:** Eduskunnan Kirjasto
<https://www.eduskunta.fi/EN/naineduskuntatoimii/kirjasto/Pages/default.aspx>
- France:** Bibliothèque Inter-universitaire Cujas
<http://biu-cujas.univ-paris1.fr/>
- Germany:** Staatsbibliothek zu Berlin, Abteilung Amtsdrukschriften und Internationaler Amtlicher Schriftentausch <https://staatsbibliothek-berlin.de/en/>
- Greece:** Library of the Hellenic Institute of International and Foreign Law
<http://www.hiifl.gr/>
- Holy See:** Biblioteca della Facoltà di Giurisprudenza, Libera Università Maria Ss. Assunta, Rome
<https://www.lumsa.it/>
- Hungary:** Országgyűlési Könyvtár
<http://www.ogyk.hu>
- India:** Library of the Indian Society of International Law
<http://www.isil-aca.org/library.htm>

Indonesia: Departemen Luar Negeri (Directorate for Economic, Social and Cultural Treaties Affairs, Directorate General of Legal and Treaties Affairs, Ministry of Foreign Affairs)
<https://kemlu.go.id/portal/en>

Iran: Institute of Comparative Law, Faculty of Law and Political Science, University of Tehran
<https://ut.ac.ir/en/page/619/institute-of-comparative-law> (<https://ut.ac.ir/en>)

Ireland: National Library of Ireland
<http://www.nli.ie/>

Japan: Ministry of Justice Library (Branch of National Diet Library)
<http://www.moj.go.jp/EN/index.html>

Latvia: Department of European and International Law, Ministry of Justice of the Republic of Latvia
<https://www.tm.gov.lv/en>

Luxembourg: Bibliothèque Nationale
<http://www.bnl.public.lu/fr/index.html>
University of Luxembourg
https://www.uni.lu/luxembourg_learning_centre

Malta: Library Services, University of Malta
<http://www.um.edu.mt/library/>

Mexico: Instituto de Investigaciones Jurídicas, Universidad Nacional Autónoma de México
<http://www.unam.mx>
Academia Mexicana de Derecho Internacional Privado y Comparado, México City;
Biblioteca Loyola, Universidad Iberoamericana Tijuana
<http://www.loyola.tij.uia.mx>

Netherlands: Library of the Ministry of Justice
<https://www.rijksoverheid.nl/ministeries/ministerie-van-justitie-en-veiligheid>

Norway: Det juridiske fakultetsbibliotek, Universitetsbiblioteket i Oslo
<http://www.ub.uio.no>

Paraguay: Facultad de Derecho y Ciencias Sociales de la Universidad Nacional de Asunción
<http://www.der.una.py/>

People's Republic of China: Trade and Policy Library, Department of Treaty and Law, Ministry of Commerce (MOFCOM)
<http://english.mofcom.gov.cn/>

Poland: Biblioteka Sejmowa
<http://biblioteka.sejm.gov.pl/?lang=en>

Portugal: Serviço de Biblioteca e Documentação Diplomática do Ministério dos Negócios Estrangeiros - Instituto Diplomático
<https://idi.mne.pt/pt/arquivo-e-biblioteca/biblioteca>
Gabinete de Documentação e Direito Comparado, Procuradoria Geral da República
<http://gddc.ministeriopublico.pt/>

Republic of Korea: Library of the Korea National Diplomatic Academy
<http://www.ifans.go.kr/knda/hmpg/eng/main/HmpgEngMain.do>

Romania: Biblioteca Nationala
<http://www.bibnat.ro/>

Russian Federation: Library of the Russian Academy of Foreign Trade
<http://eng.vavt.ru/>

Serbia: Library of the Institute of Comparative Law
<http://iup.rs/en/about/>

Slovak Republic: Právnická fakulta Trnavskej Univerzity
<https://www.truni.sk/en>

Slovenia: Univerza v Mariboru Pravna fakulteta
<https://www.pf.um.si/en/>

South Africa: *Library of the Department of Justice, Directorate: Internal Affairs, Pretoria*
University of Johannesburg
<https://www.uj.ac.za/library>

Spain: *Universidad San Pablo CEU* <http://www.ceu.es>

Sweden: *Riksdagsbiblioteket*
<http://www.riksdagen.se/>

Switzerland: *Library of the Swiss Institute of Comparative Law*
<https://www.isdc.ch/en/>

Turkey: *Banca ve Ticaret Hukuku Arastirma Enstitüsü, Hukuk Fakültesi, Ankara Üniversitesi*
<http://bthae.ankara.edu.tr/>

United Kingdom: *Library of the Institute of Advanced Legal Studies*
<http://ials.sas.ac.uk/>

United States of America: *Arthur W. Diamond Law Library Columbia University in the City of New York*
<http://www.law.columbia.edu/library>
Underwood Law Library, Dedman School of Law, Southern Methodist University
<https://www.smu.edu/Law/Library>

Uruguay: *Facultad de Derecho de la Universidad de la República*
<https://www.fder.edu.uy/biblioteca>